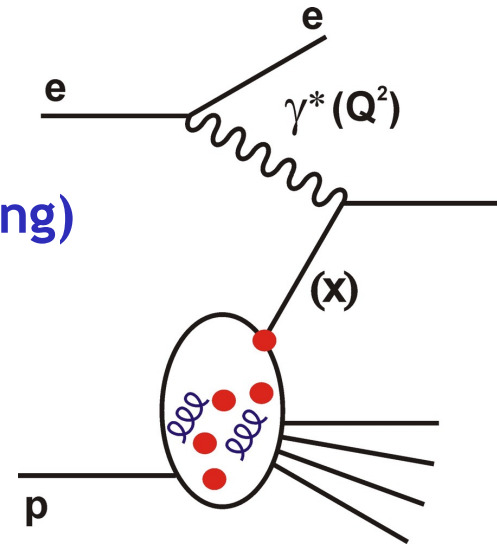


# Inclusive DIS Measurements at the EIC

Paul Newman (Birmingham)

with Claire Gwenlan (Oxford), Tyler Kutz (MIT),  
Barak Schmookler (UC Riverside), Qinghua Xu (Shandong)

- 1) Current snapshot experimental status
- 2) Experimental issues  $\rightarrow$  precision
- 3) Early EIC performance simulations
- 4) Early simulated EIC data
- 5) Some thoughts on MCs

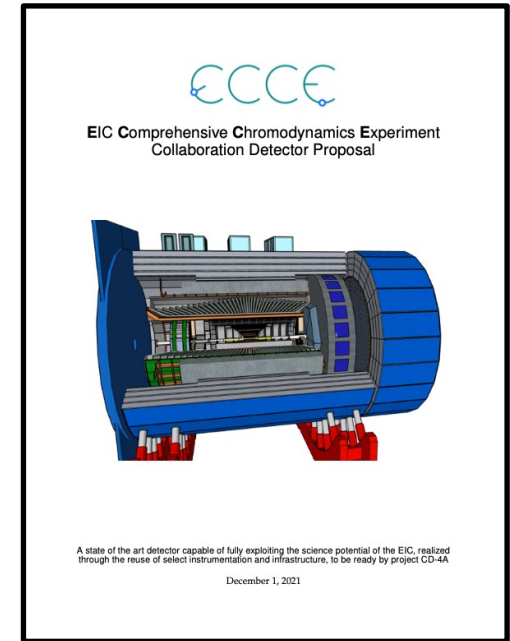
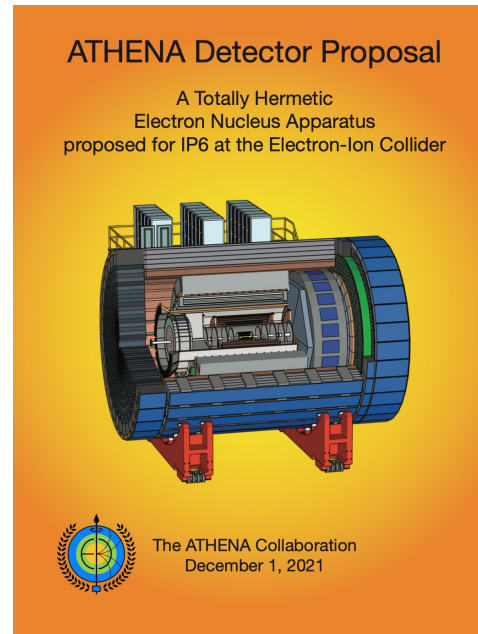
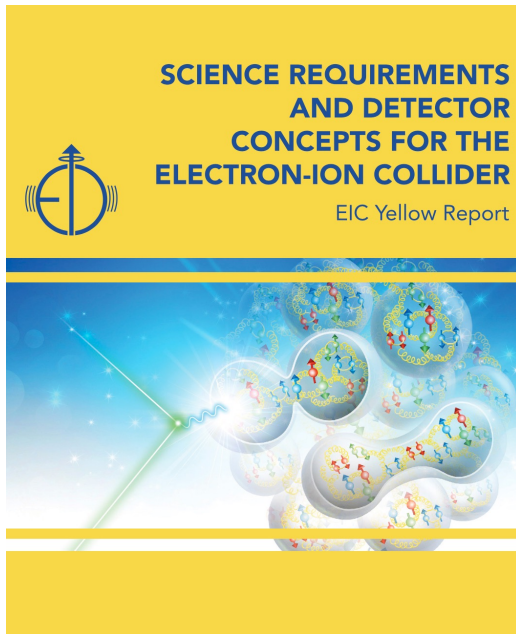


# MCEG@EIC

CTEQ-EICUG-HSF-MCnet Workshop on MCEGs for the EIC

November 16-18, 2022 <https://indico.bnl.gov/event/17608/>

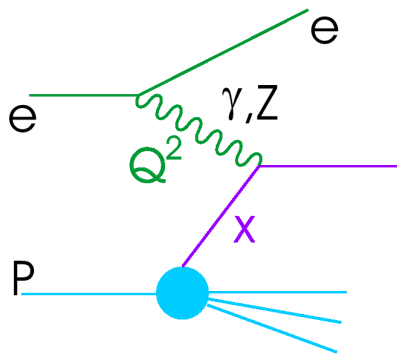
# Current EIC Experimental Status



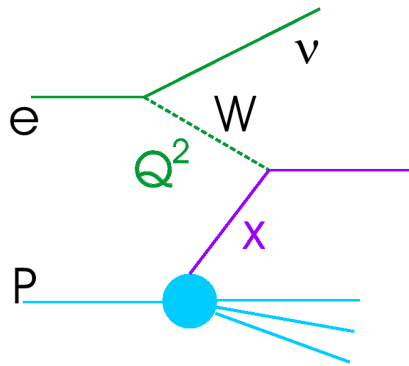
- Following Yellow Report (arXiv:arXiv:2103.05419), three detailed detector proposals (ATHENA, ECCE, CORE) emerged.
- ECCE chosen as reference design. Realignment of community in `EPIC` collaboration. Currently building a detailed design and simulation framework
- Ongoing work towards a second, complementary detector.

**Most results shown here are taken from ATHENA / ECCE proposals<sup>2</sup>**

# Inclusive Scattering Observables



Neutral Current:  
 $ep \rightarrow eX$



Charged Current:  
 $ep \rightarrow \nu X$

‘Inclusive’ refers to anything we can measure starting from the inclusive neutral and charged current processes

$$Q^2 = -q^2 \quad x = \frac{-q^2}{2p \cdot q}$$

$$y = \frac{p \cdot q}{p \cdot e} \quad Q^2 \simeq sxy.$$

$$W^2 = (q + p)^2.$$

- $x, Q^2$  (via  $y, Q^2$ ) can be reconstructed from any two of  $E_e, \theta_e, E_h, \theta_h$
- Hadronic final state understanding also important for background rejection

... starting point is electron identification & reconstruction, plus inclusive hadronic final state measurement.

# Inclusive Scattering Derived Measurements

At the world's first: eA collider;

High luminosity ep collider;

Polarised target collider;

... inclusive measurements lead to a long list of underlying physics quantities...

Measurement	Physics Topic/goal
$\sigma_{\text{red,NC(CC)}}(x,Q^2) \rightarrow F_2, F_L$	Proton PDFs $q(x,Q^2), g(x,Q^2)$
$\sigma_{\text{red,NC(CC)}}(x,Q^2) \rightarrow F_2, F_L$	Nuclear PDFs $q(x,Q^2), g(x,Q^2)$  Non-linear QCD dynamics
Inclusive $A_{  } / A_{\perp}$ for proton, deuterium, $^3\text{He}$	Gluon & Quark Helicity $\Delta g(x,Q^2), \Delta u^+, \Delta d^+$

