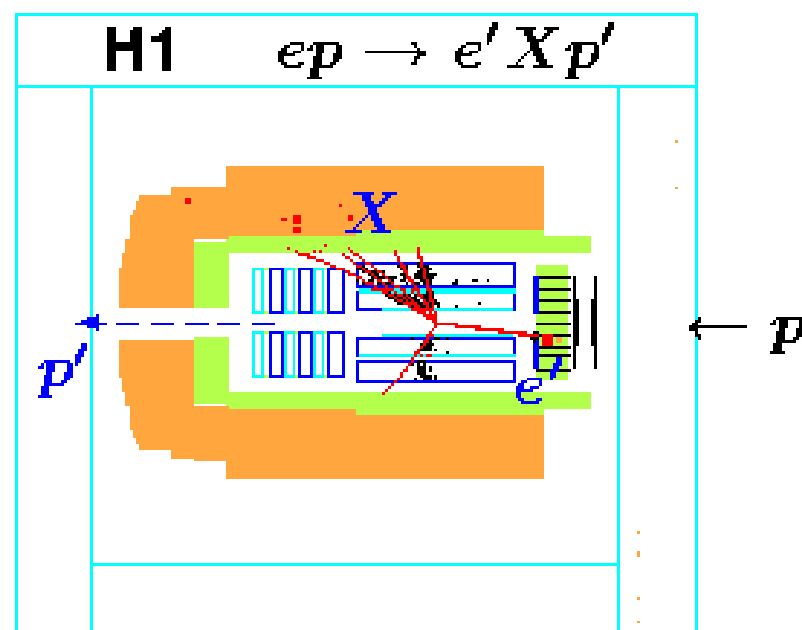
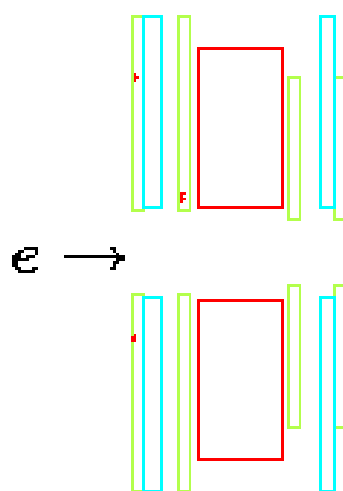
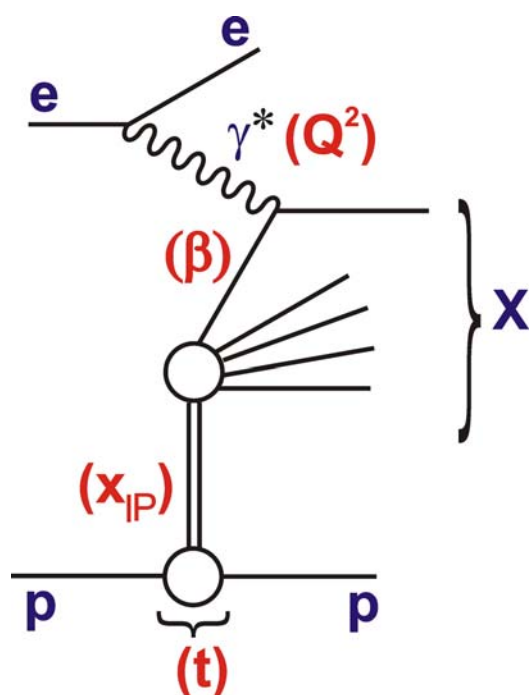




Diffraction at HERA and its Relation to the LHC

Paul Newman (University of Birmingham)
ATLAS and H1 Collaborations

LHC Physics Day on Diffraction
7 May 2009



HERA & Diffraction

$ep / \gamma^{(*)}p$ collisions
at $\sqrt{s} \sim 300 \text{ GeV}$
1992-2007

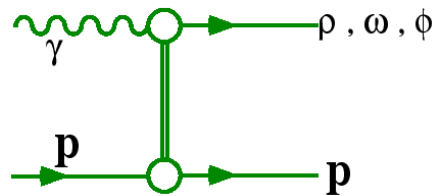
$\sim 0.5 \text{ fb}^{-1}$ per expt.



e.g. H1 publications on diffraction (similar numbers in ZEUS):

- | | |
|---|-----------|
| - Diffractive cross sections (SD,DD): | 11 papers |
| - Diffractive final states: | 14 papers |
| - Quasi-elastic cross sections: | 20 papers |
| - Total cross sections / decomposition: | 2 papers |

Colour singlet exchange processes at HERA

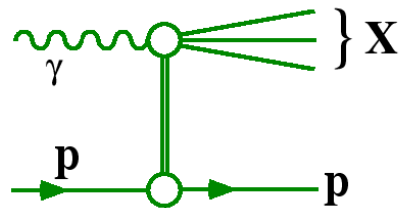


QUASI ELASTIC
VECTOR MESON
PRODUCTION

(EL)

$$\gamma p \longrightarrow V p$$

LHC analogue is $pp \rightarrow pp$

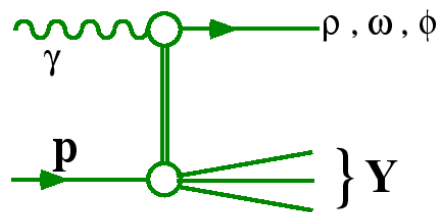


SINGLE PHOTON
DISSOCIATION

(GD)

$$\gamma p \longrightarrow X p$$

LHC analogue is $pp \rightarrow X p$

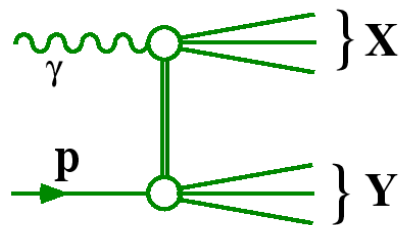


SINGLE PROTON
DISSOCIATION

(PD)

$$\gamma p \longrightarrow V Y$$

LHC analogue is $pp \rightarrow p X$



DOUBLE
DISSOCIATION

(DD)

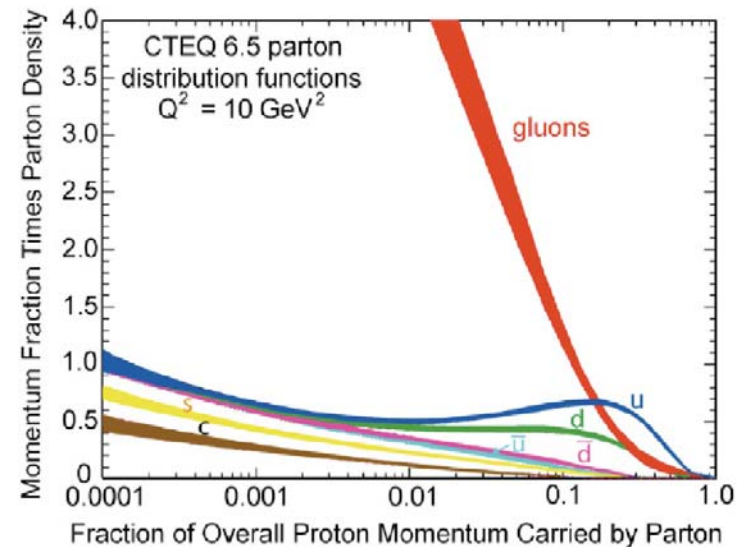
$$\gamma p \longrightarrow X Y$$

LHC analogue is $pp \rightarrow X Y$

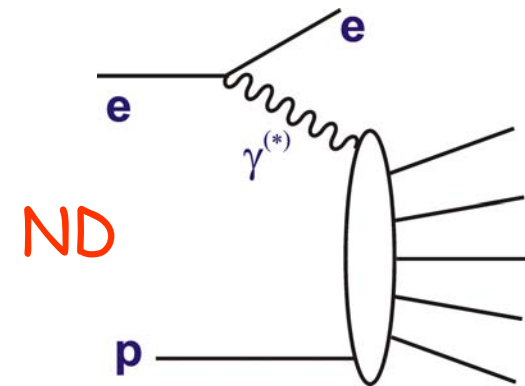
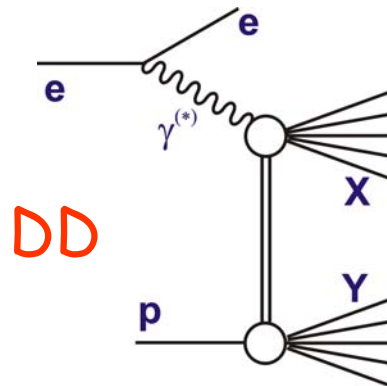
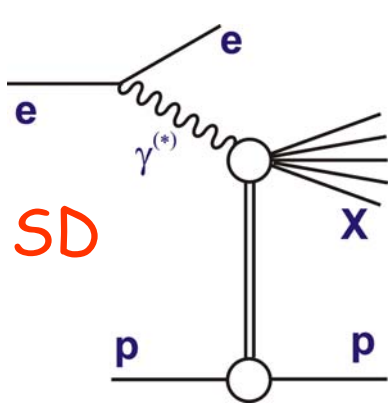
Favourable kinematics to study X system (photon dissociation)

Low x Physics & Diffraction

- Low x physics, as revealed by HERA, is the physics of very large gluon densities...
- Associated with a large diffractive contribution



... but how to specify the difference between diffractive and non-diffractive processes?

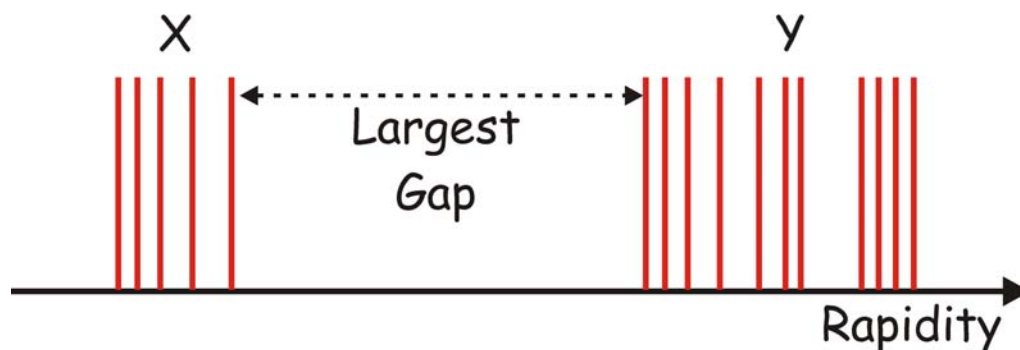


... nature gives smooth transitions between these processes

Definition of Diffraction

Definitions in terms of hadron-level observables ...

- For SD ($\gamma p \rightarrow Xp$), can be done in terms of a leading proton
- More general definition to accommodate DD ($\gamma p \rightarrow XY$)
 - ...can be applied to any diff or non-diff final state ...
 - Order all final state particles in rapidity
 - Define two systems, X and Y, separated by the largest rapidity gap between neighbouring particles.



Many tests at HERA
show leading proton
& gap defs equivalent

- Alternative method (inclusive k_T algorithm decomposition)
used for analysis of rapidity gaps between jets

HERA-LHC Workshop 2004-2008



Workshops on the implications of HERA for the LHC

(including many contributions on diffraction ...)

Proceedings available from
<http://www.desy.de/~heralh>
 807 pages! (March 2009)

Impressum

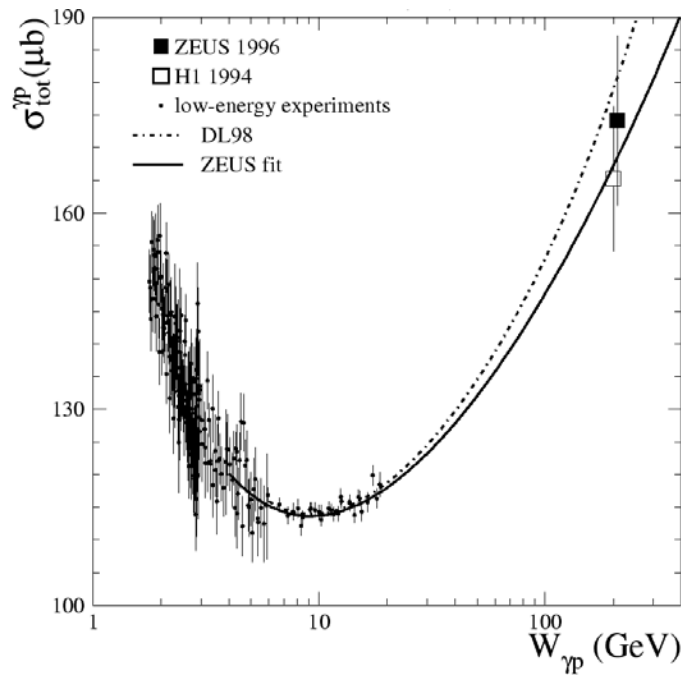
Proceedings of the workshop
 HERA and the LHC

2nd workshop on the implications of HERA for LHC physics
 2006 - 2008, Hamburg - Geneva

Conference homepage
<http://www.desy.de/~heralh>

Online proceedings at
<http://www.desy.de/~heralh/proceedings-2008/proceedings.html>

Diffraction as a Dominant Uncertainty in Minimum Bias Analyses

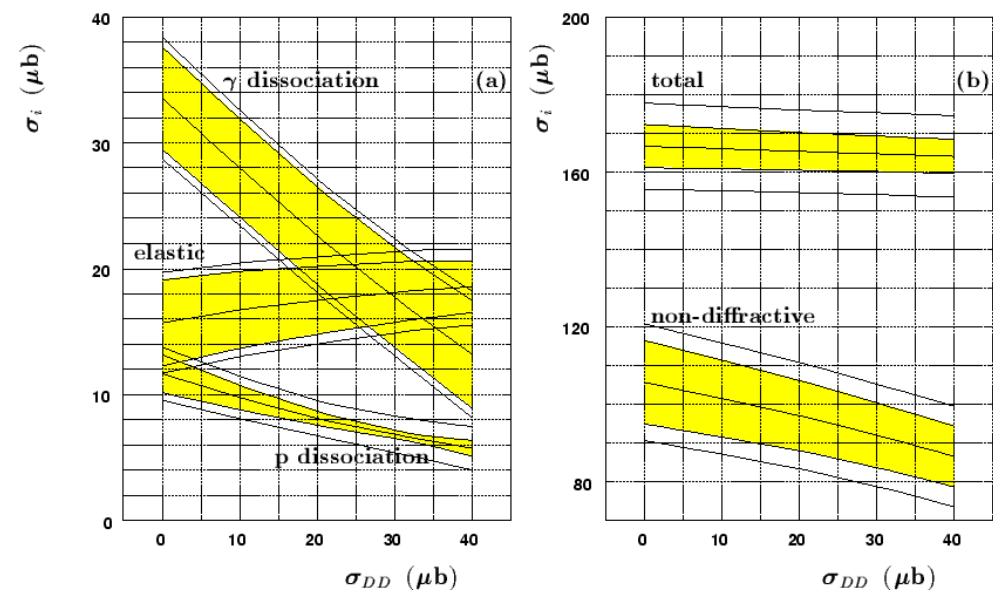


e.g. uncertainties on total cross section measurements dominated by modelling of diffractive contributions not observed in central detectors

- SD and DD cross sections strongly anti-correlated in this H1 analysis

- Impossible to uniquely define SD, DD ...