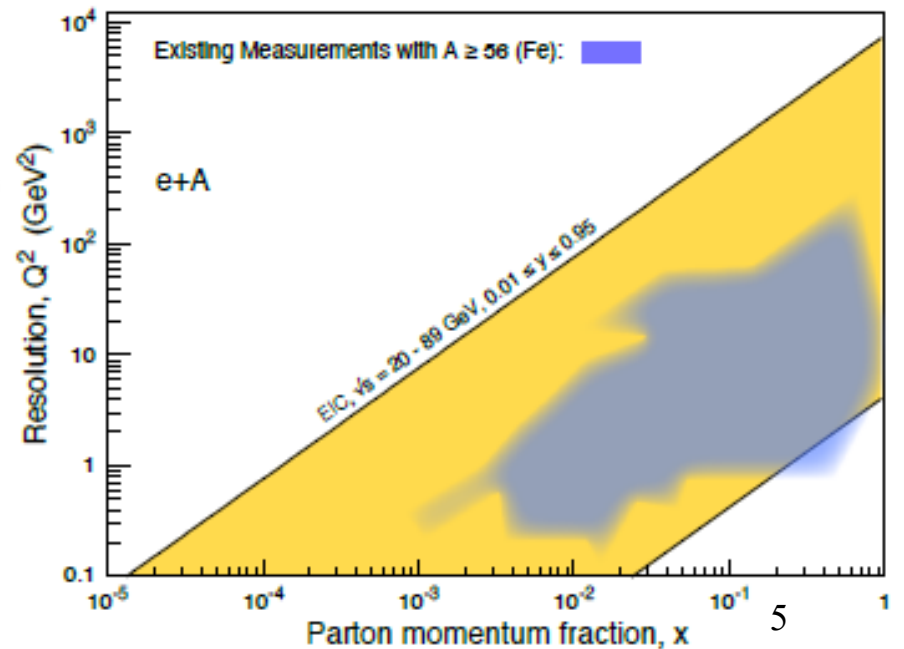
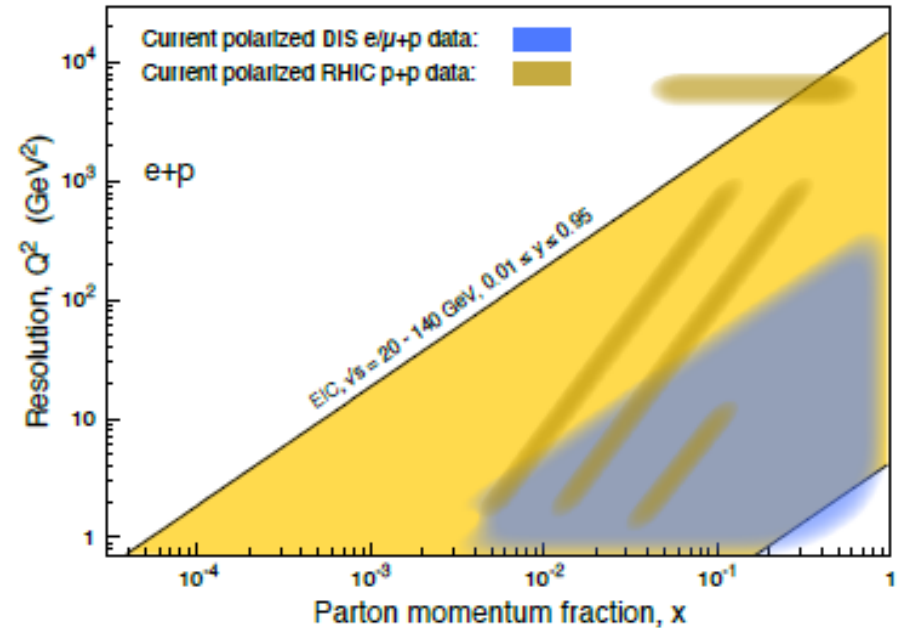
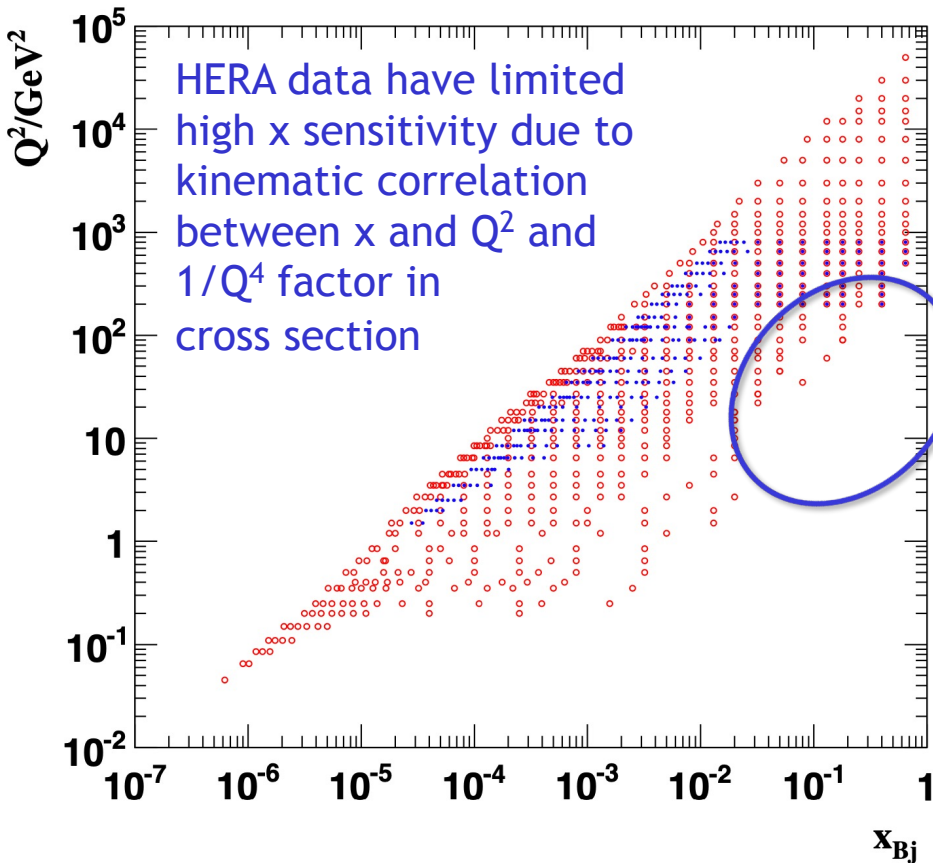
Also

- Neutron PDFs from deuterium studies
- Also electroweak parameters ( $\sin^2\theta_W, M_W, g_A^F, g_V^F$ )
- Exotic searches (leptoquarks, excited leptons, compositeness ...)



# Kinematic Coverage v Existing Data

- ~2 new orders of magnitude for polarised ep and eA
- Precision in large x unpolarised ep beyond the fixed target region.



# Reconstructing the Kinematics

- Use electron only where possible ( $E_e'$ ,  $\theta_e$  usually very well measured)

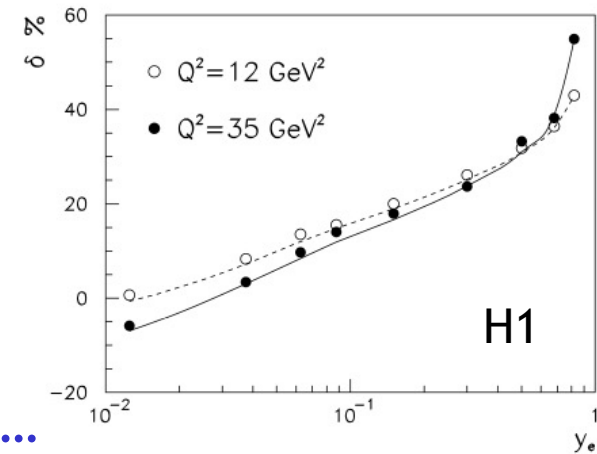
... BUT ... resolution degrades as  $1/y$   
[ $E_e'$  large, towards the 'kinematic peak']  
→ limitation on measurements at low  $y$ ,  
i.e. high  $x$  (central part of EIC programme!)

... AND ... initial state radiation corrections  
(and uncertainties) grow as  $y \rightarrow 1$  (i.e. at low  $x$ )

- Some methods used at HERA / under study for EIC ...

- 1) Electron only method (NC working horse)
- 2) Hadron only method (CC)
- 3) Double Angle methods ( $\theta_e$ ,  $\theta_h$ )  
→ insensitive to calorimeter energy resolution
- 4) Sigma methods ( $E_e'$ ,  $\theta_e$ ,  $(E - p_z)_h$ )  
→ insensitive to forward hadronic losses & ISR

$$y_e = 1 - \frac{E_e'}{E_e} \sin^2 \frac{\theta}{2}$$



# Detector Calibration

- The redundancy in NC kinematic variable reconstruction lies at the heart of the detector calibration methods used in DIS.

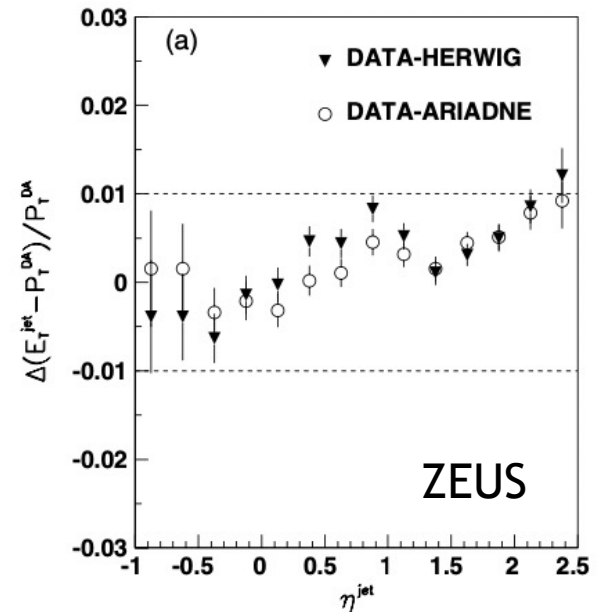
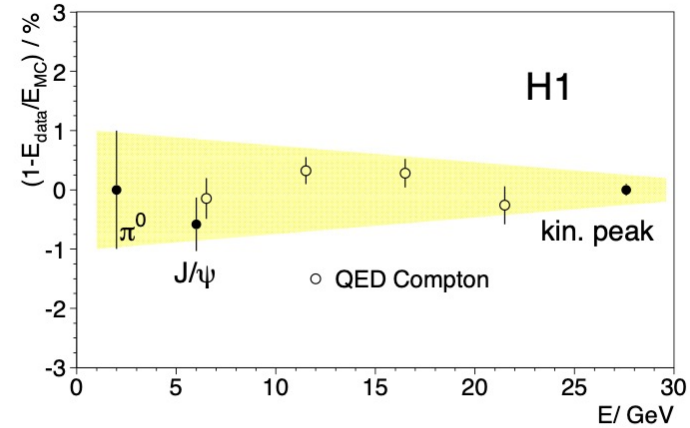
## Typical approach:

- 1) Electron calibration from ‘known’ resonances / kinematic peak
- 2) Hadronic final state from  $p_T$  and E-pz balance relative to electron

... <0.5% on electrons and <1% on hadronic energy scale achieved at HERA.

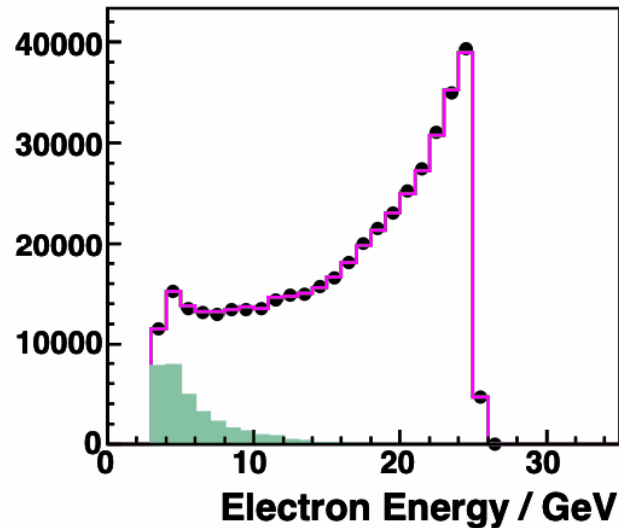
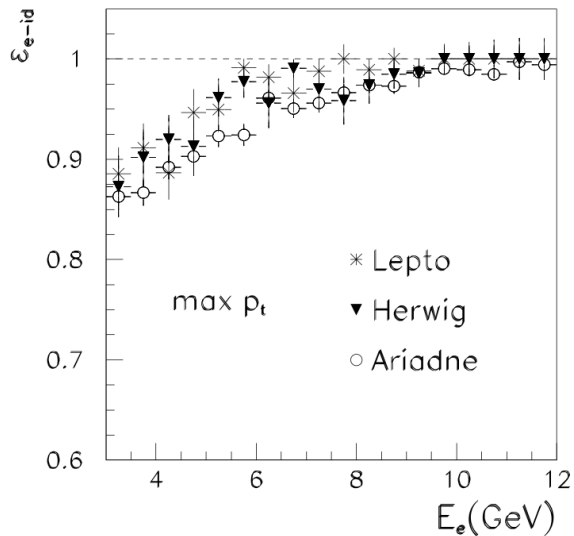
- EIC will improve, particularly at low  $p_T$ , by using 21<sup>st</sup> century calibration techniques.

- Requires high statistics, high quality HFS reconstruction, high quality MC modelling



# Scattered Electron Identification

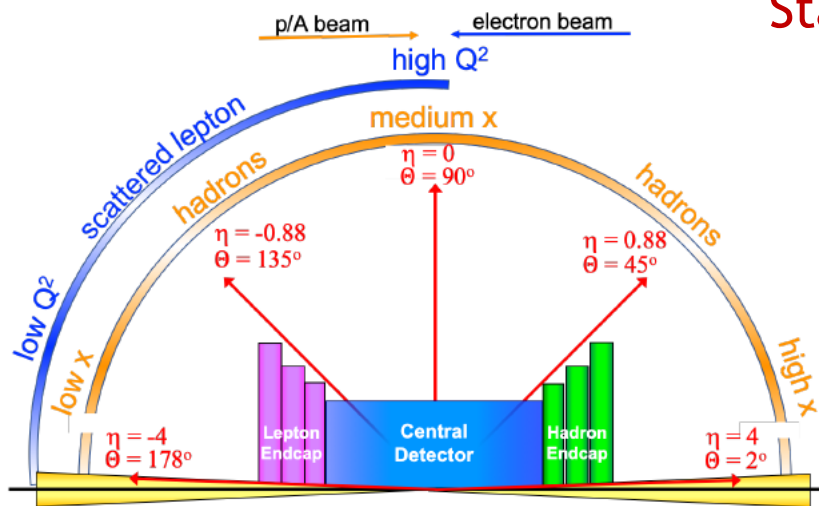
- For high electron energies, choosing highest energy or highest  $p_T$  electromagnetic calo cluster is already efficient and almost background free
- At smaller energies, misidentification and 'photoproduction' background become important.



[Example HERA Plots  
from inclusive  
measurements focused  
on high  $y$   
(low  $E_e$ , low  $x$ )]

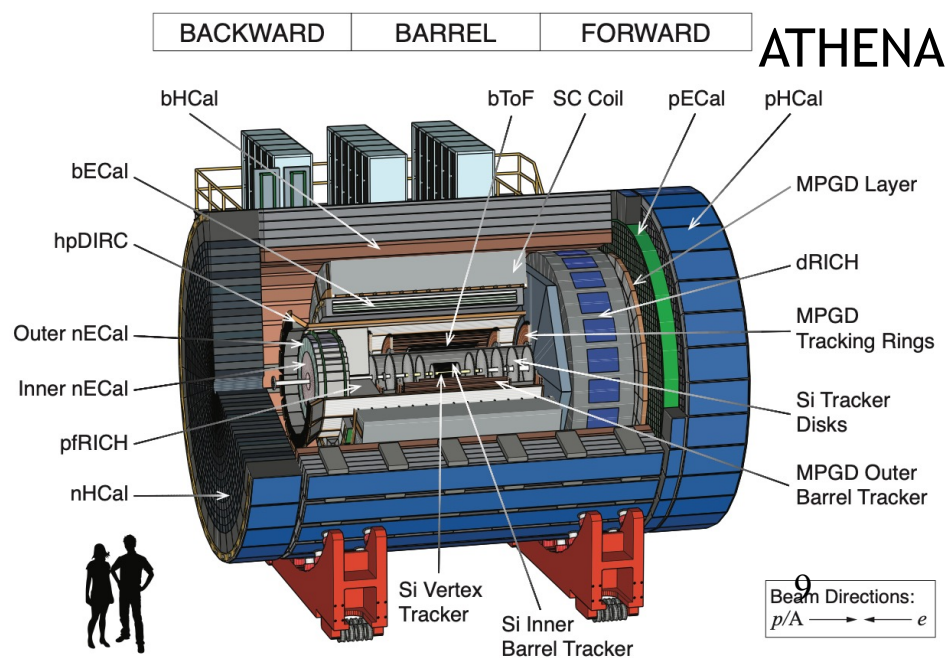
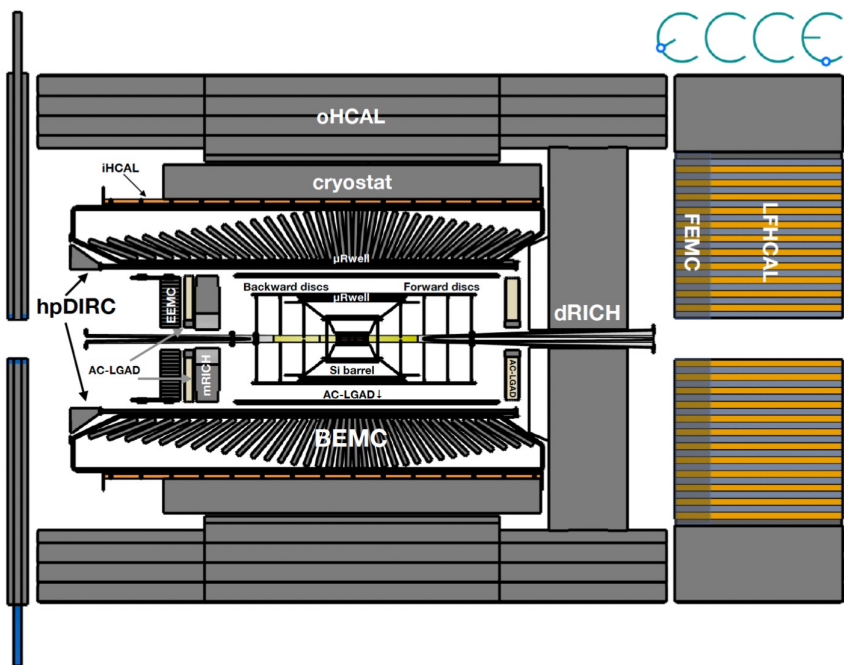
- Particle ID at HERA was very limited (basically only  $dE/dx$  of tracker)
- Measurements down to  $E_e \sim 3$  GeV (1/10 beam energy) were made, but with ever-increasing systematics

# EIC will be transformationally different



State-of-the-art detectors with:

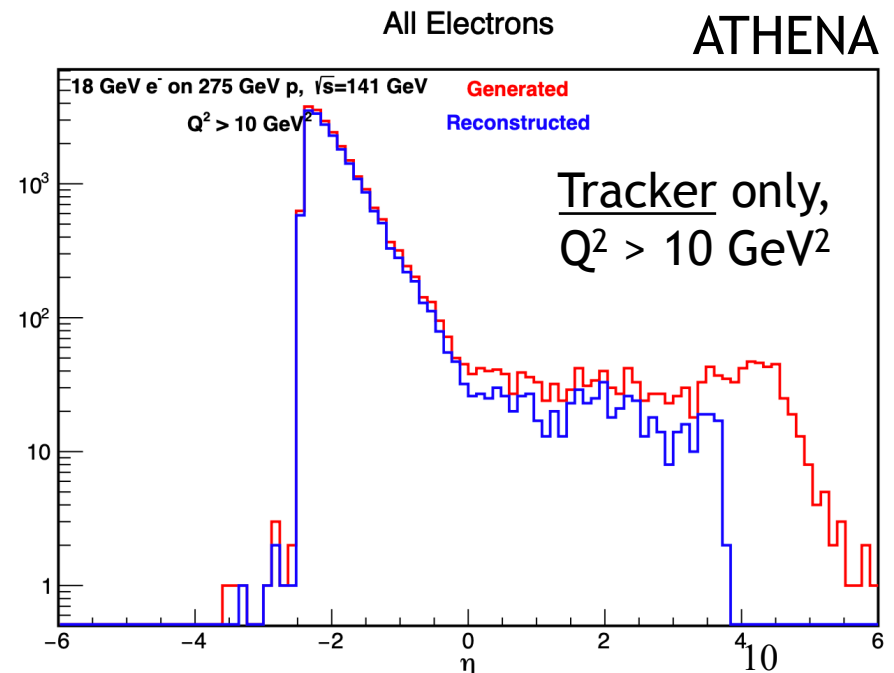
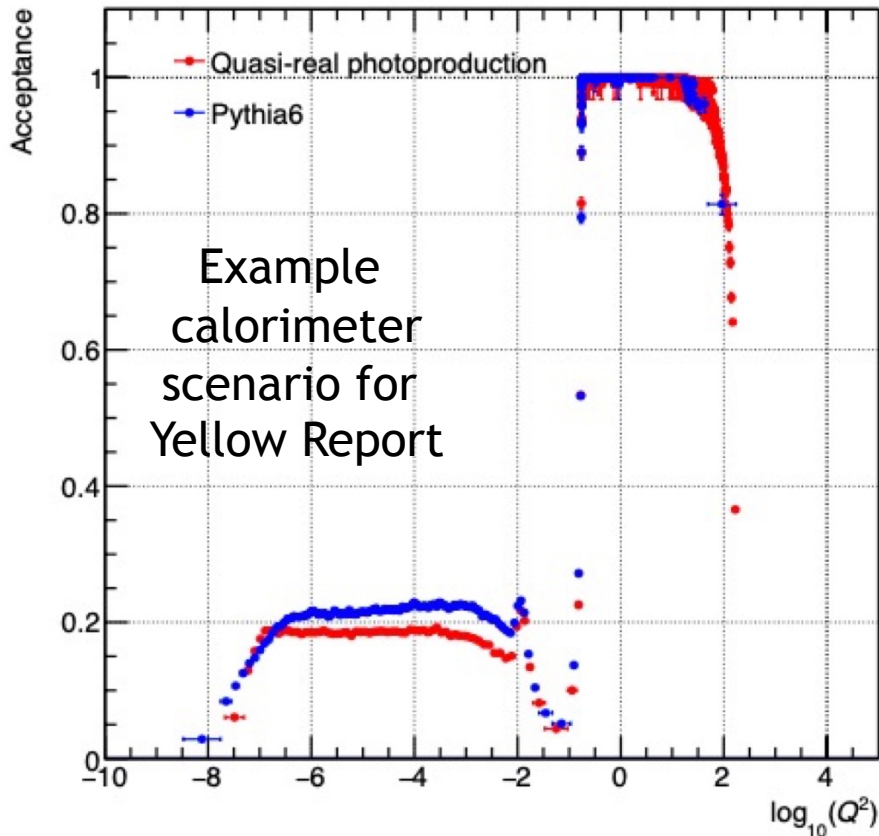
- Hermetic e, h coverage to  $|\eta| \sim 4$
- High tracking resolution (MAPS silicon)
- High precision ECAL (and HCAL)
- Strong emphasis on particle ID
- Strong emphasis on Forward / Backward beamline instrumentation