... operational definitions e.g. $M_X^2/s < 0.05$... ND is what's left



Single Diffractive Kinematics

For photon virtuality $Q^2 \rightarrow 0$:

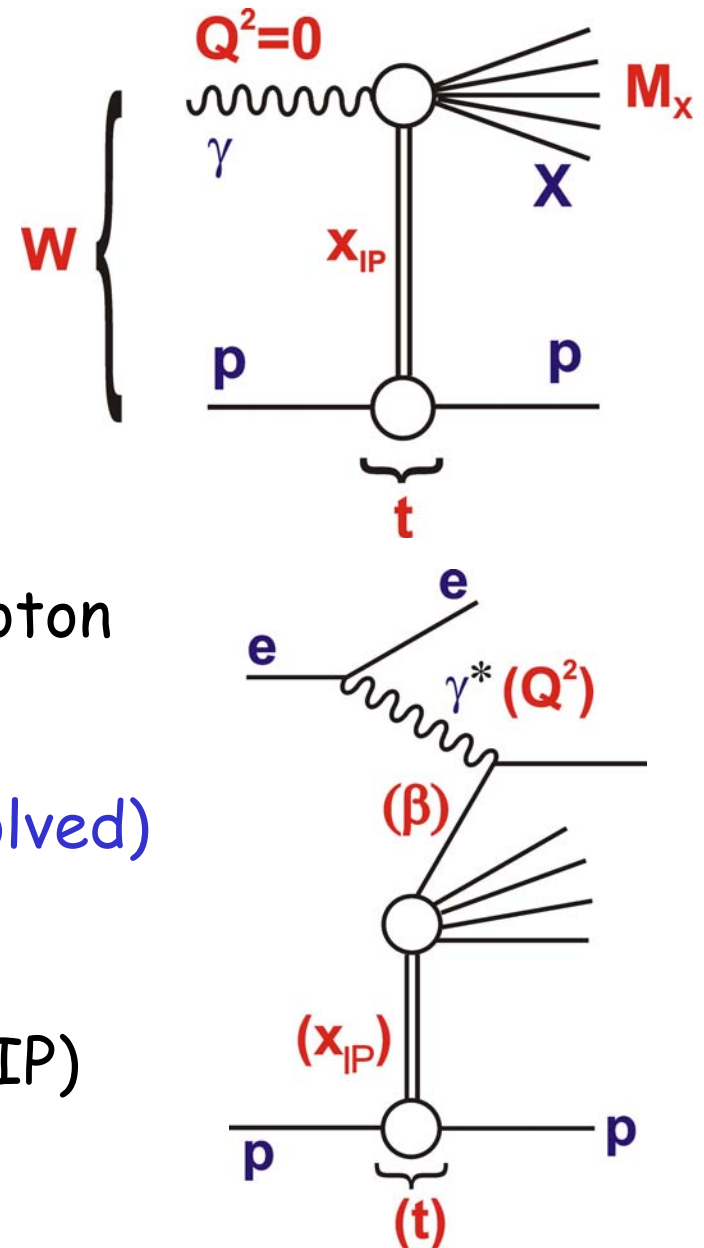
W = γp centre of mass energy

t = squared 4-momentum transfer at proton vertex

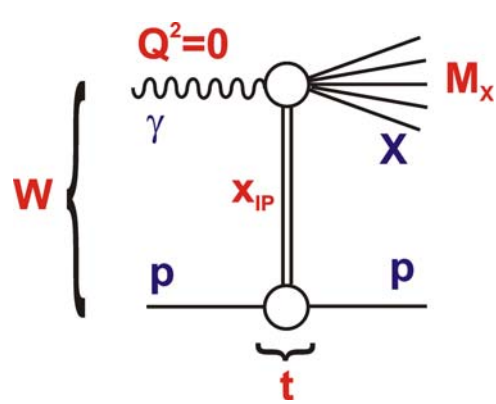
$x_{IP} = \xi = M_X^2/W^2$
= fractional momentum loss of proton
(momentum fraction IP/p)

For large Q^2 (partonic structure resolved)

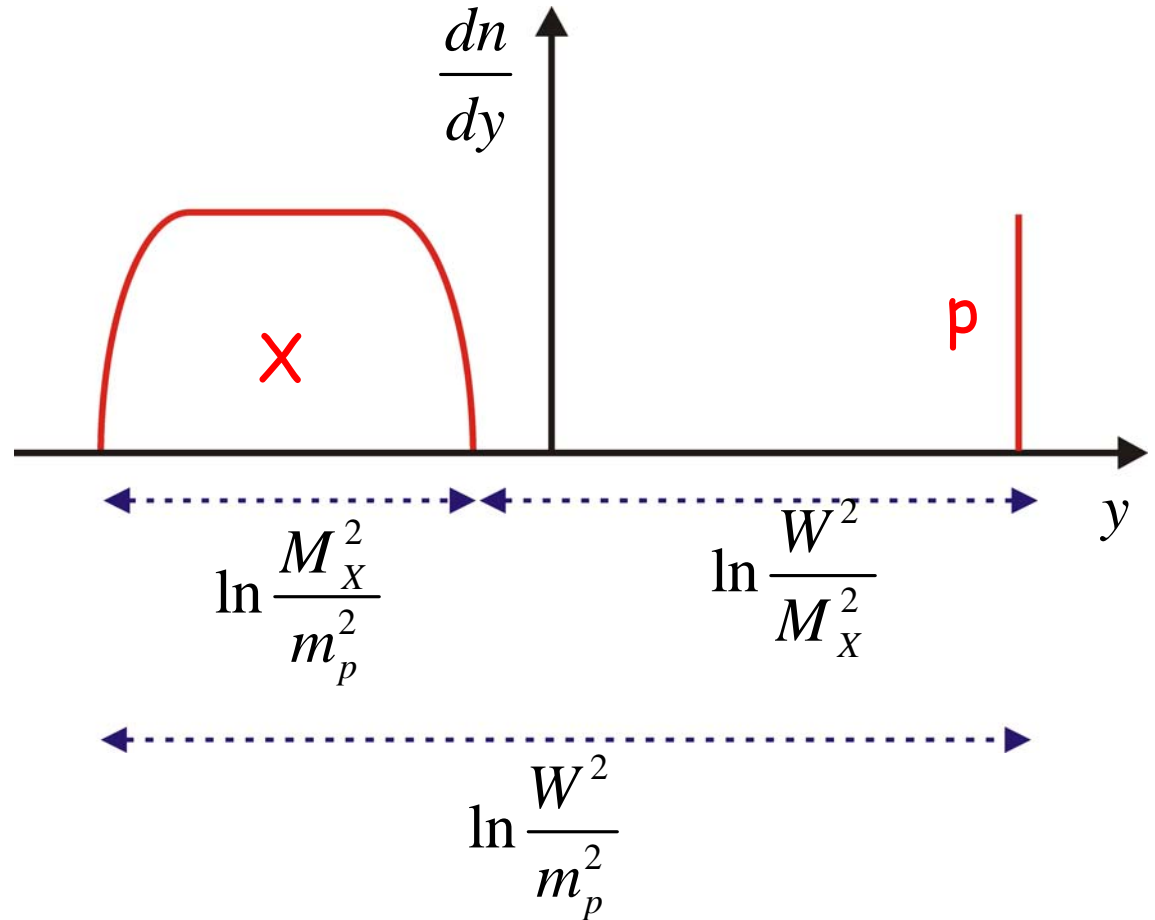
$\beta = x / x_{IP}$
(momentum fraction, struck q / IP)



Basic Single Diffractive Event Topology



γp system of invariant mass W fragments to produce particles over rapidity range $\sim \ln(W^2/m_p^2)$

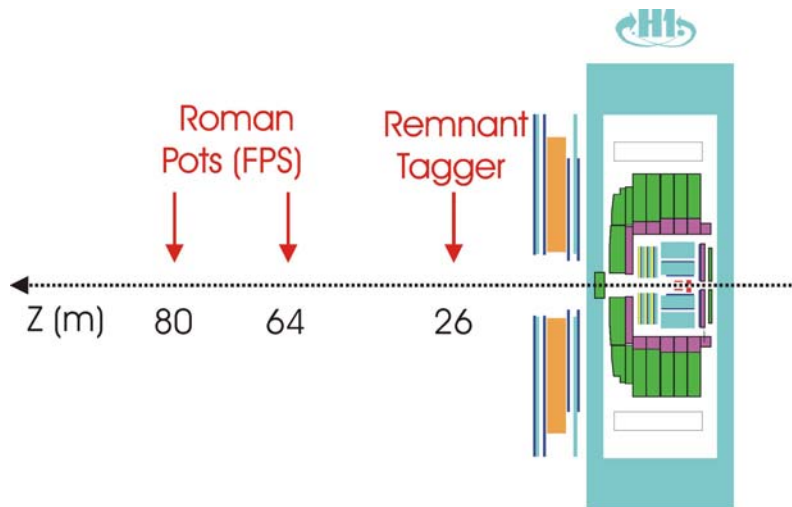


Similarly, diffractive system of mass M_X fragments over rapidity range $\sim \ln(M_X^2/m_p^2)$ leaving rapidity gap of size $\sim \ln(W^2/M_X^2) \sim -\ln x_{IP}$

Particle production within X shows similar patterns to ND⁹

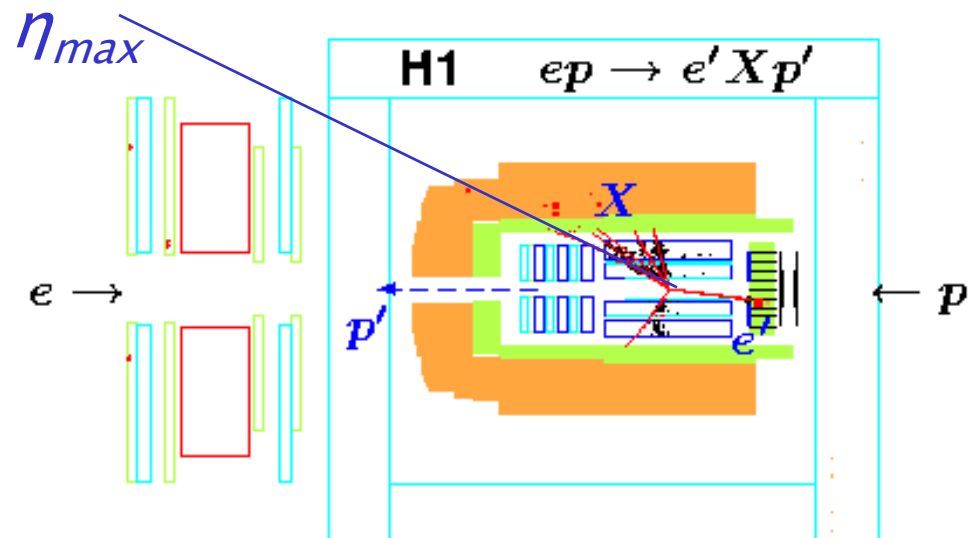
Signatures and Selection Methods

Scattered proton in ZEUS
LPS or H1 Leading Proton
Spectrometers (LPS)



Limited by statistics and
p-tagging systematics

'Large Rapidity Gap' (LRG)
adjacent to outgoing (untagged)
proton

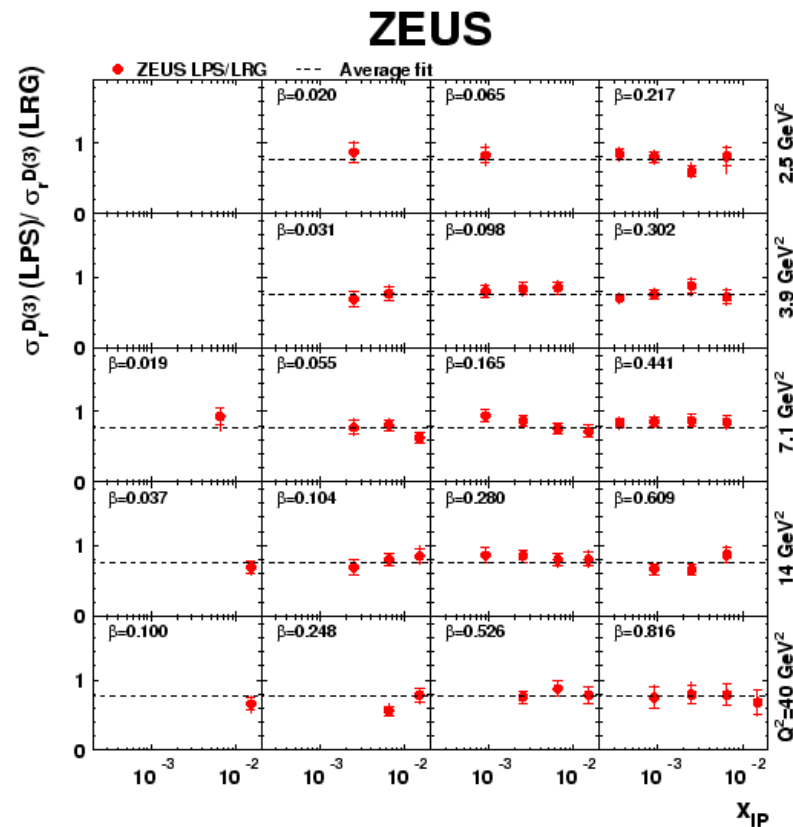
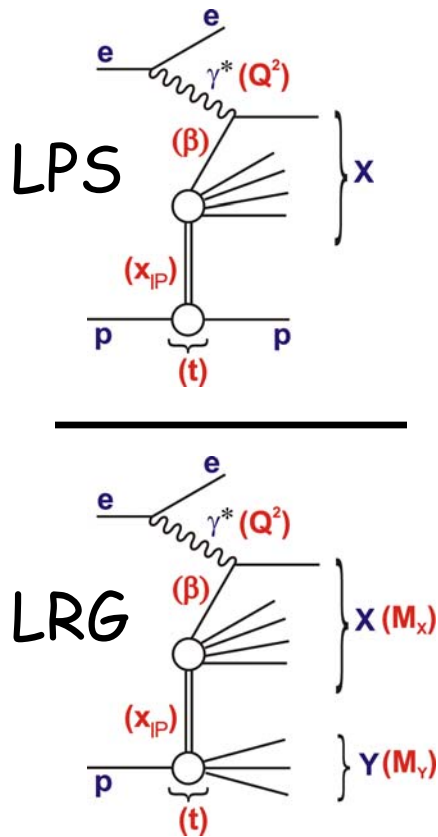