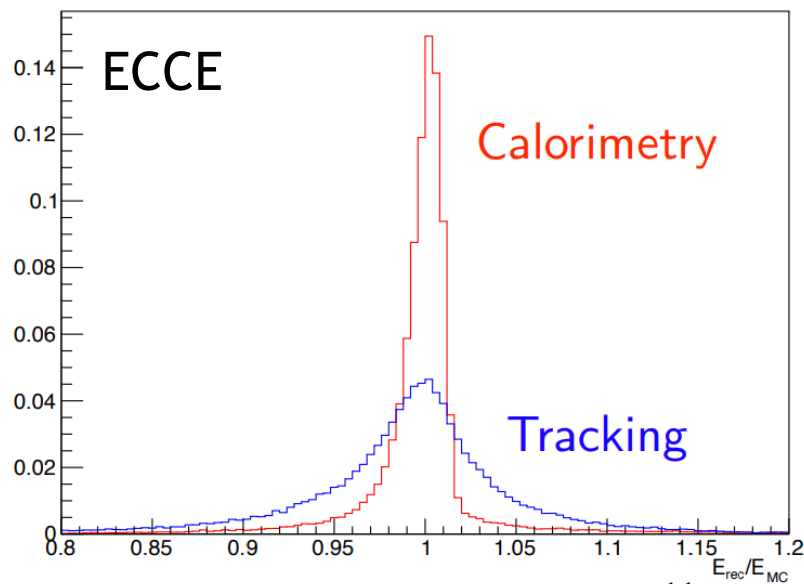
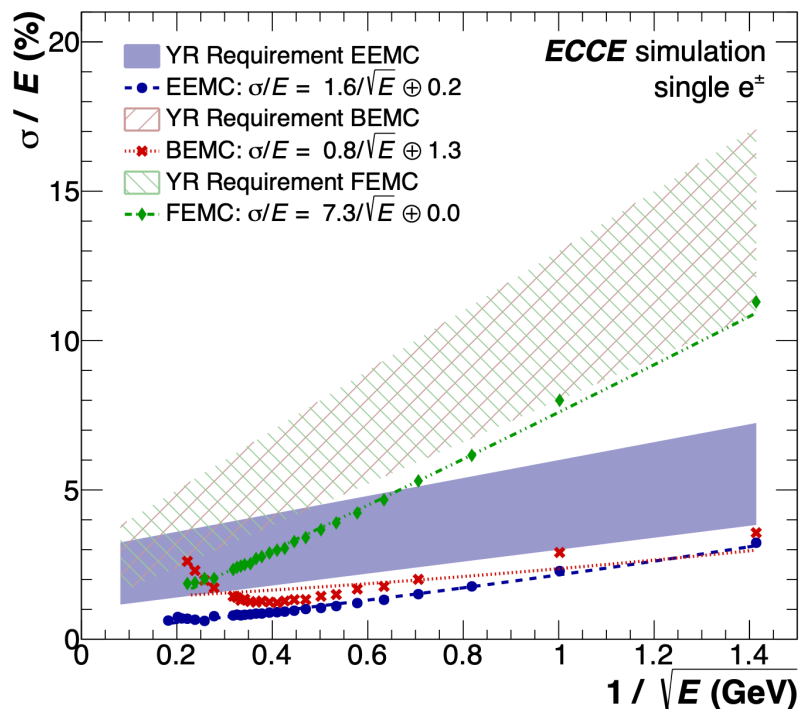
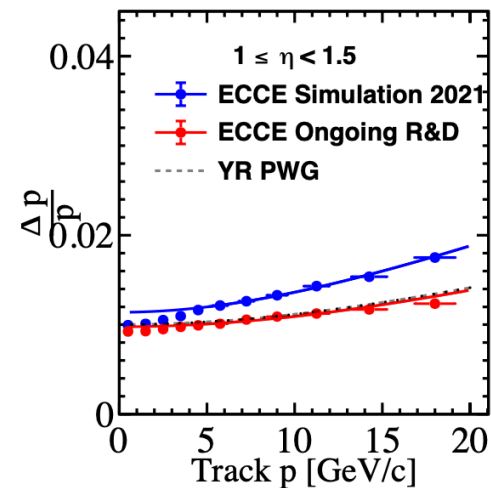
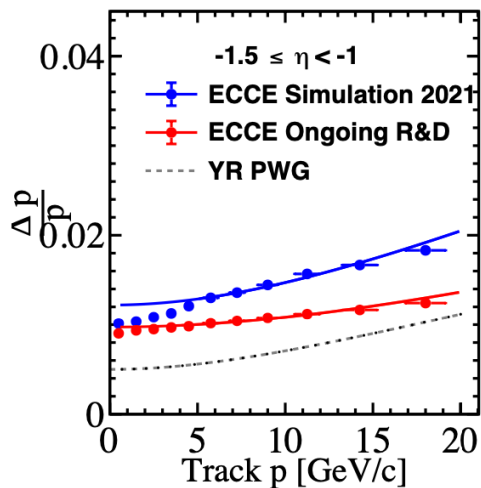
# Early Performance Studies: electron acceptance

- Acceptance for calorimeter and tracker in main detector extends to  $\eta \sim -4$  ( $Q^2 \sim 1 \text{ GeV}^2$ )
- Beamline instrumentation adds partial acceptance over broad region at  $Q^2 < 1 \text{ GeV}^2 \rightarrow$  “photoproduction”



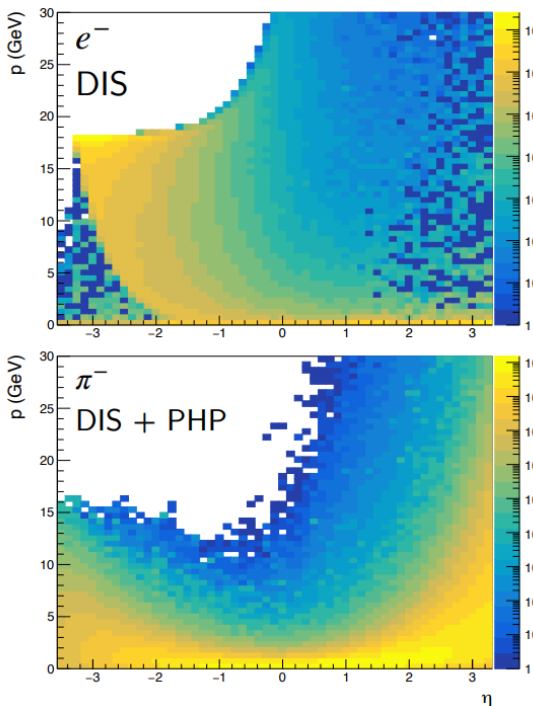
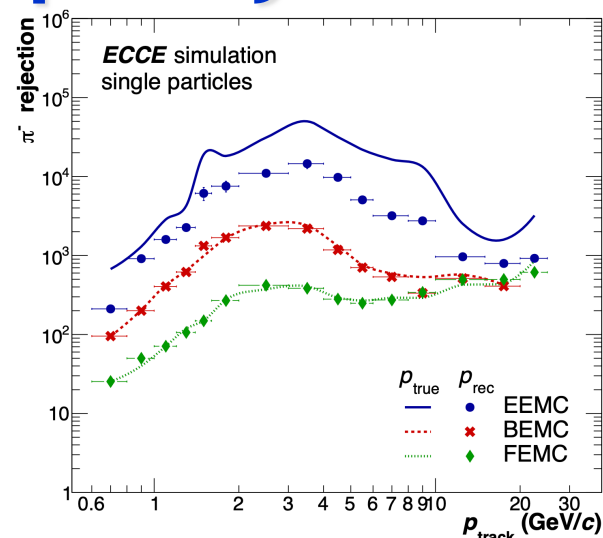
# Early Performance Studies: electron energy measurement

Electron energy measurement with either tracker (low  $p_T$ ) or ECAL (high  $p_T$ ) is at  $\sim 1\%$  level throughout measured range

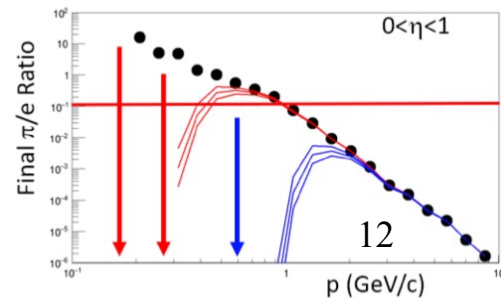
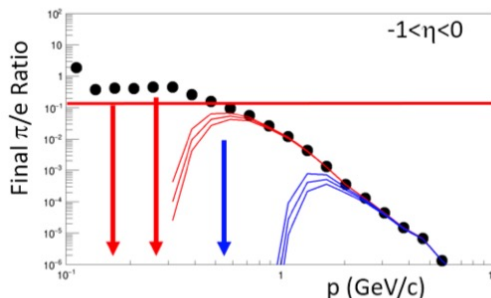
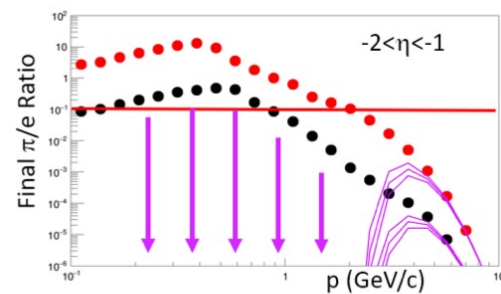
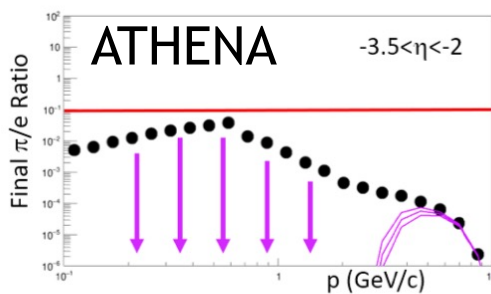
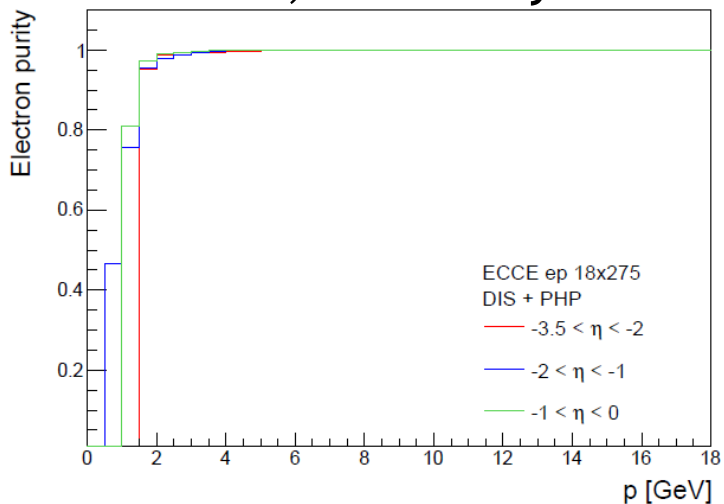


# Early Performance Studies: electron purity

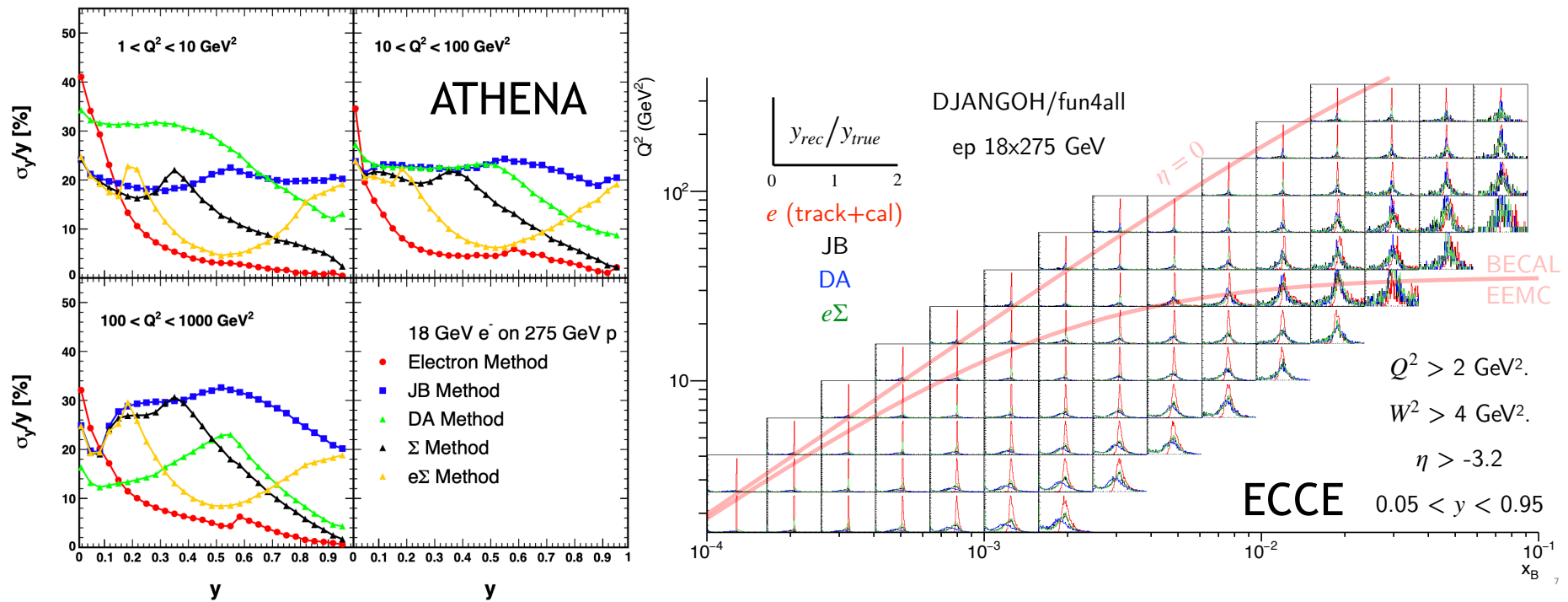
Photoproduction background to electron ID (from  $\pi$ ) can be suppressed to < few% level using calorimeter alone, and to completely negligible levels when also including particle ID detectors.



## ECCE, ECAL only

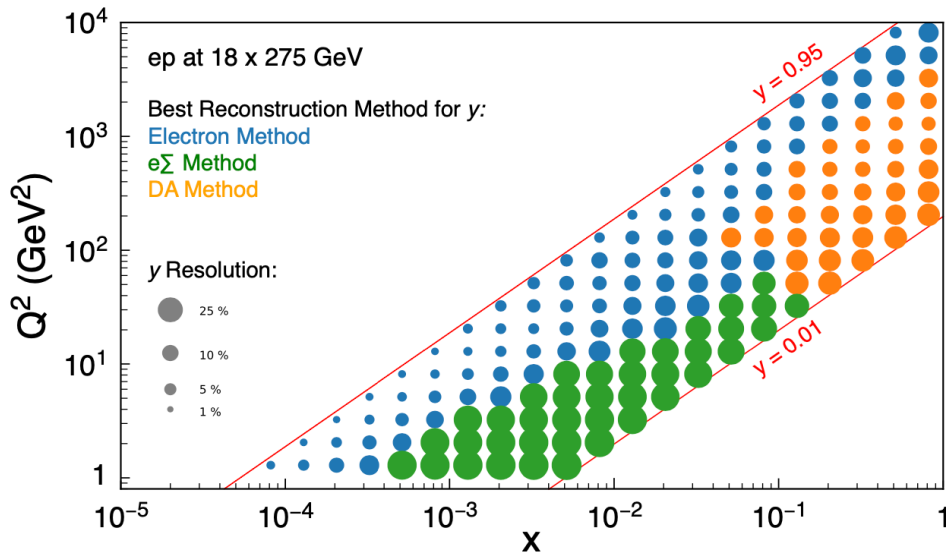


# Early Performance Studies: Kinematic Resolution from MC with first approximation to particle flow algorithm



- First detailed assessment of relative performance of reconstruction methods throughout measured phase space
- Ongoing work on modernised methods in which all measurements are used simultaneously (machine learning / kinematic fitting)

# Possible Neutral Current Measurement Strategy

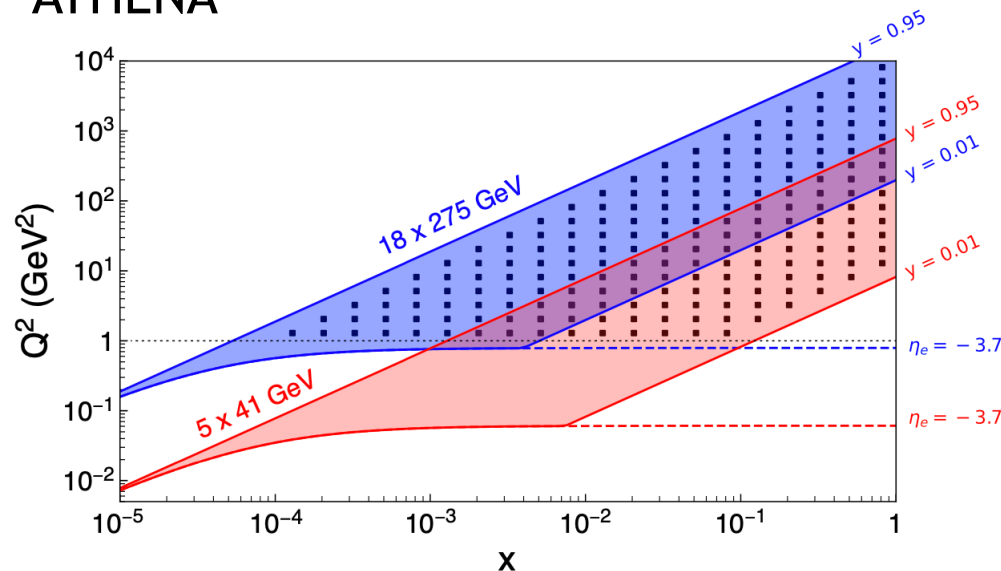


- Kinematic coverage driven by  $e$  and  $h$  acceptance:  $Q^2 > 1 \text{ GeV}^2$ ,  $0.01 < y < 0.95$ ,  $W > 3 \text{ GeV}$

- Choose reconstruction methods to optimise resolutions throughout phase-space

→ 5 bins per decade in  $x$  and  $Q^2$

## ATHENA



- Lower  $y$  accessible in principle, but easier to rely on overlaps between data at different  $\sqrt{s}$

- Highest  $x$  bin centre at  $x=0.815$



# Estimating Experimental Precision

- With projected luminosities, inclusive measurements expected to be limited by systematic uncertainties at all but the very highest  $Q^2$  values (maybe different for some asymmetry measurements).
- Systematic precision estimated based on experience from HERA, knowledge of EIC detector performance, and guesswork  
(ongoing process, not yet fully based on MC simulations)
- Dominant sources at HERA were:
  - Electron energy scale (intermediate  $y$ )
  - Photoproduction background (high  $y$ )
  - Hadronic energy scale / noise (low  $y$ )
- EIC will improve in all areas (see previous slides)
- Current (conservative?) assumption on EPIC systematic precision (compatible with assumptions in Yellow report) ...

→ 1.5-2.5% point-to-point uncorrelated

→ 2.5% normalisation (uncorrelated between different  $\sqrt{s}$ )

# EIC sim's and expected impact (ATHENA)

- Neutral current ep pseudodata  
with current estimates of integrated  
luminosities at different  $\sqrt{s}$

e-beam E	p-beam E	$\sqrt{s}$ (GeV)	inte. Lumi. ( $\text{fb}^{-1}$ )
18	275	140	15.4
10	275	105	100.0
10	100	63	79.0
5	100	45	61.0
5	41	29	4.4

- Charged current also included at highest  $\sqrt{s}$

Similar approach for eA ... per-nucleon integrated luminosities:

5 x 41GeV: 4.4  $\text{fb}^{-1}$

10 x 110GeV: 79  $\text{fb}^{-1}$

18 x 110GeV: 79  $\text{fb}^{-1}$

## Fitting procedure for impact on PDF sets

- 1) Get prediction from PDF set for each EIC pseudodata ( $x$ - $Q^2$ ) point
- 2) Smear pseudodata with uncorrelated uncertainties point-by-point
- 3) Smear pseudodata with normalisation systematic uncertainty at each  $\sqrt{s}$
- 4) Perform fit with standard input data plus EIC data
- 5) Compare uncertainties with those from fit without EIC data