Limited by p-diss systematics

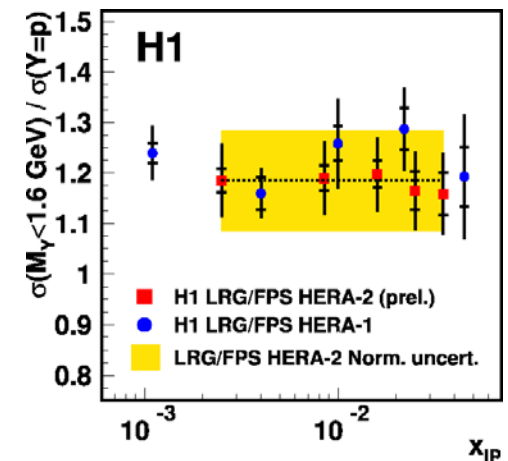
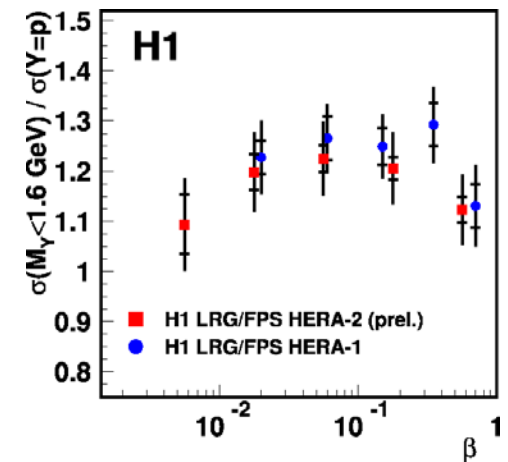
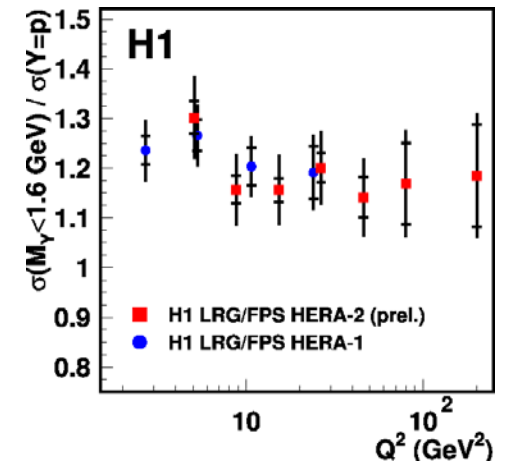
The 2 methods have very different systematics

Also 'Mx method' via decomposition of diffractive mass¹⁰ distn

Comparisons between Methods

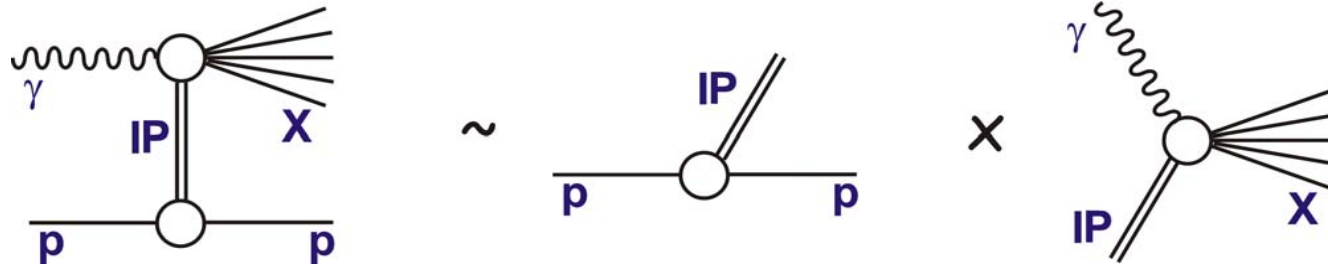


- LRG selections contain typically 20% p diss
 - No significant dependence on any variable
 - Similar compatibility with Mx method
- ... well controlled, precise measurements



Single Diffractive Photon Dissociation

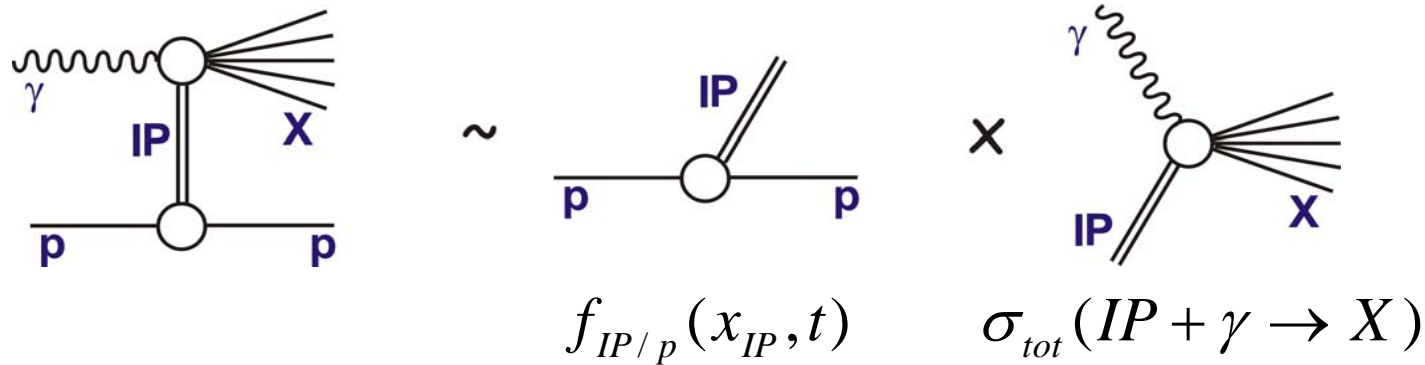
Basic 'proton vertex' factorisation hypothesis
... withstood many HERA tests



$$f_{IP/p}(x_{IP}, t) \quad \sigma_{tot}(IP + \gamma \rightarrow X)$$

- Many different analysis extracted pomeron flux $f_{IP/p}$ from (quasi)-elastic and single diffractive cross sections
... directly related to same vertex in pp scattering
- Total cross section $\sigma_{tot}(IP + \gamma \rightarrow X)$ described by:
 - Triple Regge phenomenology for soft processes
 - Diffractive parton densities (DPDFs) for hard processes

Pomeron Flux Factor from Single Diffraction

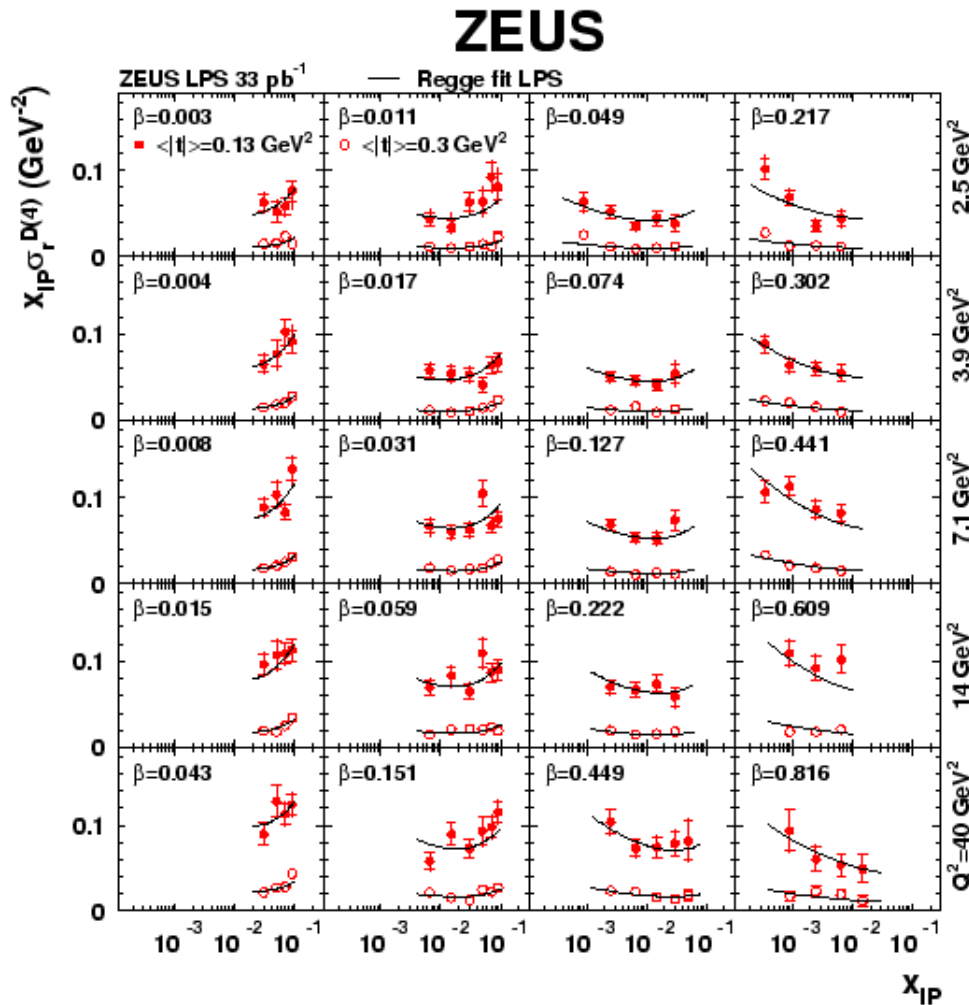


All x_{IP} and t dependence contained in flux factor.
Standard parameterisation based on Regge theory ...

$$f_{IP/p}(x_{IP}, t) = \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}} \quad \alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP} t$$

Multiple extractions in photoproduction and DIS
Good agreement between experiments ...

$\alpha_{IP}(0)$ from Energy Dependences



• Consistent results from many different extractions in DIS and photoproduction

e.g. from Diffractive DIS:

ZEUS

$$\alpha_{IP}(0) = 1.11 \pm 0.03(\text{exp.}) \pm 0.02(\text{model})$$

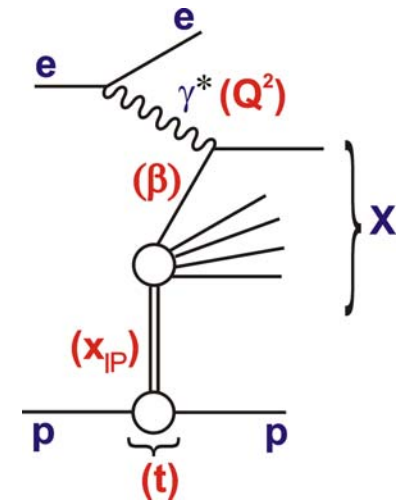
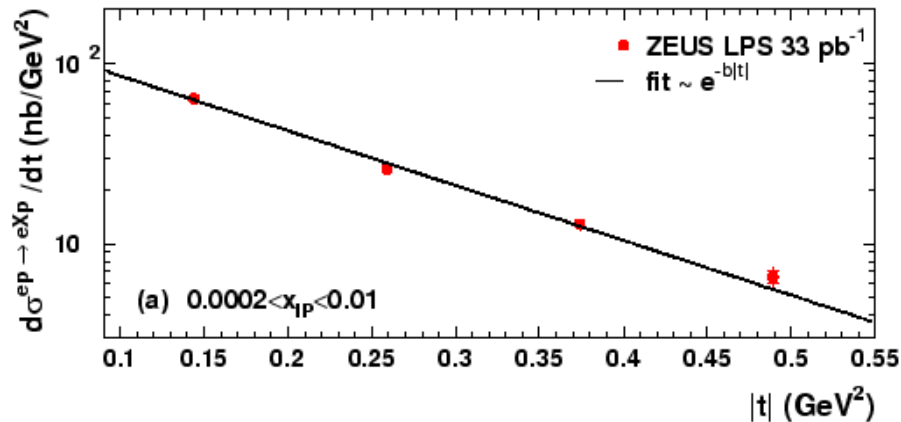
H1

$$\alpha_{IP}(0) = 1.12 \pm 0.01(\text{exp.}) \pm 0.02(\text{model})$$

Consistent with soft IP ... e.g. DL fits to σ_{tot} ... $\alpha_{IP}(0) \sim 1.08$
 Sub-leading exchanges visible at high x_{IP} , low β

t Dependence from LPS / FPS

ZEUS



Fitting $e^{b|t|}$ yields $b = 6-7 \text{ GeV}^{-2}$, independently of β, Q^2 (fac'n)

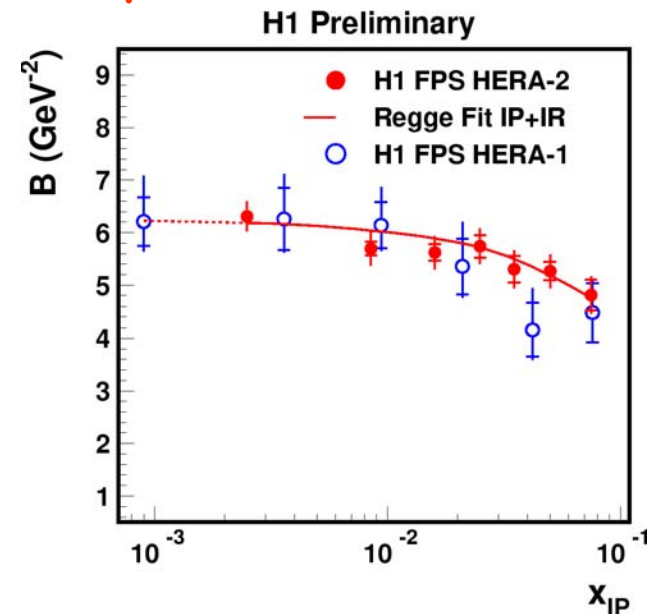
Very little x_{IP} dependence in
 pomeron dominated low x_{IP} region

ZEUS LPS: $\alpha'_{IP} = -0.01 \pm 0.08 (\text{exp.})$

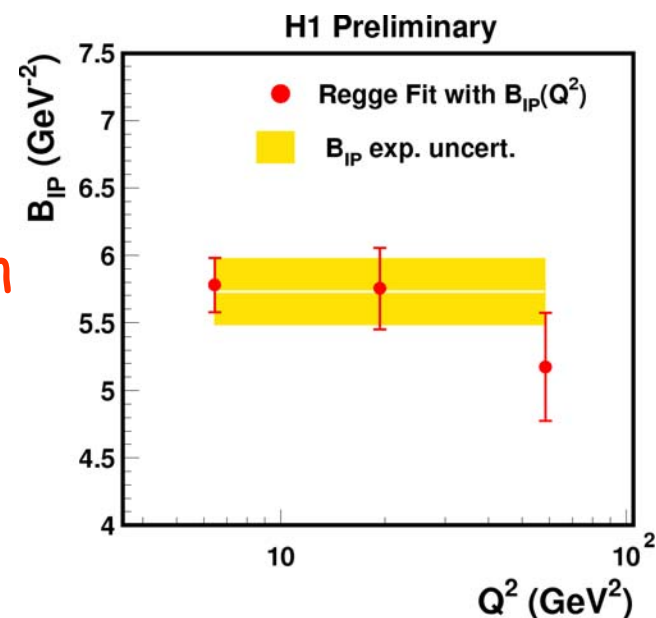
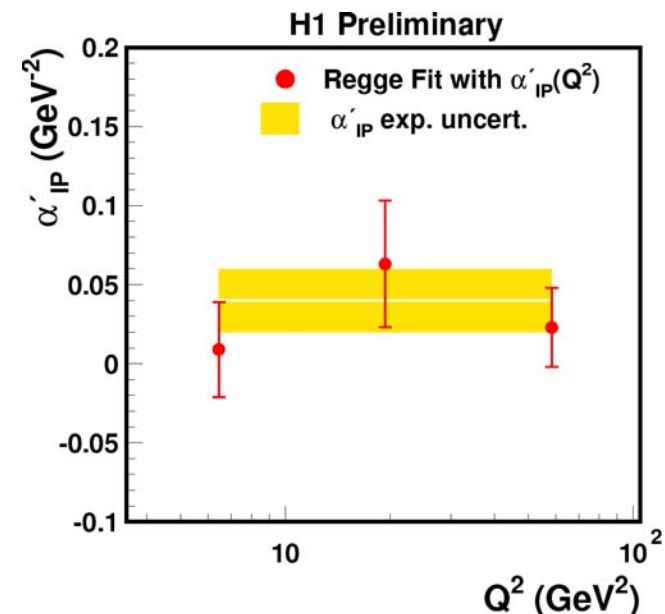
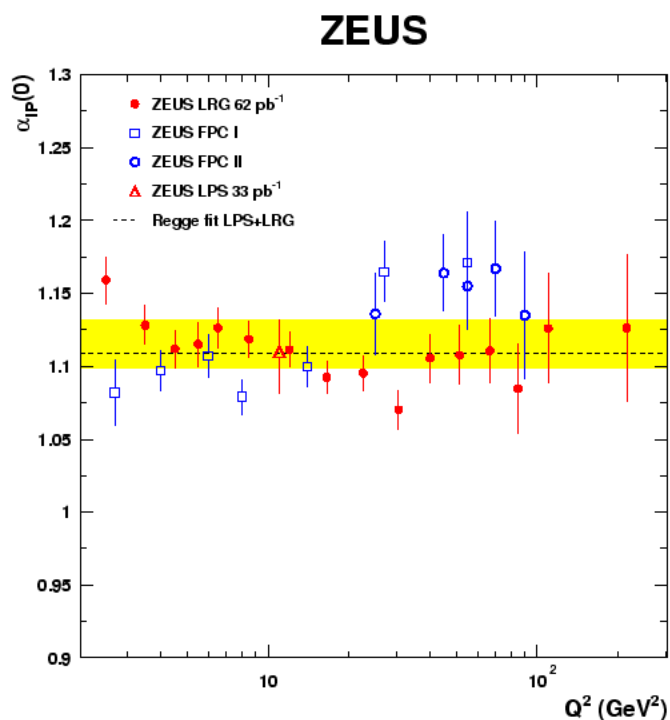
H1 FPS: $\alpha'_{IP} = 0.04 \pm 0.02 (\text{exp.}) \pm 0.03 (\text{mod.})$

$B_{IP} = 5.7 \pm 0.3 (\text{exp.}) \pm 0.6 (\text{mod.})$

... α'_{IP} different from soft IP \rightarrow
 different Multiple interactions / absorption?



Proton Vertex Factorisation Tests



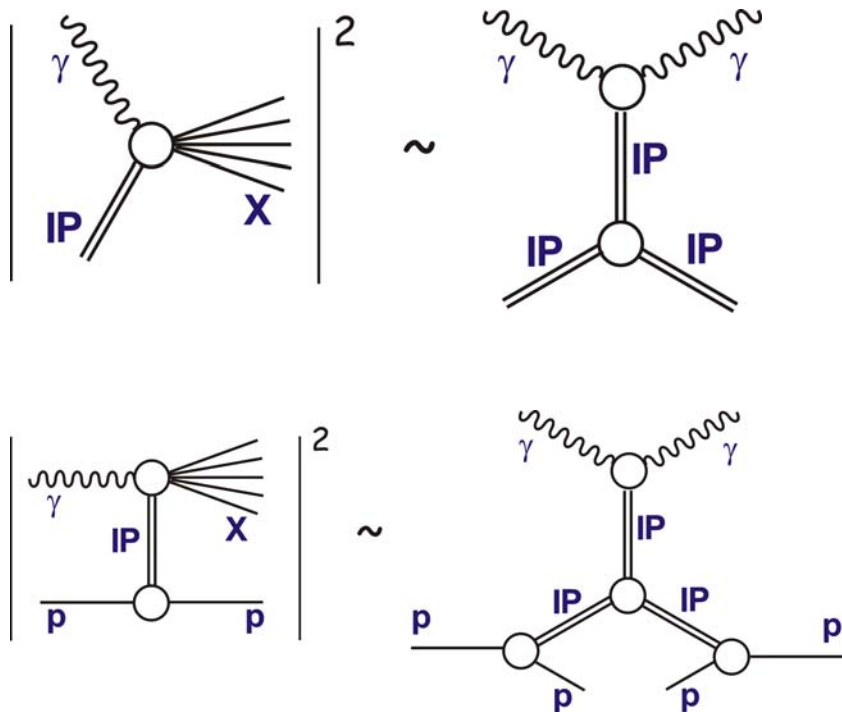
- Pomeron trajectory parameters independent of Q^2 to good approximation (DDIS basically probes a soft pomeron)

- PHOJET and PYTHIA don't yet use flux factor information from HERA

Soft Diffraction in Photoproduction

- When $Q^2 \rightarrow 0$, photon develops its own structure and interacts very much like a vector meson ($\rho, \omega, \phi \dots$)
- ... hadron-hadron scattering \sim soft pp diffraction at the LHC

- Total γ IP x-section derived from triple Regge phenomenology [Similar treatment for DD]



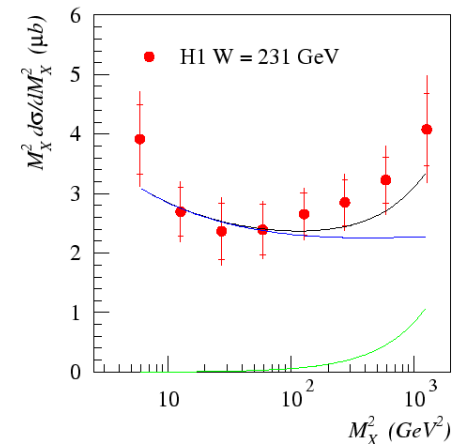
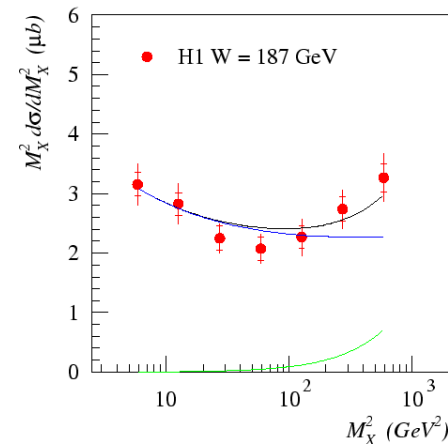
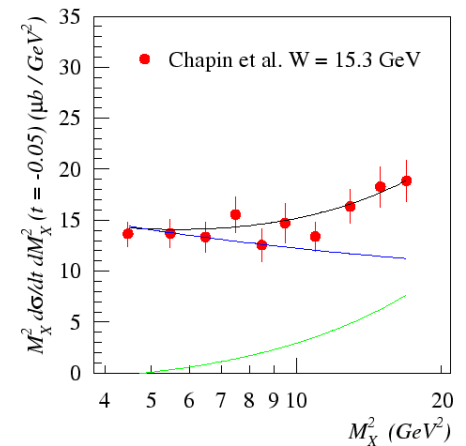
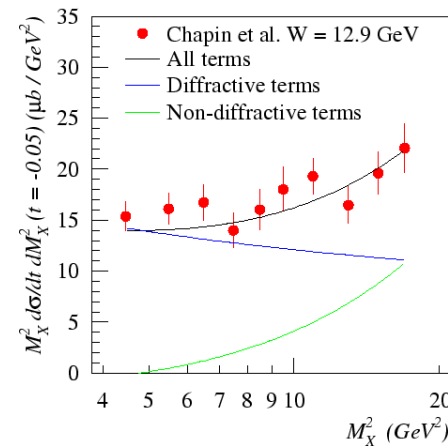
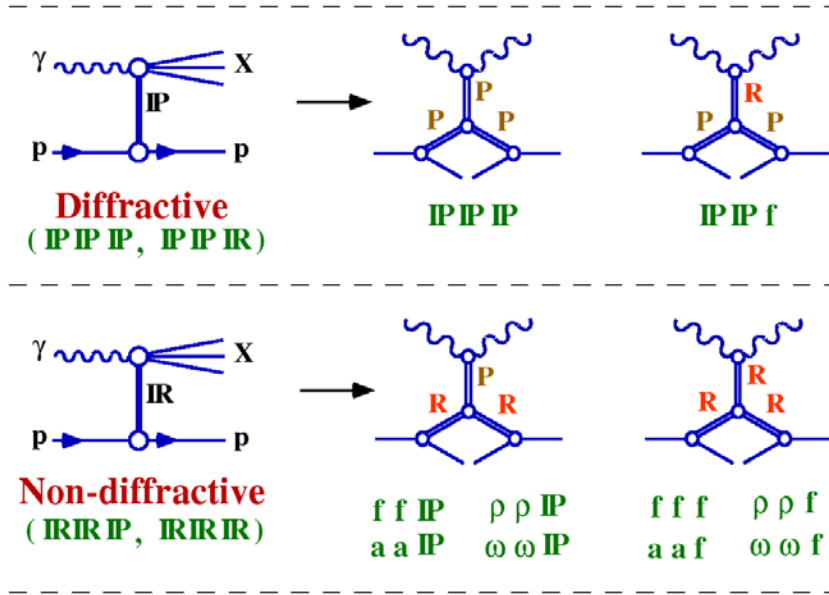
- In addition to pomeron trajectory, triple pomeron amplitude depends on pIP , γIP and $3IP$ couplings.

- pIP and gIP couplings from σ_{tot}
 $3IP$ coupling only from SD / DD

$$\frac{d\sigma}{dtdM_X^2} = \frac{1}{16\pi} g_{3IP}(t) \beta_{pIP}(t)^2 \beta_{\gamma IP}(0) s^{2\alpha(t)-2} M_X^{2[\alpha(0)-2\alpha(t)]} \xrightarrow{t \rightarrow 0} s^{0.16} \left(\frac{1}{M_X^2} \right)^{1.08} e^{B(\xi)t}$$

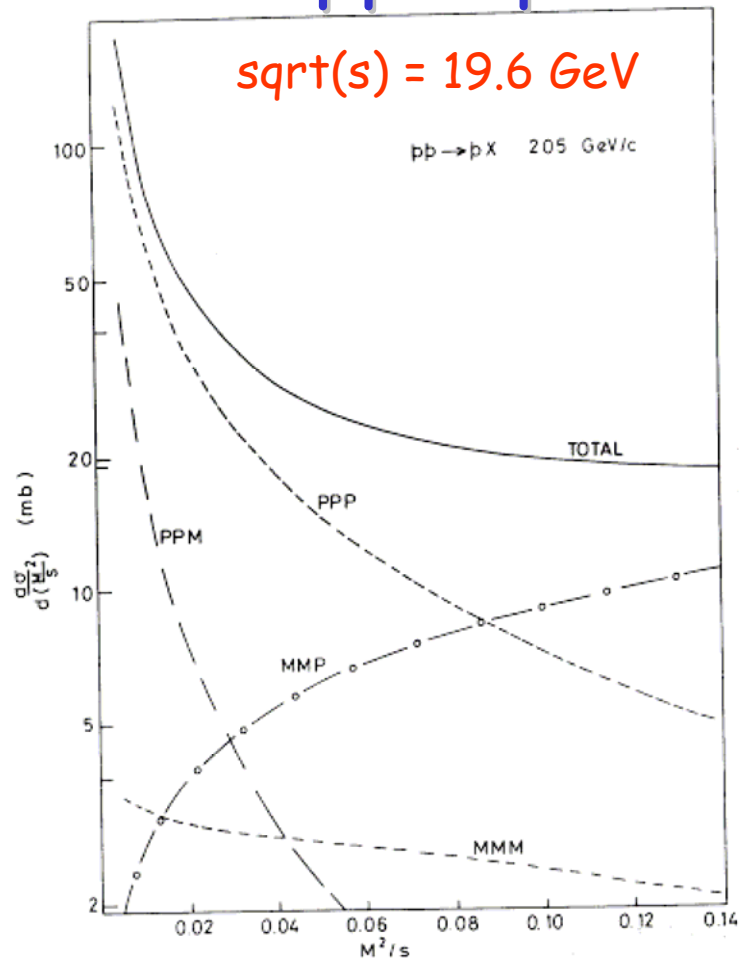
Soft γp Single Diffractive Cross Section