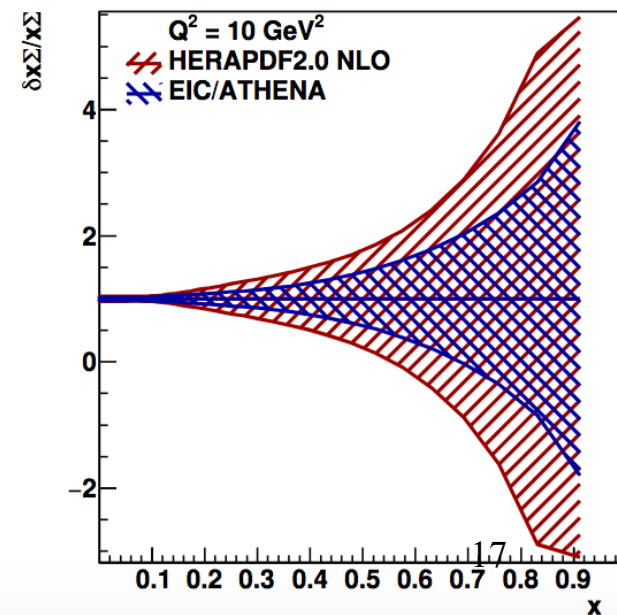
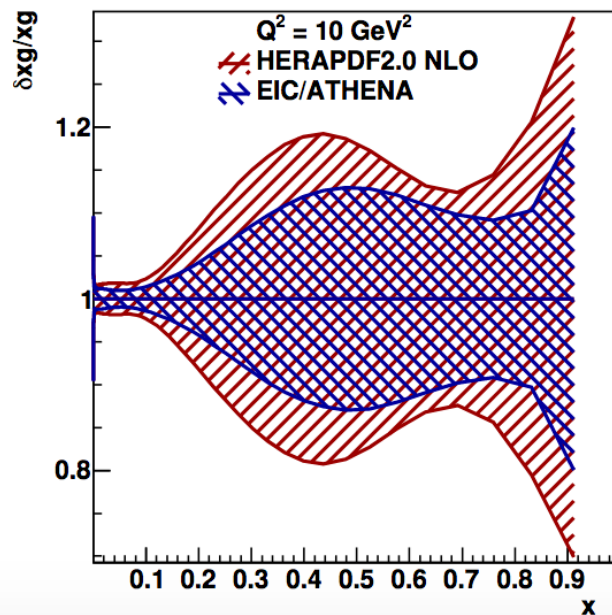
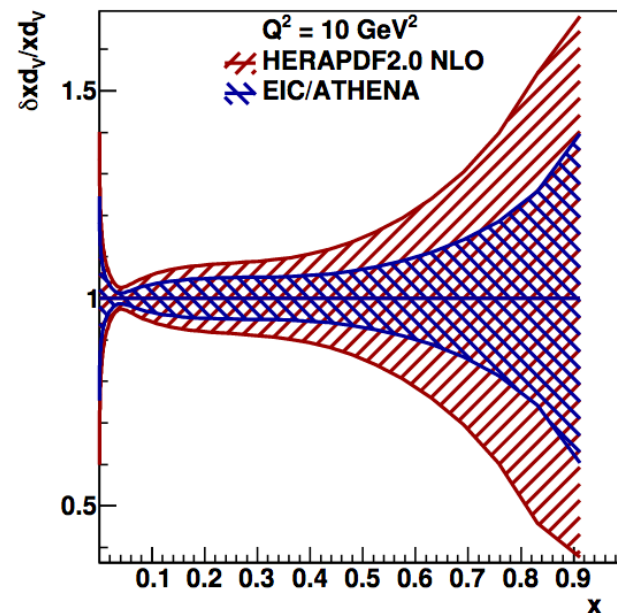
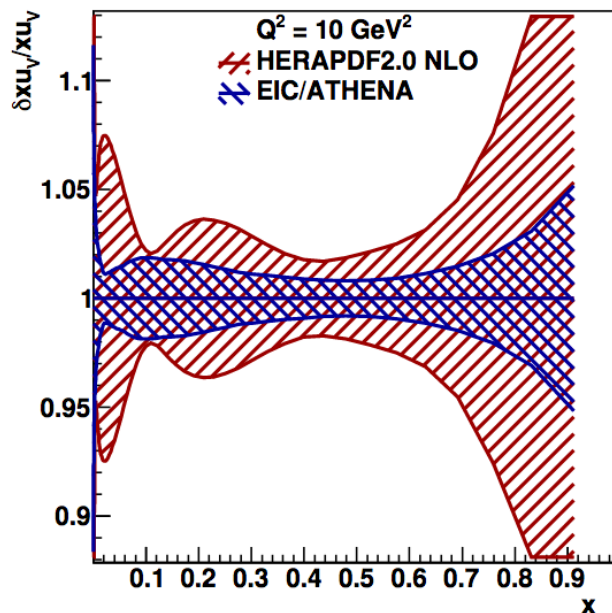
# Impact of EIC/ATHENA on HERAPDF2.0

HERAPDF2.0 obtained from final combined HERA data only

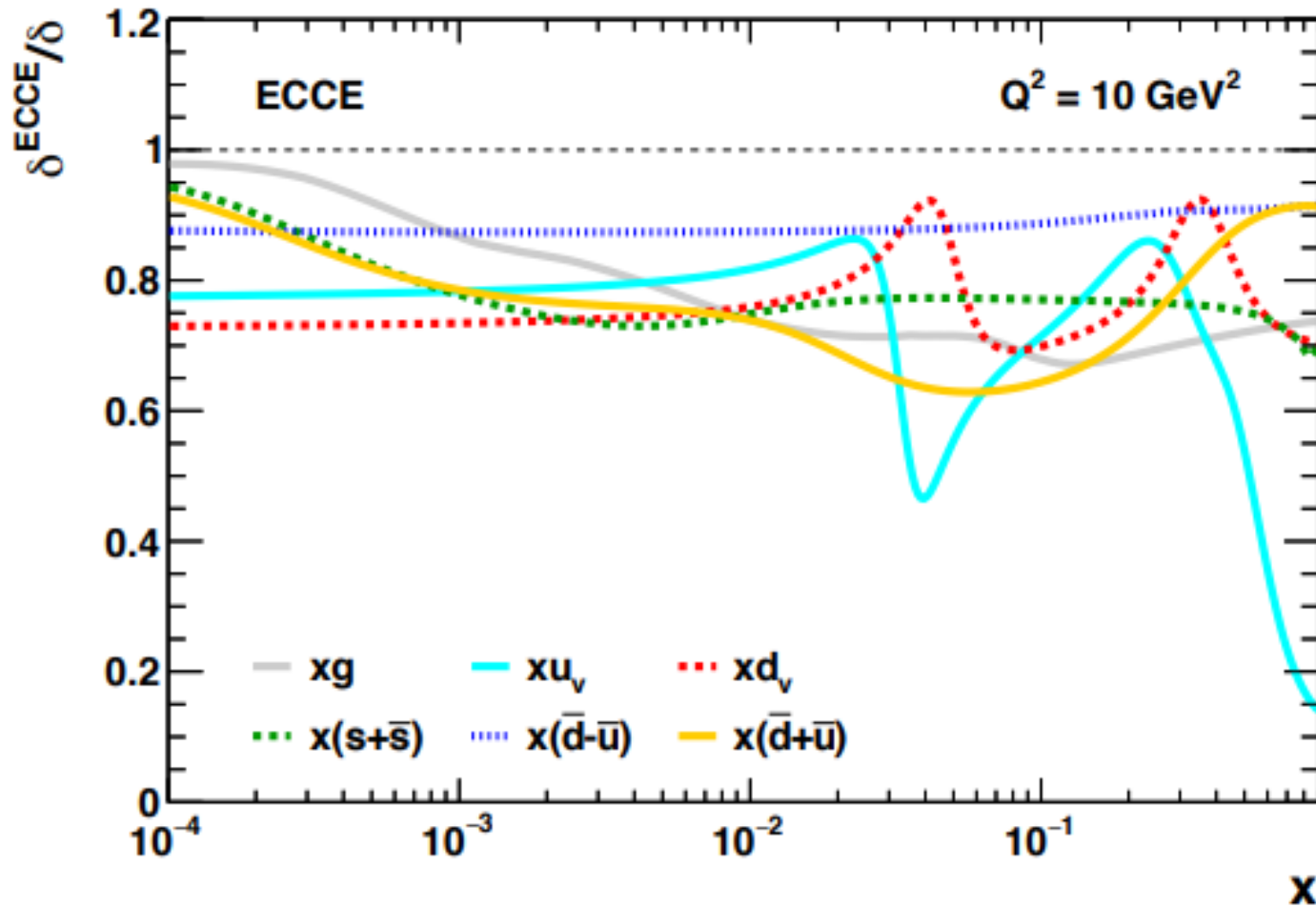
Fractional total uncertainties with / without EIC / ATHENA data included along with HERA

(linear x scale)

... EIC will bring significant reduction in uncertainties for all parton species at large x



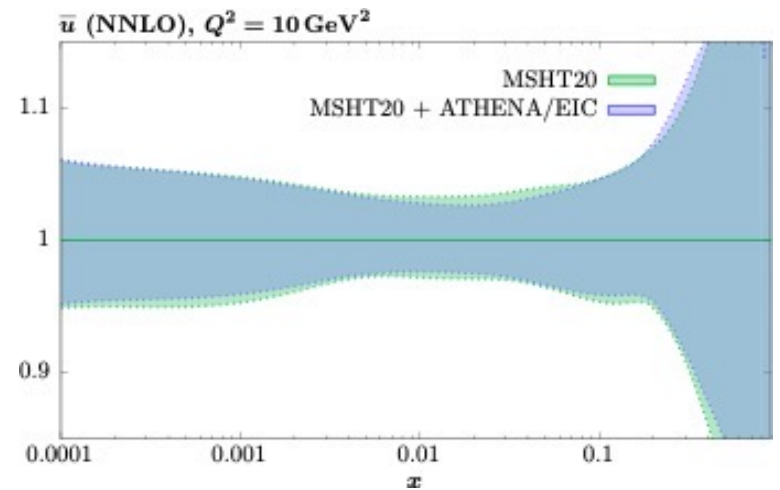
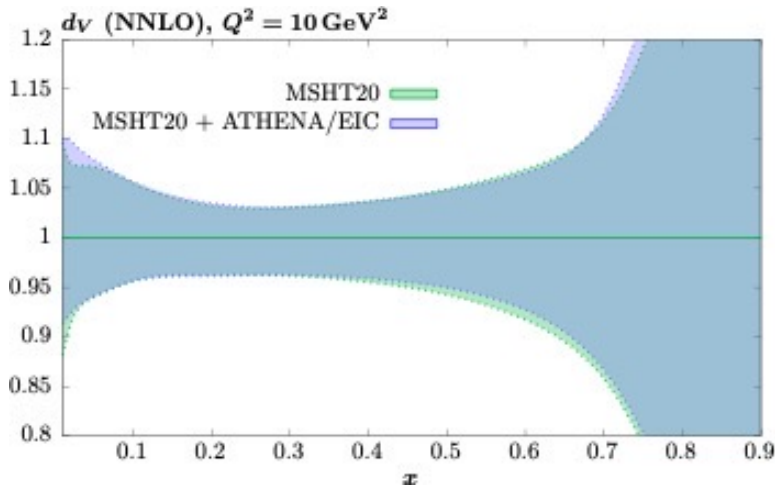
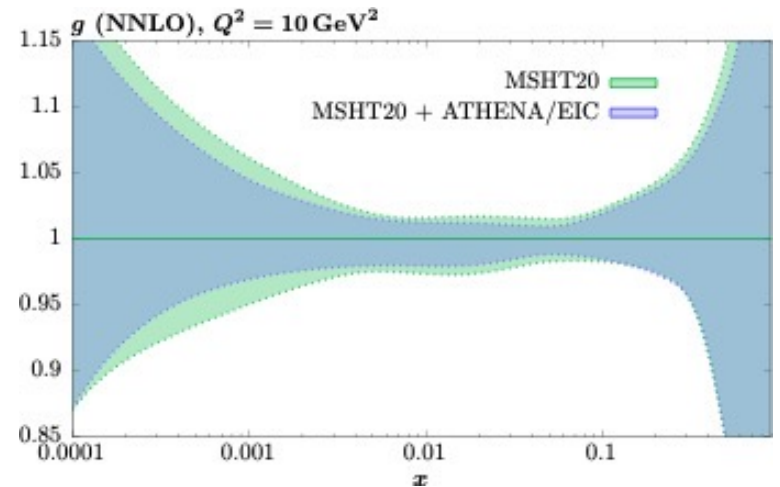
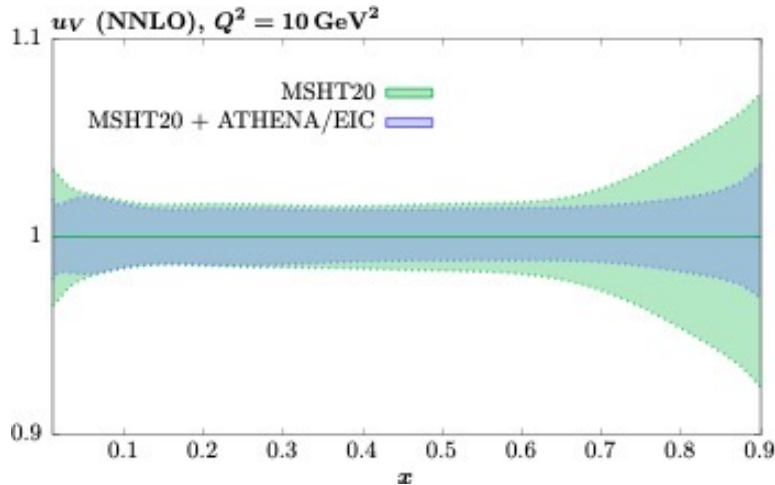
# The ECCE Equivalent (log x scale)