Complication: Triple Regge diagrams can have non-pomeron as well as pomeron contributions



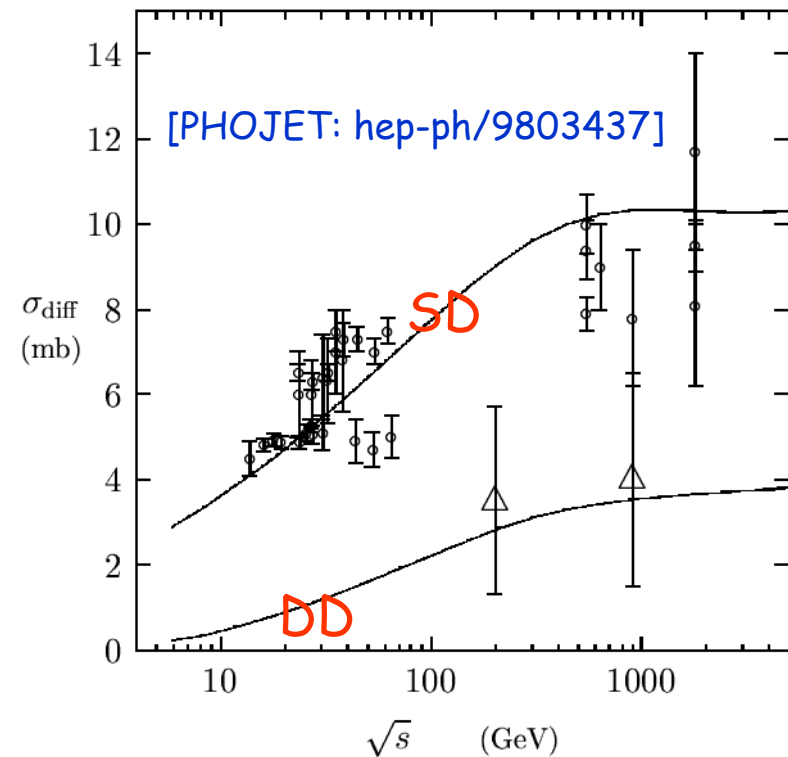
- Example fit to H1 and fixed target $\gamma p \rightarrow Xp$ data shows non-diffractive contributions present at small s and large x_{IP} .

Sub-Leading Terms and $pp \rightarrow pX$



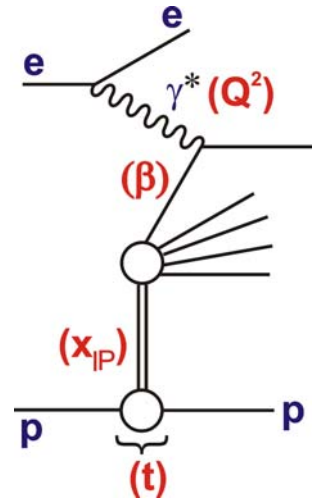
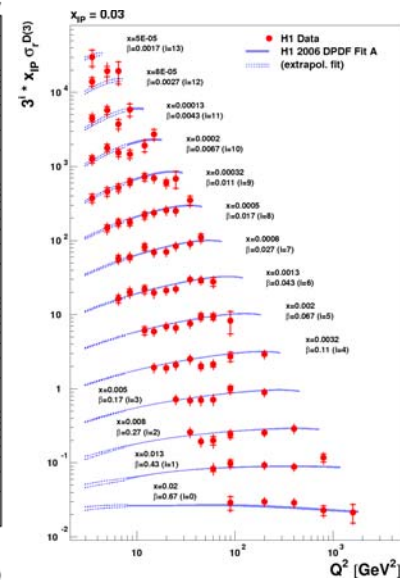
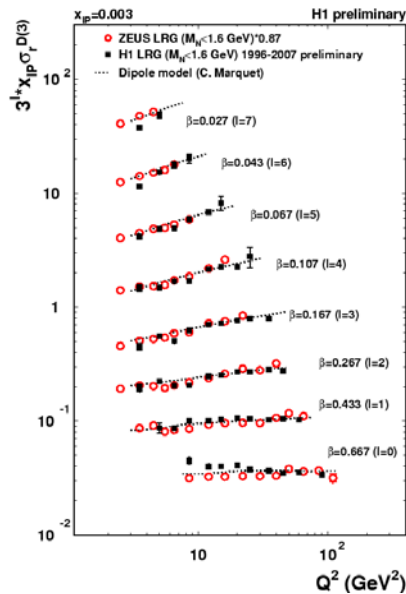
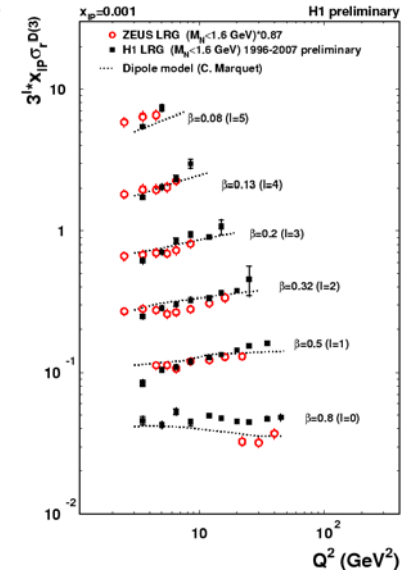
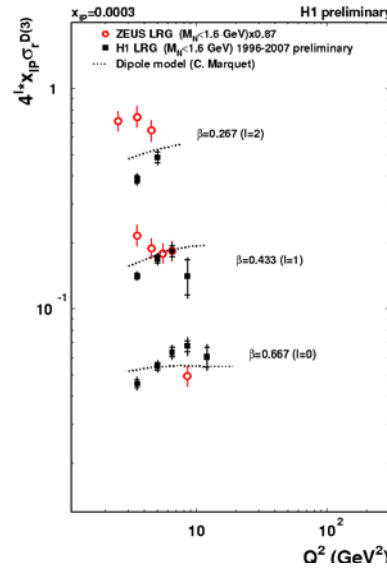
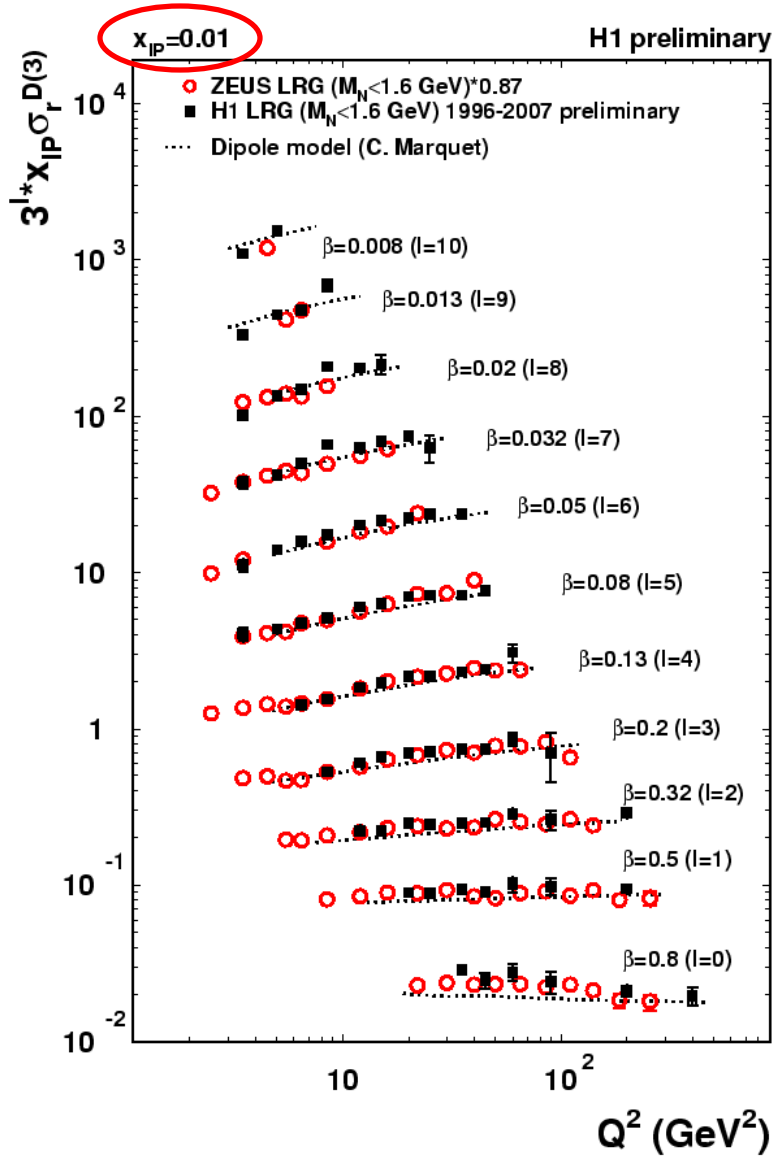
Ancient (ISR) triple Regge
phenomenology of $pp \rightarrow pX$

Roberts & Roy: NP B77 (1974) 240
Field & Fox: NP B80 (1974) 367



- Sub-leading terms suppressed like $1/\sqrt{s}$ or stronger
... negligible at LHC,
- Perhaps influence assumed 3IP coupling in MC models?

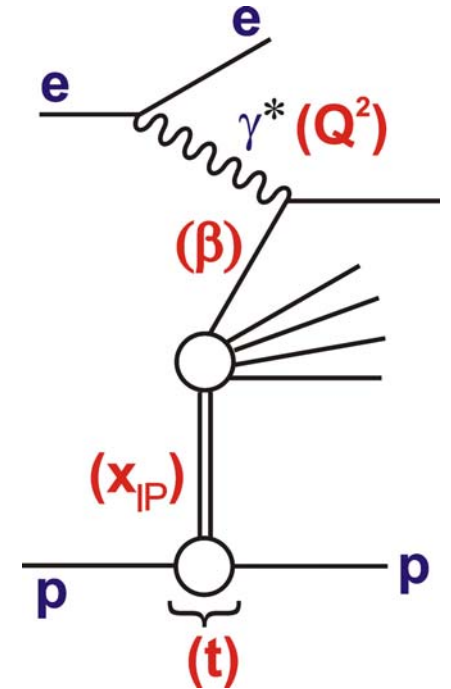
ZEUS v H1 Diffractive DIS Data



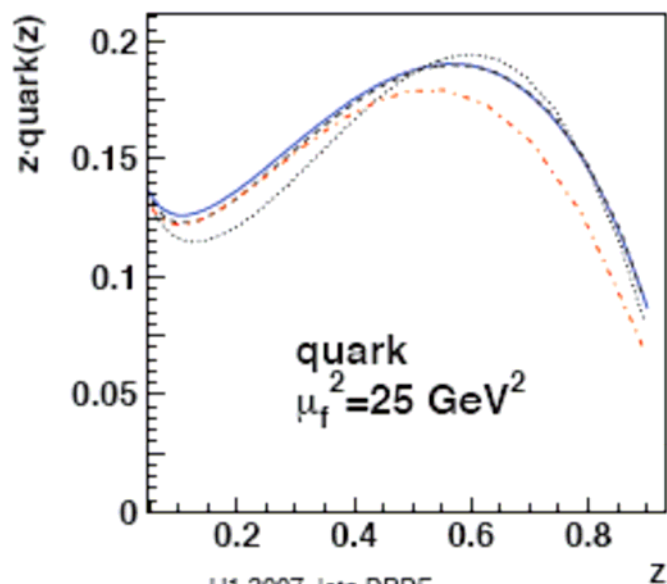
Few % precision over wide kinematic range ... still improving ...
 [~13% normalisation difference between H1 and ZEUS]

Extracting Diffractive Quarks and Gluons

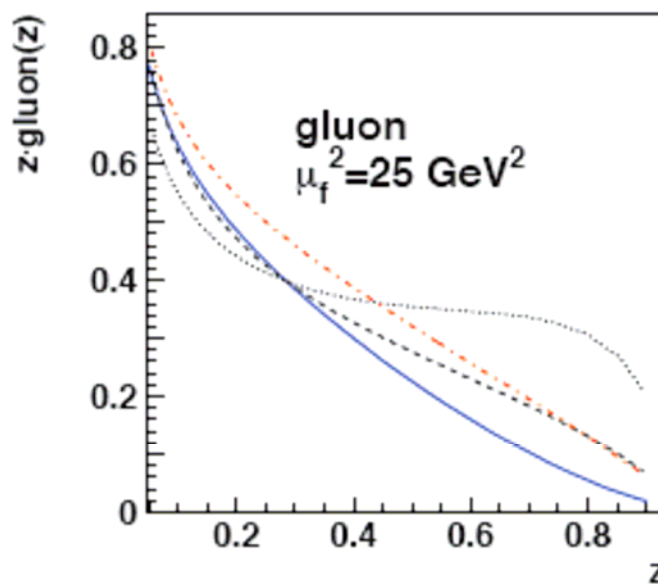
- Fit β and Q^2 dependence of DIS SD data at fixed x_{IP} , similar to inclusive PDF fits
- Use proton vertex factorisation with $\alpha_{IP}(t)$ from FPS and LRG data to relate data from different x_{IP} values with complementary β , Q^2 coverage.
- Parameterise DPDFs at starting scale Q_0^2 for QCD evolution ...
... evolve to higher Q^2 using NLO DGLAP equations and fit β and Q^2 dependence for DPDFs
- Include sub-leading exchange (important at large x_{IP})
- Experimental & modelling uncertainties propagated to DPDFs



Comparison of Fits to H1 Data



— H1 2007 Jets DPDF
 - - - H1 2006 DPDF fit B
 — H1 2006 DPDF fit A
 - - - Martin, Ryskin, Watt 2006

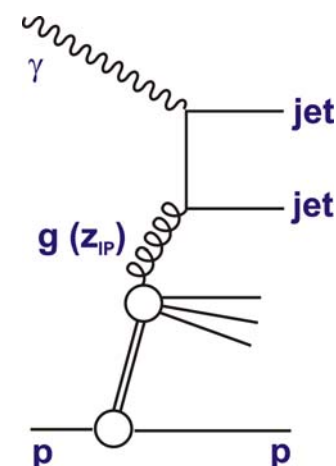
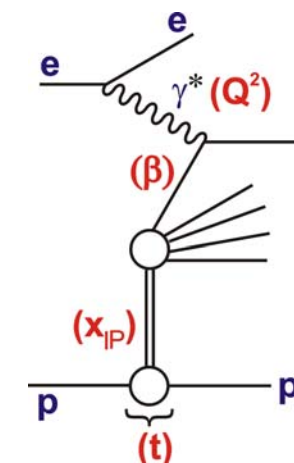


- Gluon contribution dominates

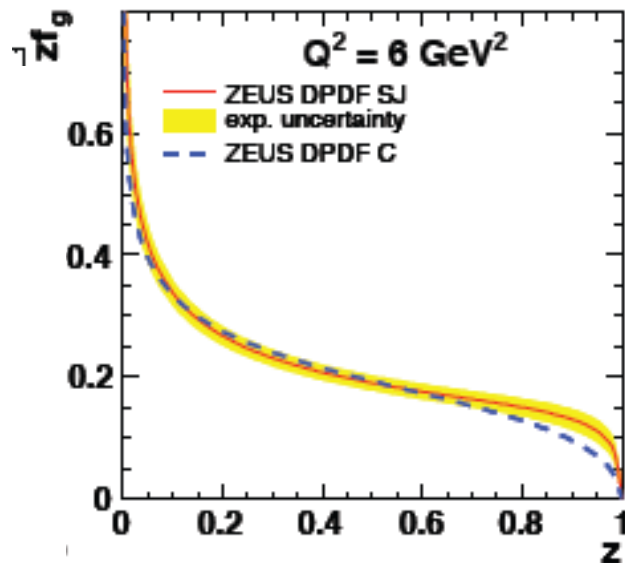
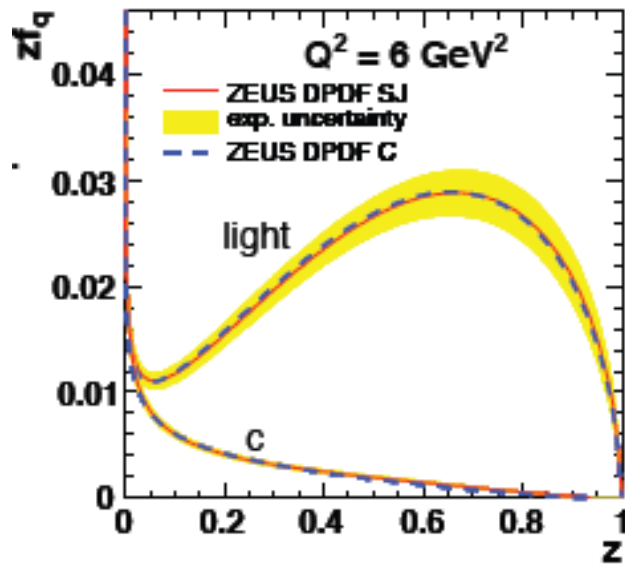
- Quark contribution constrained to $\sim 5\%$

- Gluons constrained to $\sim 15\%$ at low z ($=\beta$), growing fast as $z \rightarrow 1$

- Better constraints on high z gluon by including diffractive jet data.

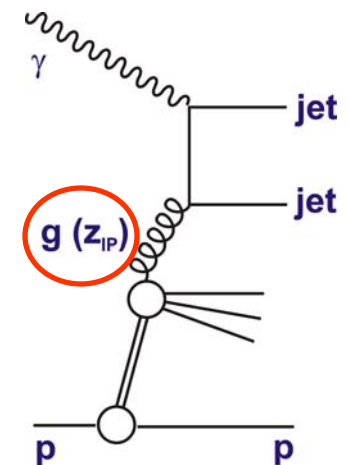
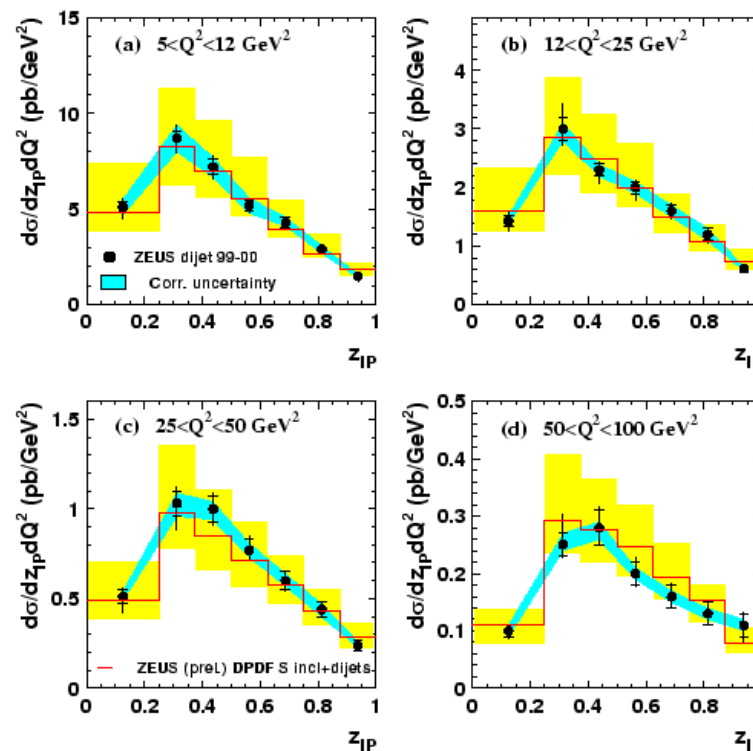


ZEUS DPDFs from Inclusive and Jet Data



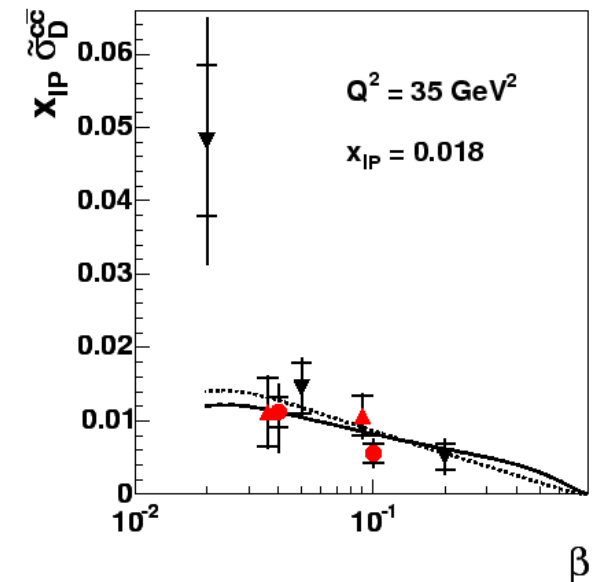
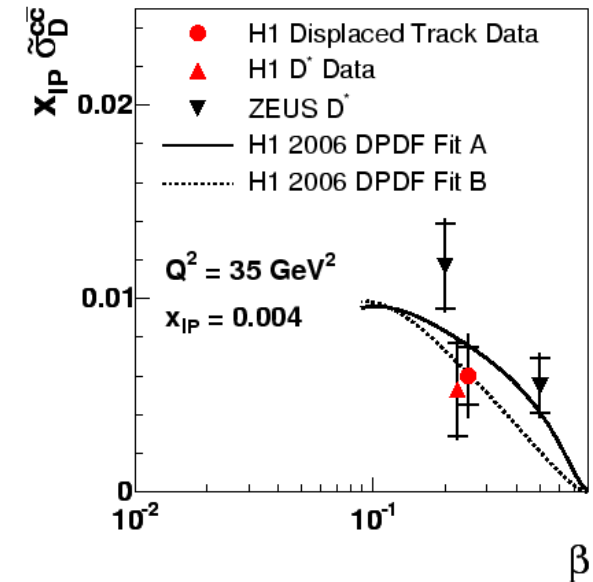
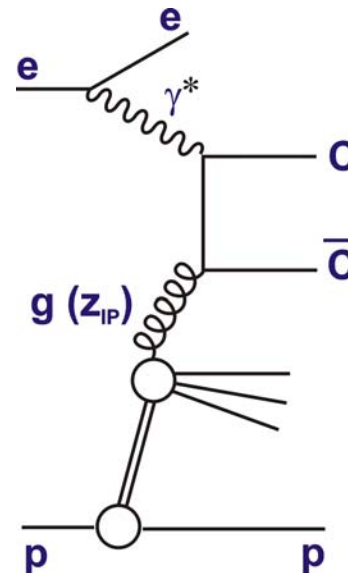
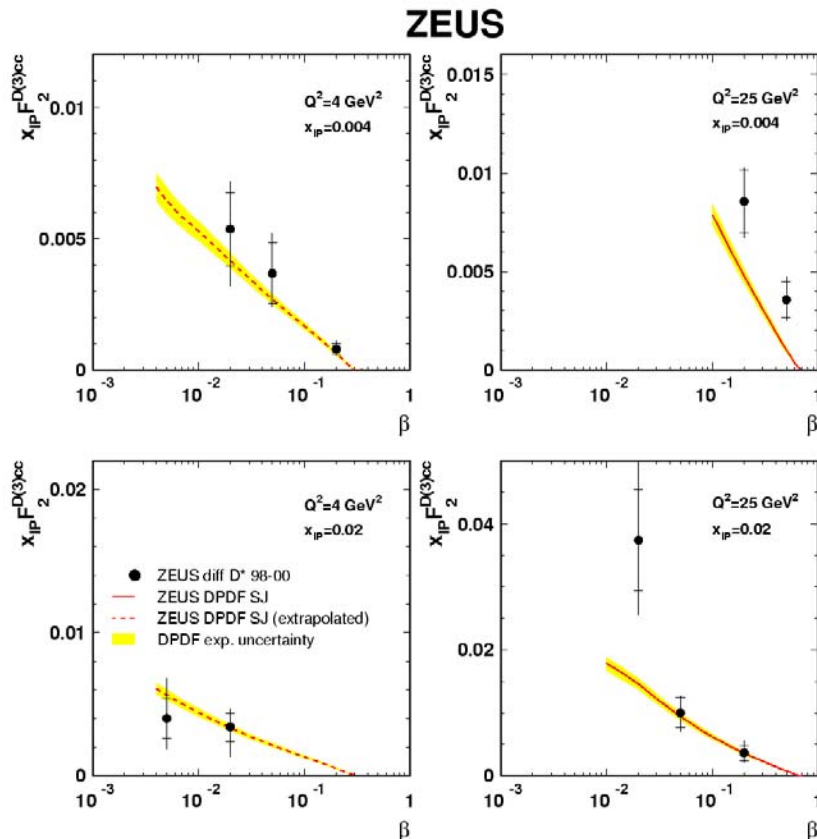
- Recent ZEUS fits to better stats, more sophisticated heavy flavour treatment ... consistent with H1 up to normalisation factor in data
- Successful descriptions of diffractive Final state data in DIS ...

ZEUS



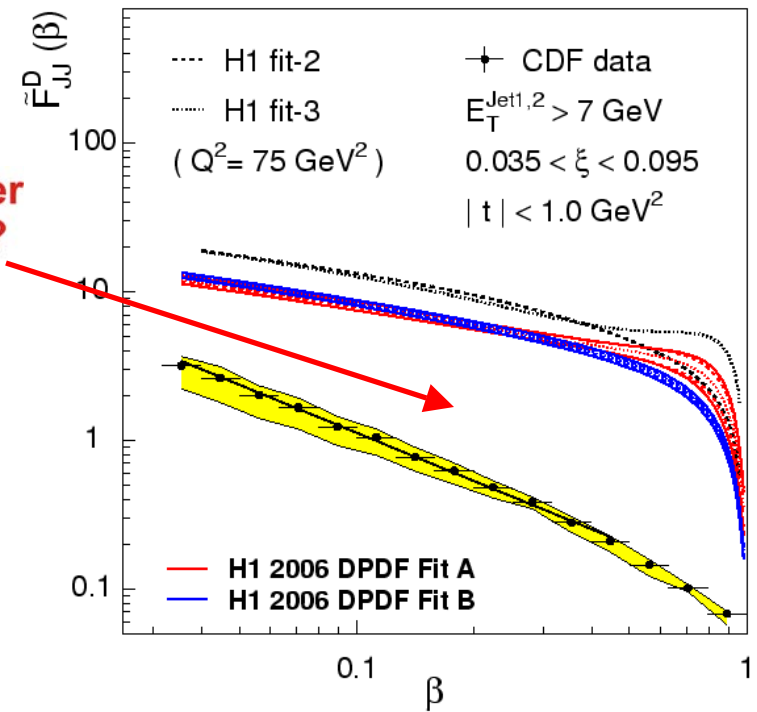
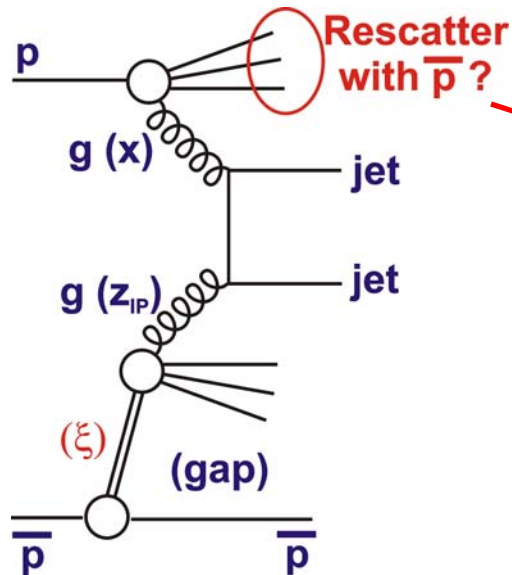
Describing other diffractive DIS processes

As well as inclusive x-sections and jets, DPDFs describe diffractive charged current, charm, particle flow & spectra ...