- Impact of simulated ECCE data on PDFs relative to HERAPDF2.0
- Results broadly compatible with ATHENA

# Impact relative to ‘Global’ Fit (i.e. also including LHC and FT): MSHT20 NNLO

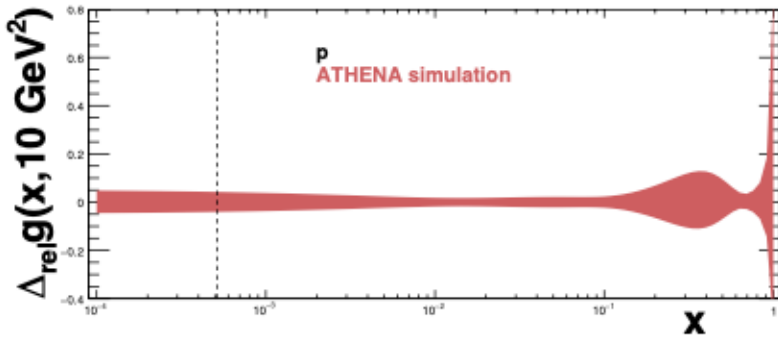
- Including LHC / FT data in global fits has large impact on PDFs at large  $x$
- EIC pseudodata still improves  $u$  density precision (charge-squared weight)
- Small, but valuable improvements in gluon / all other parton species



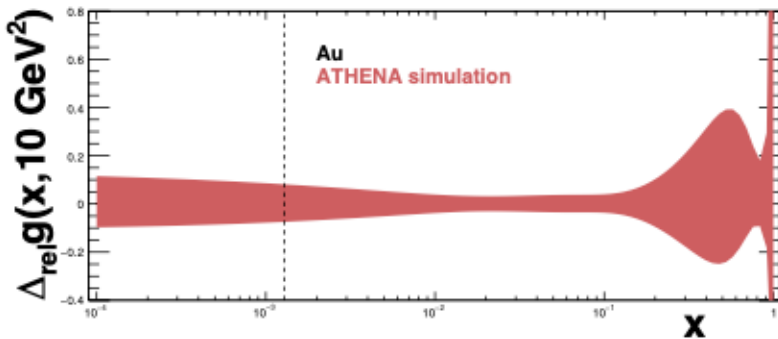


# EIC and nuclear PDFs

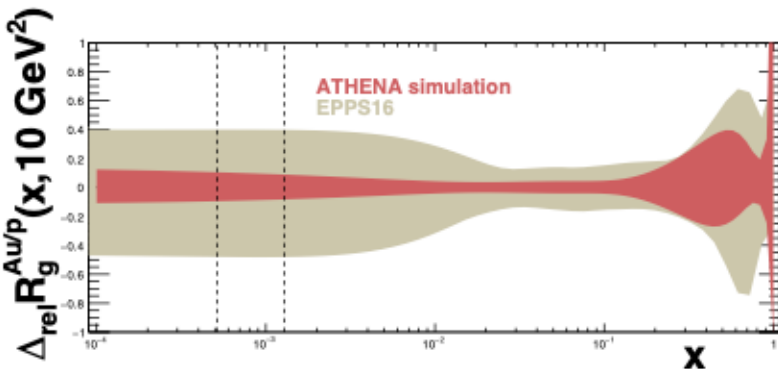
EIC will have revolutionary impact on eA phase space  
 Studies to assess sensitivity relative to EPPS16 ...



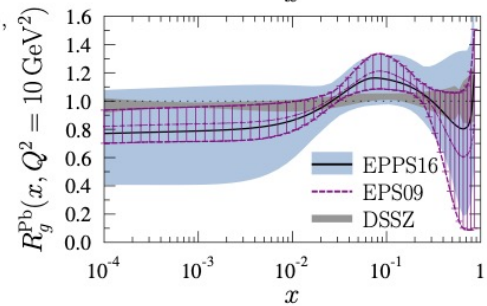
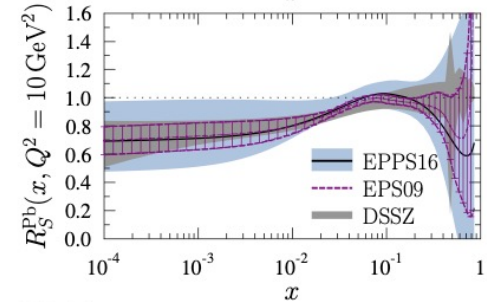
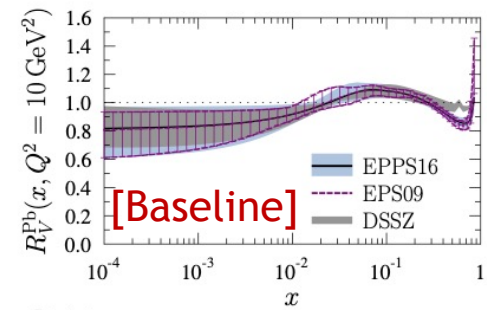
Projected uncertainty  
 on gluon density  
 of proton from  
 EIC-only fit



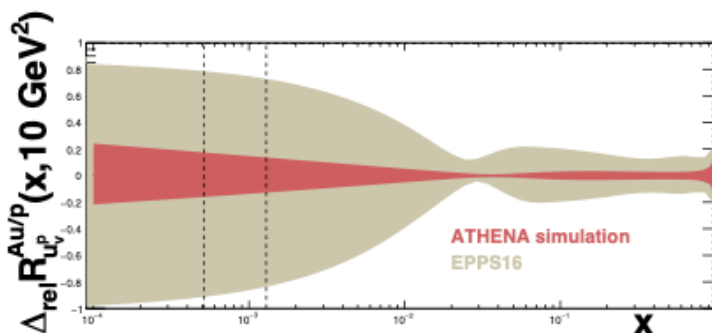
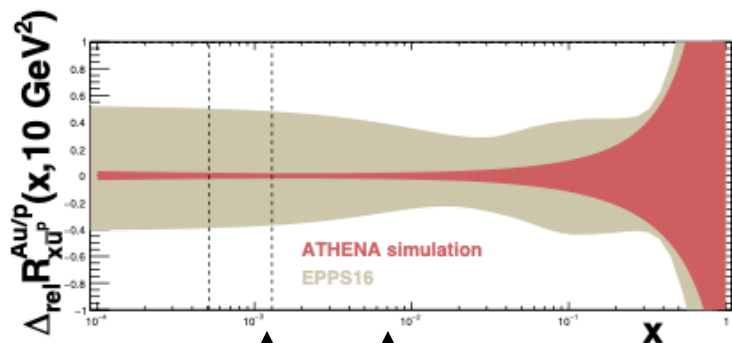
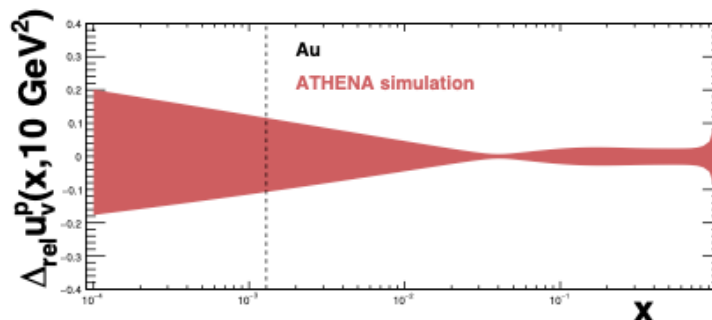
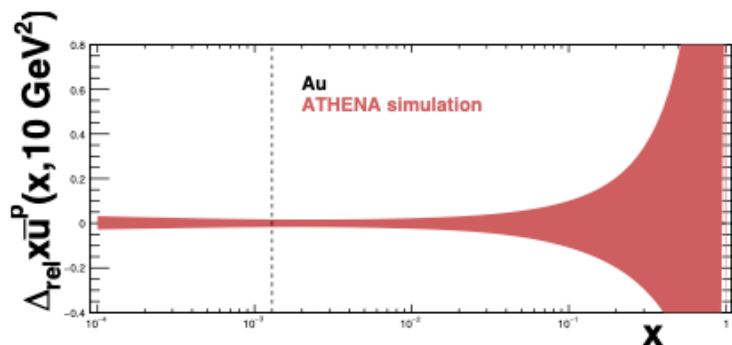
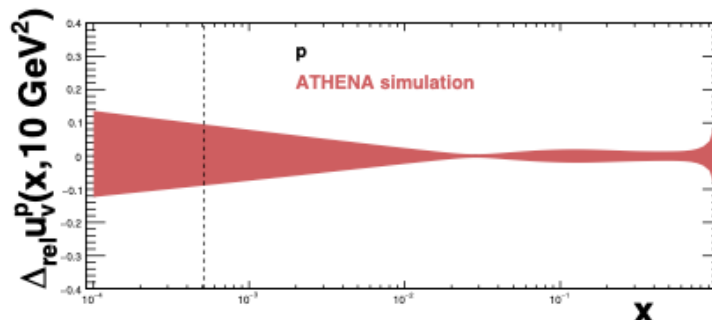
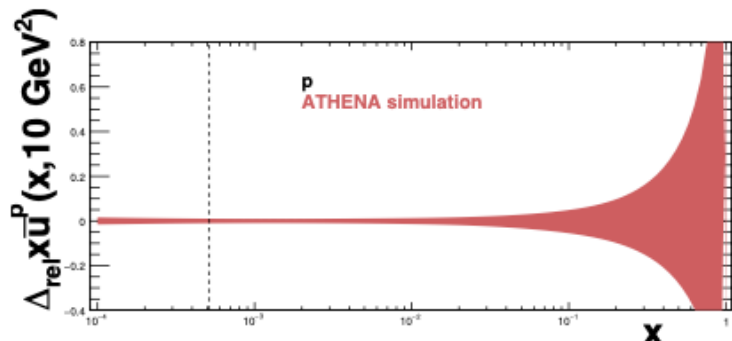
Projected uncertainty  
 on gluon density of  
 (gold) nucleus from  
 EIC-only fit → ~10%