Predicting Tevatron Data

Tevatron effective DPDFs from dijets show strong factorⁿ breaking compared with HERA DPDFs ...
 'gap survival' factor $S^2 \sim 0.1$



... usually explained by multiple interactions / absorption

- Rapidity gap survival probabilities / multiple interactions relevant not only to (short-distance) gaps between jets
- Also relevant to partonic processes in $pp \rightarrow pX$ at low t (large impact parameter)

Monte Carlo Implementations

PHOJET

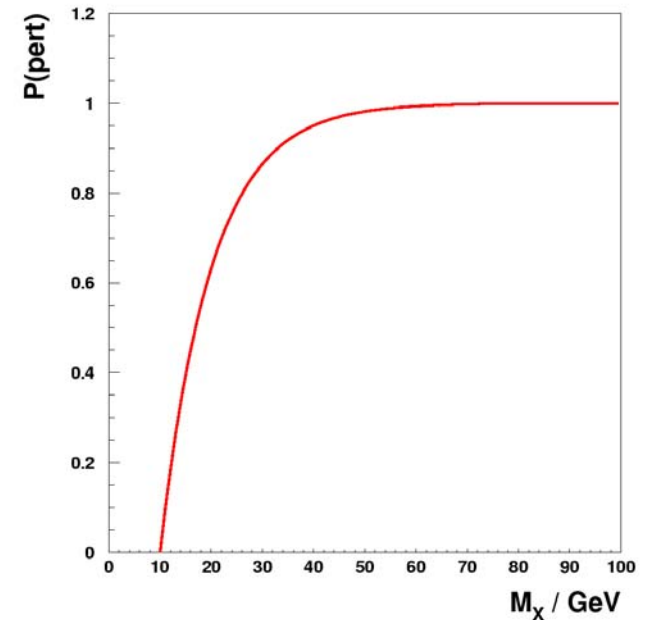
- Fairly standard IP flux
- Two components (soft / hard)
- Divided at $p_t = 3 \text{ GeV}$
- (Old) CKMT model of DPDFs

PYTHIA8

- Choice of (old) IP fluxes
- Two component (soft / hard)
- Divided according to smooth turn-on
- Hard component dominates for typical LHC M_X values
- Choice of modern DPDFs for hard part

RAPGAP / POMWIG

- Hard component only
- Consistent use of flux and DPDFs from fits to HERA data



None contain
models of MI
induced Rapidity
Gap Destruction

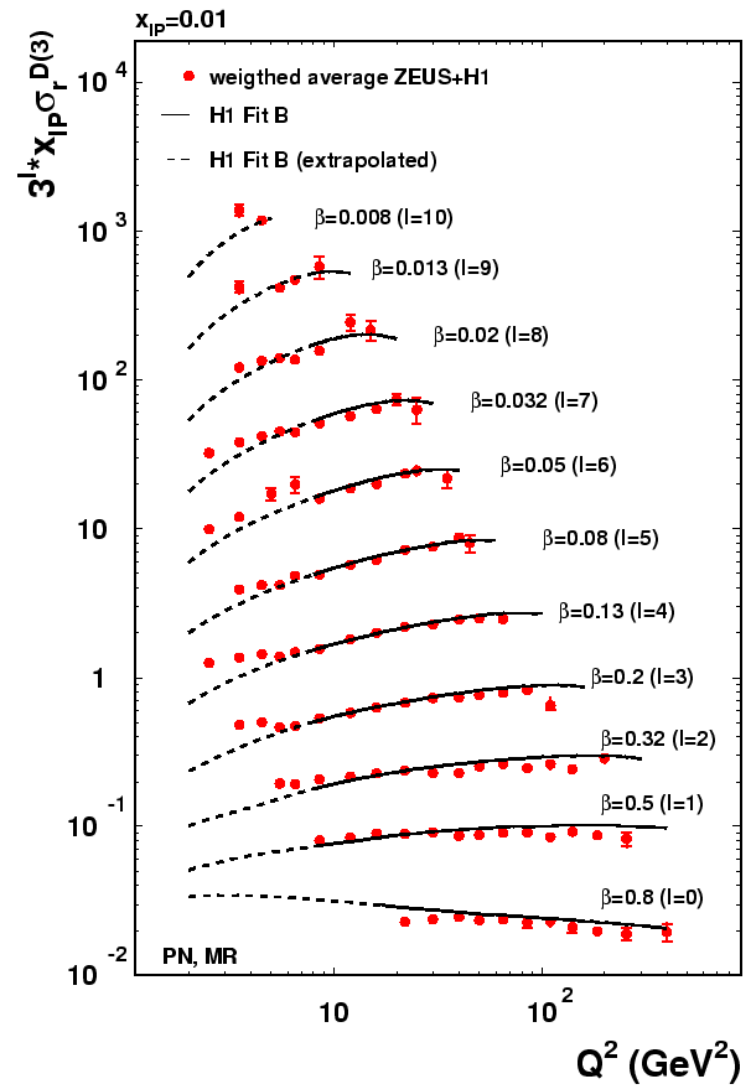
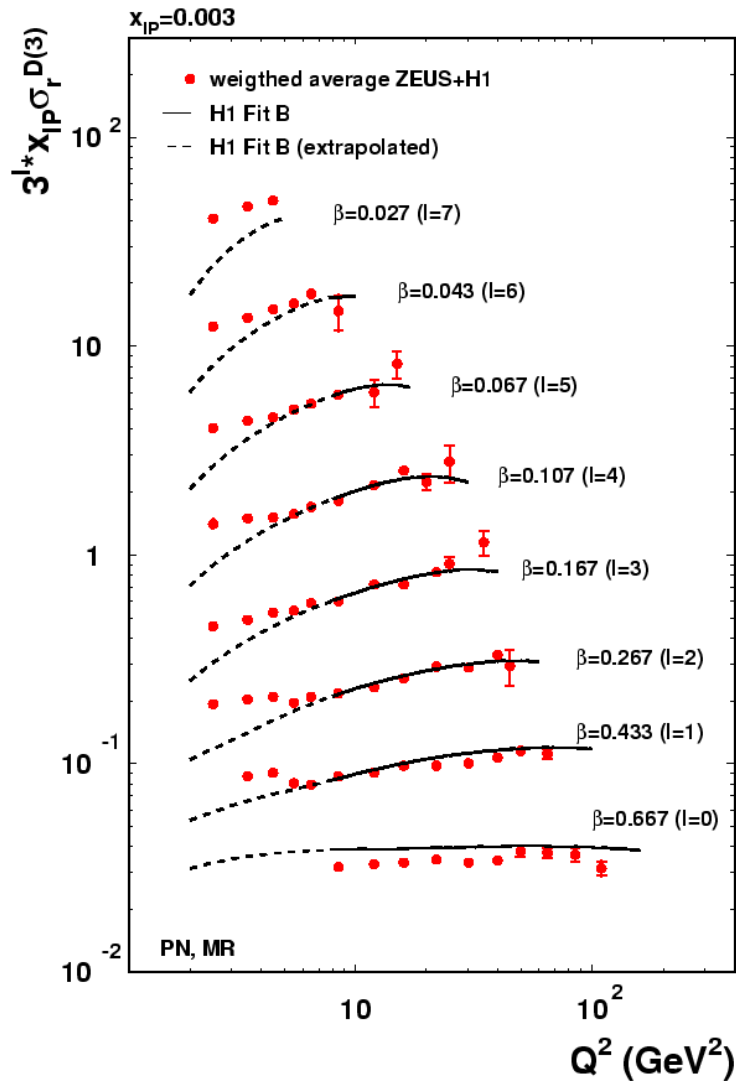
Summary

- Wealth of HERA diffractive data (more to come)
- Well tested cross section definition
- Agreement in detail between leading proton and rapidity gap selection methods
- Proton vertex factorisation with $\alpha_{IP}(t) \sim 1.11 (+ \delta t)$ & $b_{IP} \sim 6 \text{ GeV}^{-2}$ is good model for the 'soft' physics
- Well tested DPDFs give model for hard diffraction
- Rapidity Gap Survival issues remain to be clarified



Back-ups

First Combined LRG Data (Newman, Ruspa)



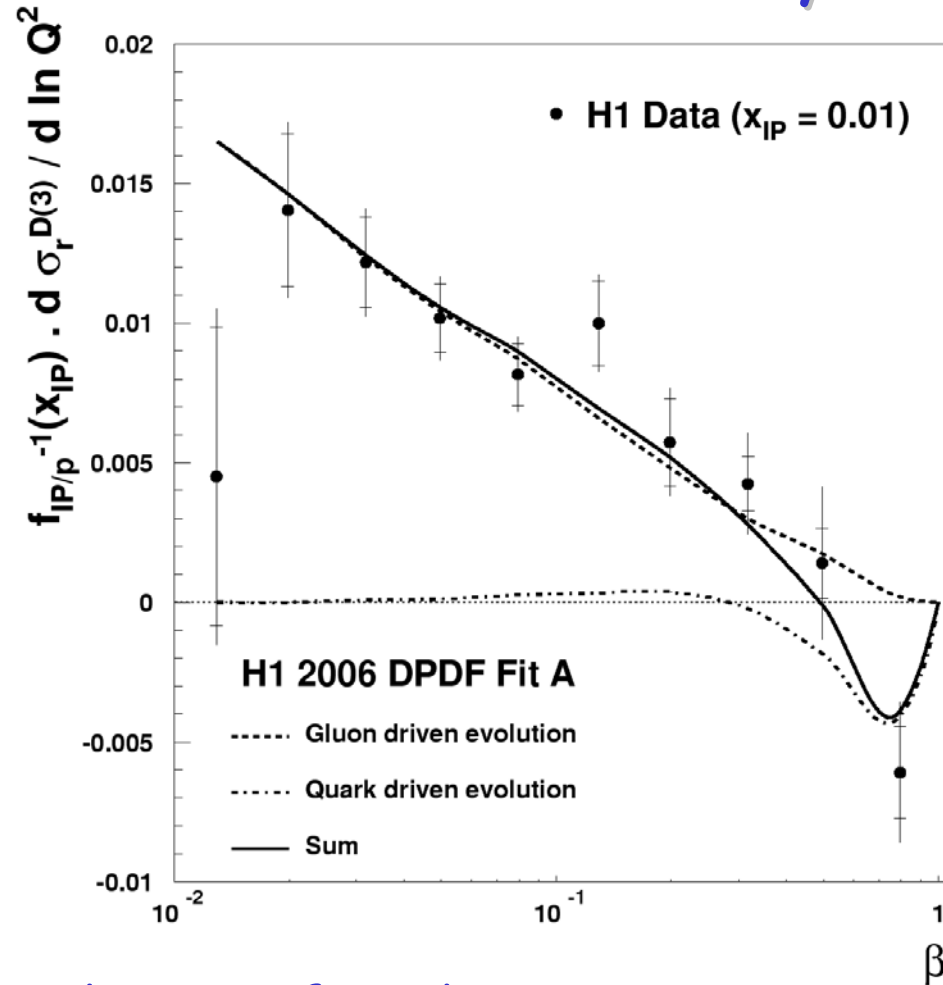
No big conflicts with existing DPDFs (quarks @ low, high β ?)²⁹

Q^2 Dependence and the Gluon Density

$\sigma_r^{D(3)}$ measures diffractive quark density.

Its dependence on Q^2 is sensitive to diffractive gluon density.

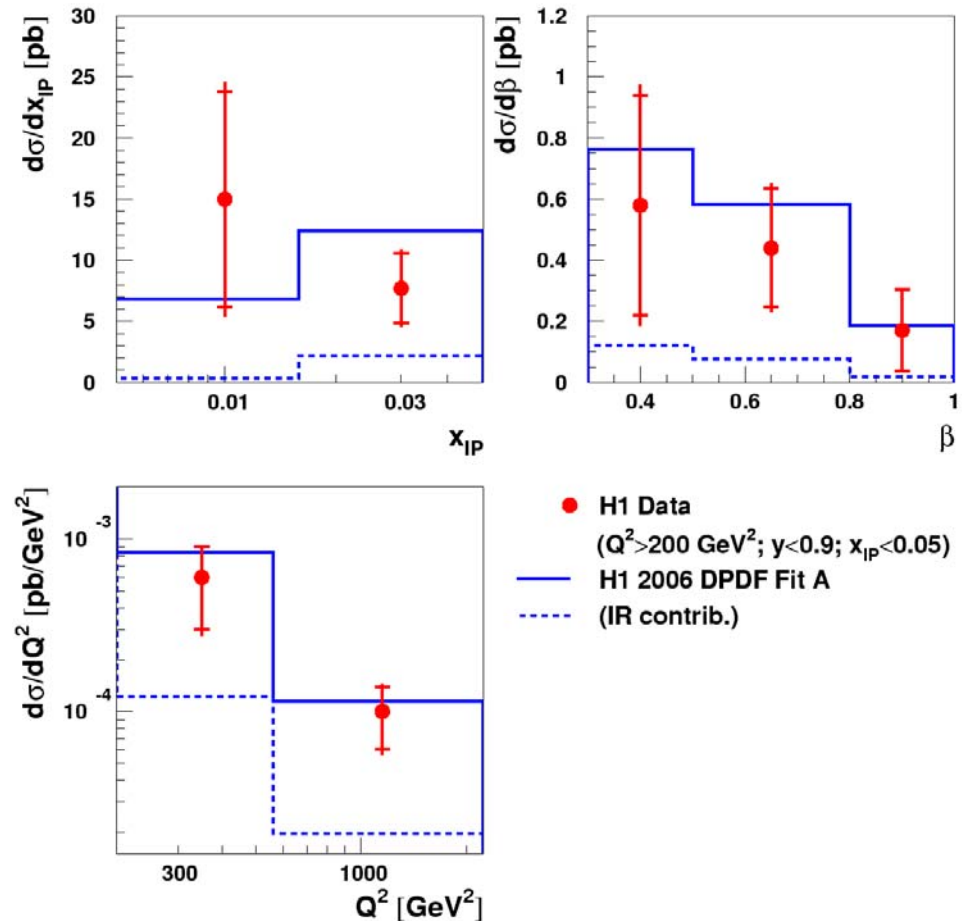
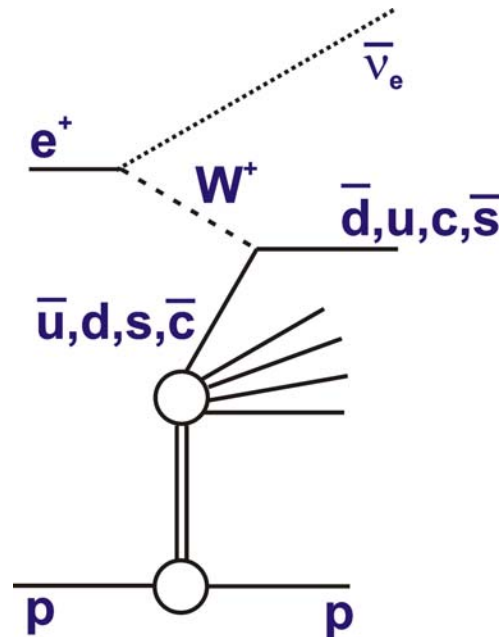
$$\frac{d\sigma_r^D}{d\ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[P_{qg} \otimes g + P_{qq} \otimes q \right]$$



Extract $d\sigma_r^D/d\ln Q^2$ by fitting data at fixed x, x_{IP}

- Low β evolution driven by $g \rightarrow q\bar{q}$... strong sensitivity to gluon
- High β , relative error on derivative grows, $q \rightarrow qg$ contribution to evolution becomes dominant ... sensitivity to gluon is lost!

Diffractive Charged Current Cross Section

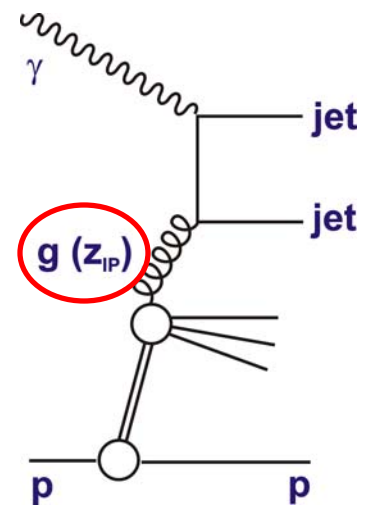


Very similar method
of measurement to
Neutral Current case.

Good agreement with fit prediction (assumes $u = d = s = \bar{u} = \bar{d} = \bar{s}$ and c from BGF) though statistical precision limited so far³¹

X-Section Differential in z_{IP}

$$z_{IP} = \frac{\sum_{jets} (E + p_z)}{2E_p x_{IP}}$$

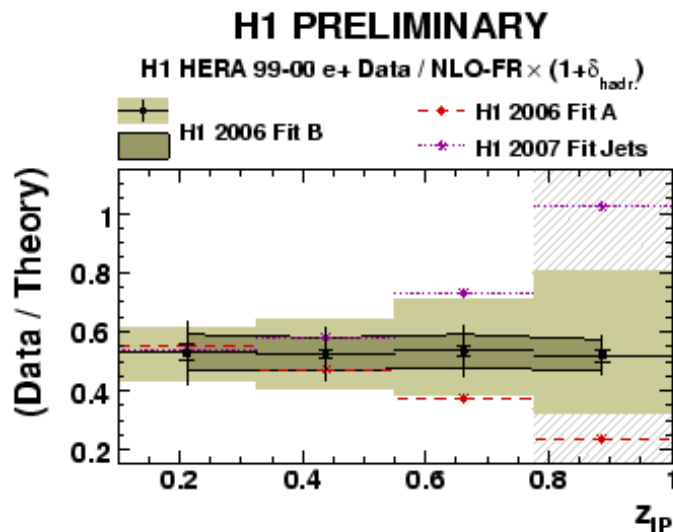
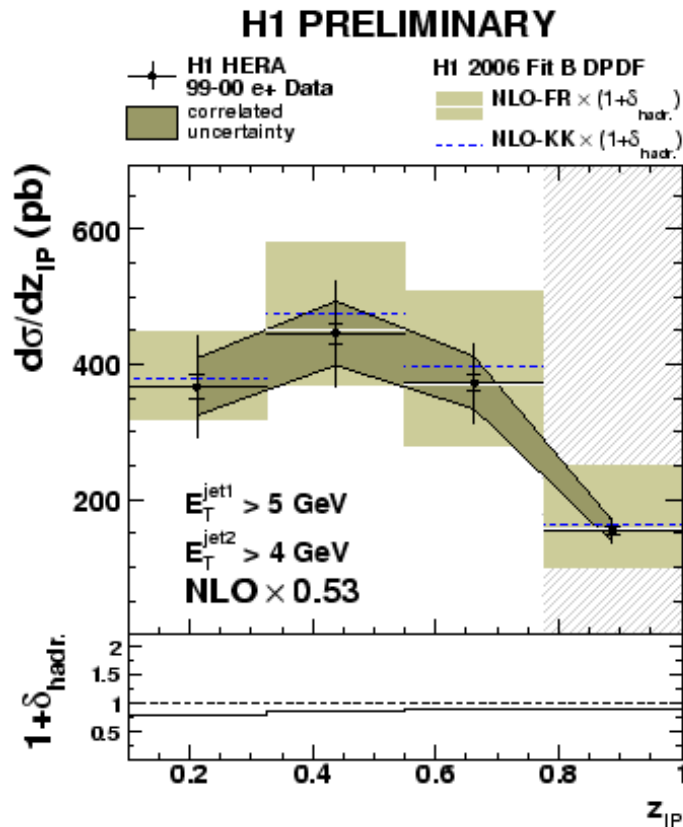


Global suppression
 ~ 0.5 needed for NLO
 calculations ... confirms
 previous result

Best shape description from Fit B

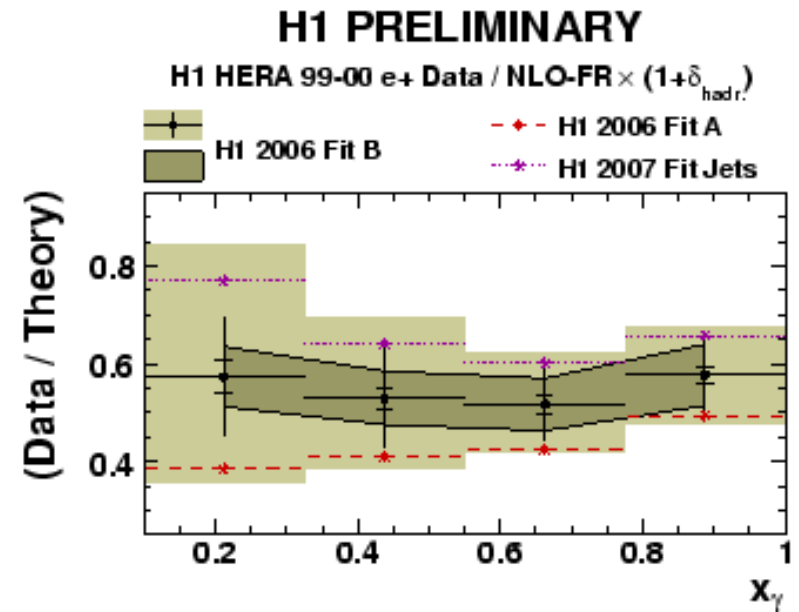
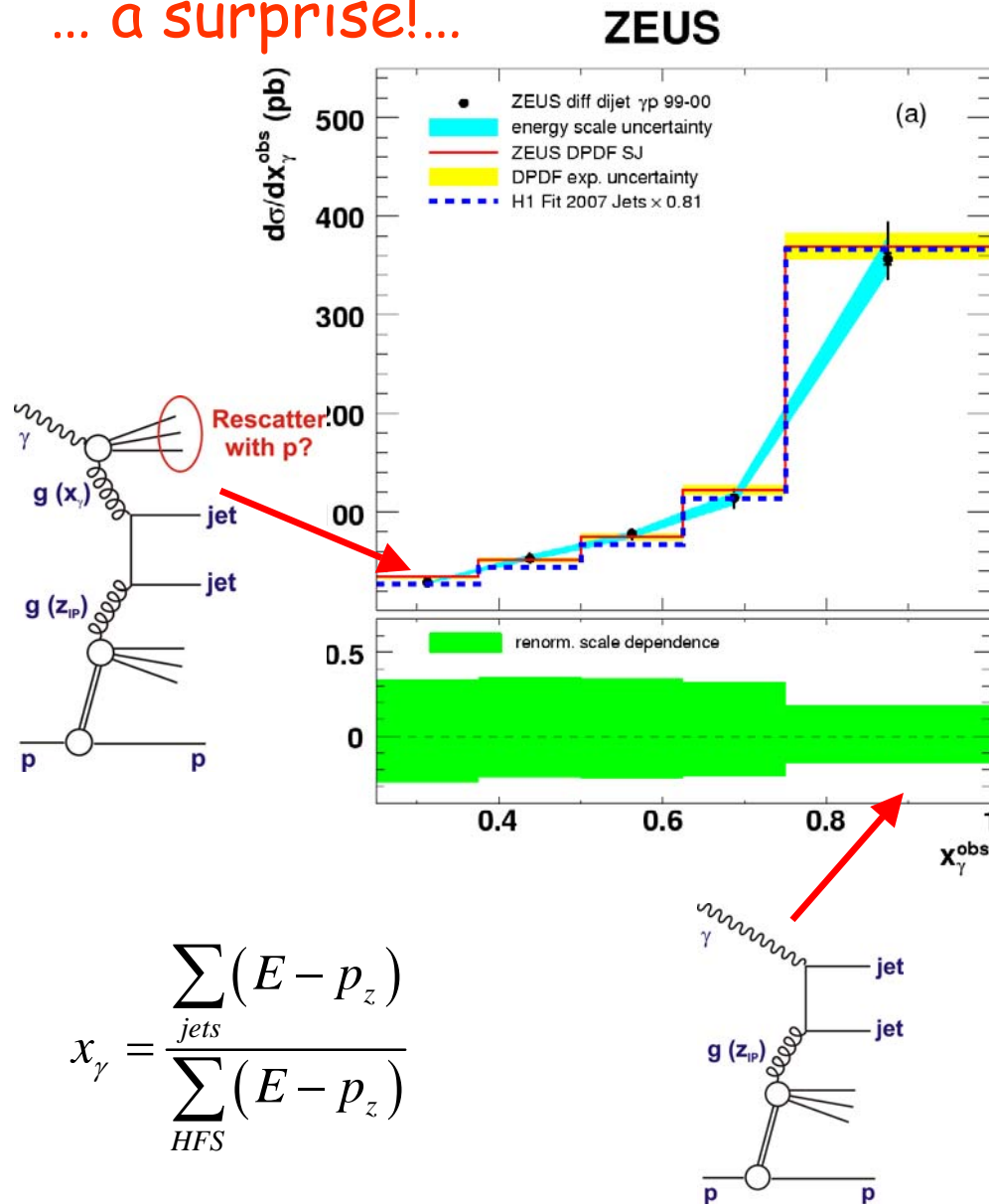
DPDF uncertainties small at low z_{IP} ,
 but explode at high z_{IP} !

Highest z_{IP} bin is even beyond the
 range of DPDF fits, so predictions
 should be taken very cautiously



X-Sec Differential in x_γ

... a surprise!...

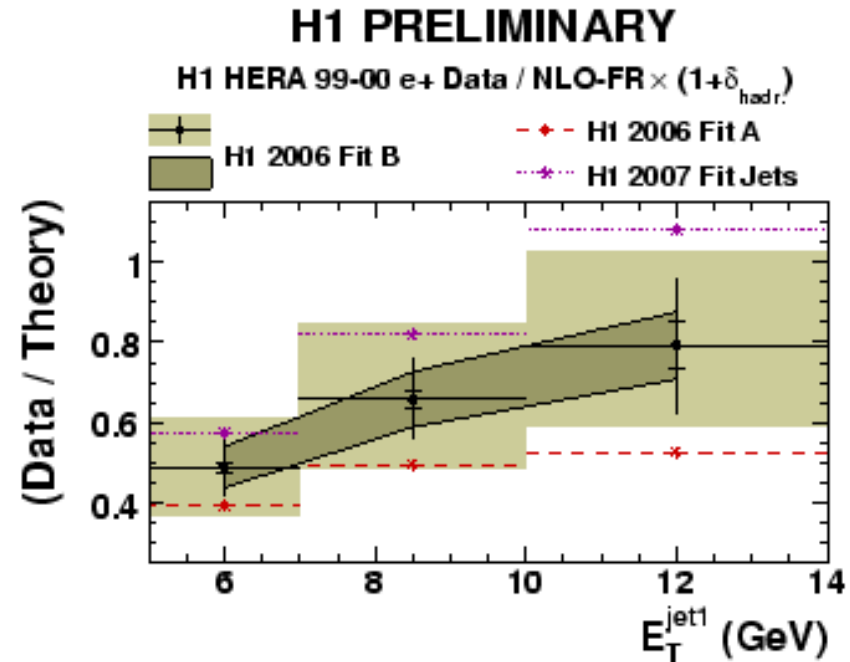
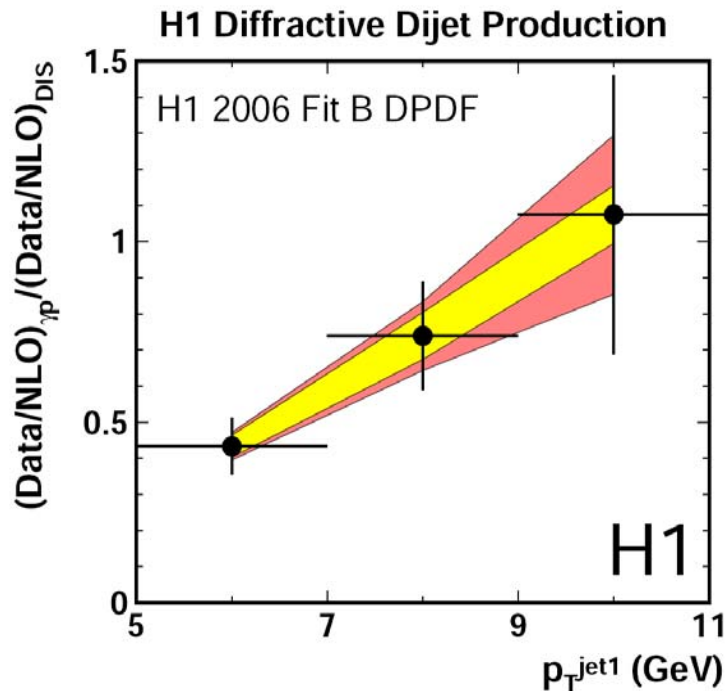


- Good shape description \rightarrow
 no significant difference
 between high / low x_γ !

- H1: $E_{\uparrow}^{jet1} > 5 \text{ GeV}$
 ... suppression by factor ~ 2

- ZEUS: $E_{\uparrow}^{jet1} > 7.5 \text{ GeV}$
 ... little or no suppression

Cross Section Differential in E_T



- Suggestions of harder E_T dependence in data than NLO theory ... thus of E_T dependent gap survival probability
- Could rescattering effects for photon depend on E_T , not x_γ ?
- Non-trivial kinematic correlations ... final conclusion pending!