Projected uncertainty on gluon nuclear  
 modification factor, EIC-only v EPPS'16  
 → Factor ~ 2 improvement at x~0.1  
 → Very substantial improvement  
 in newly accessed low x region



# Impact on Nuclear PDFs: $\bar{u}$ and $u_\nu$

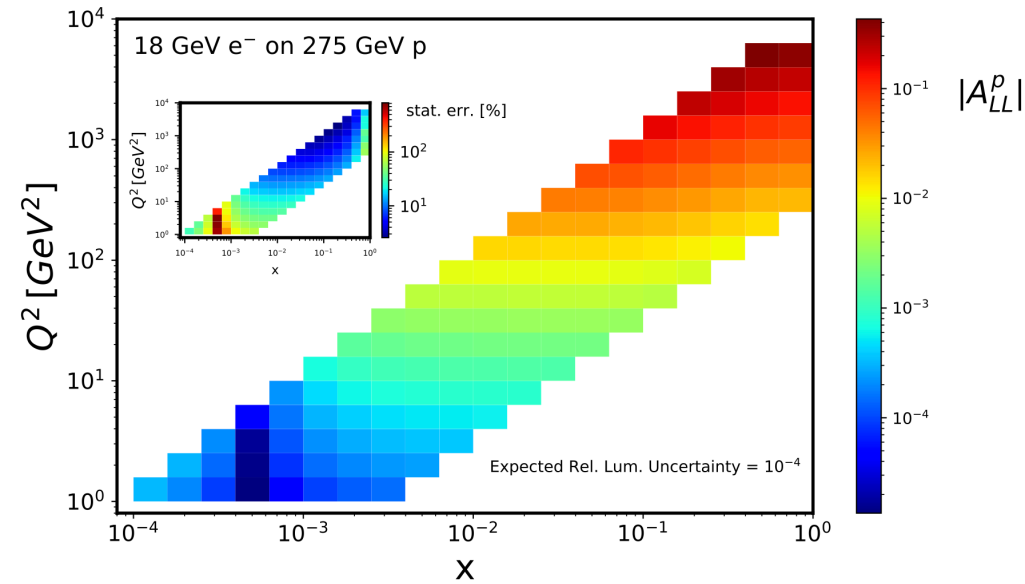


EIC eA data limit

EPPS16 data limit

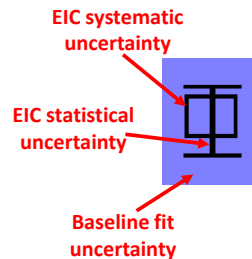
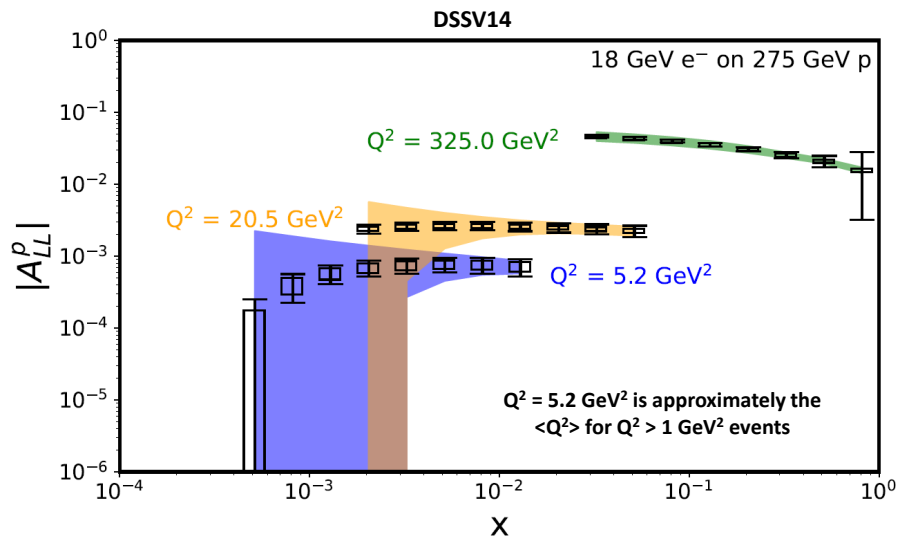
Similarly compelling improvements at low  $x$  for quark distributions

# Spin: Impact on $A_{LL}$ (ATHENA / DSSV)



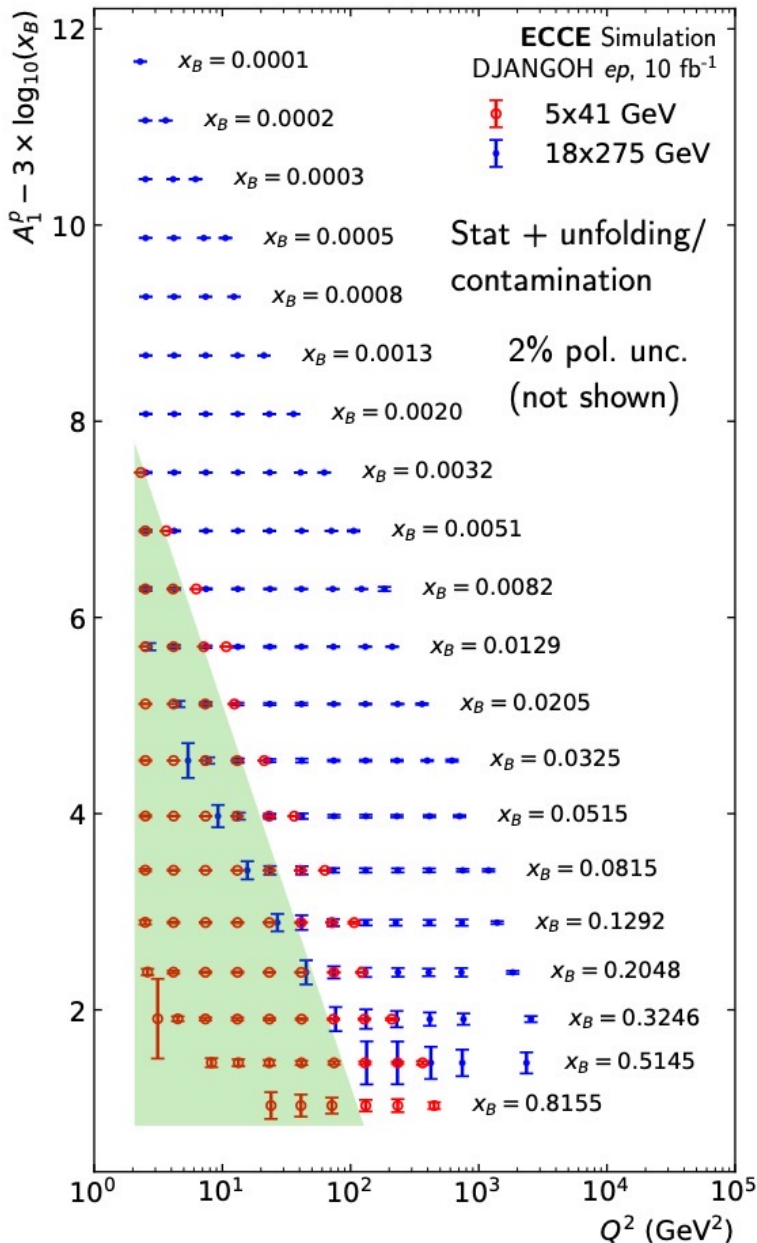
- Study for integrated luminosity  $15\text{fb}^{-1}$ , and 70% e,p polarization

- EIC measures down to  $x \sim 10^{-3}$  with statistical precision better than the projected size of the asymmetry and systematics controllable



- Similar results with JAM

# Spin: Virtual $\gamma$ Asymmetry, $A_1^p$ (ECCE)



$$A_{\parallel} = \frac{\sigma^{\leftarrow} - \sigma^{\rightarrow}}{\sigma^{\leftarrow} + \sigma^{\rightarrow}} \quad \text{and} \quad A_{\perp} = \frac{\sigma^{\rightarrow\uparrow} - \sigma^{\rightarrow\downarrow}}{\sigma^{\rightarrow\uparrow} + \sigma^{\rightarrow\downarrow}}$$

$$\rightarrow A_1(x) \approx g_1(x)/F_1(x)$$

... measures the quark and antiquark helicity distributions ...

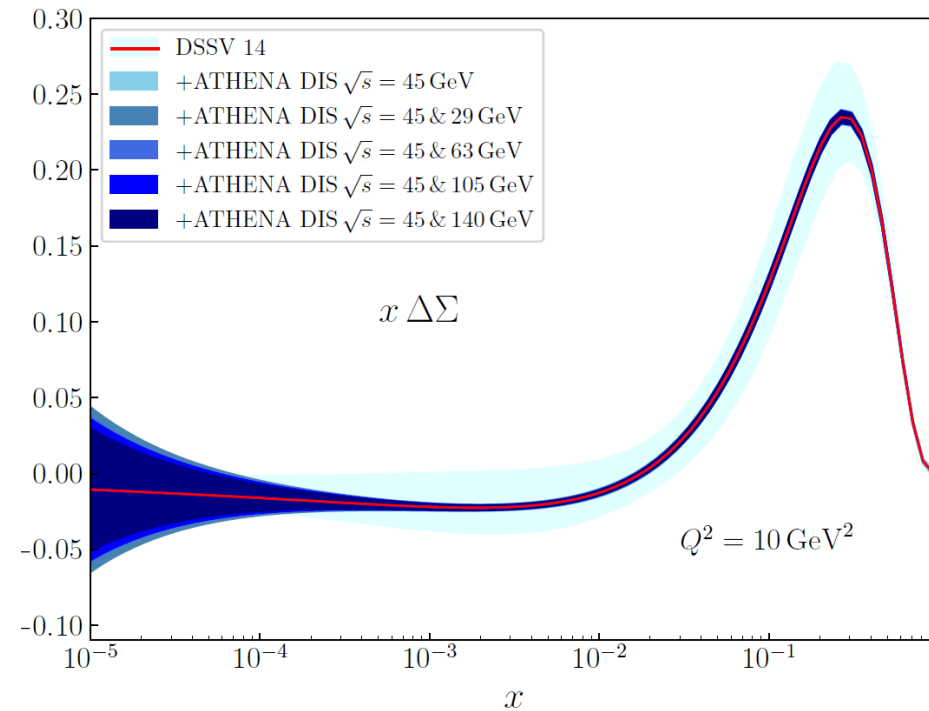
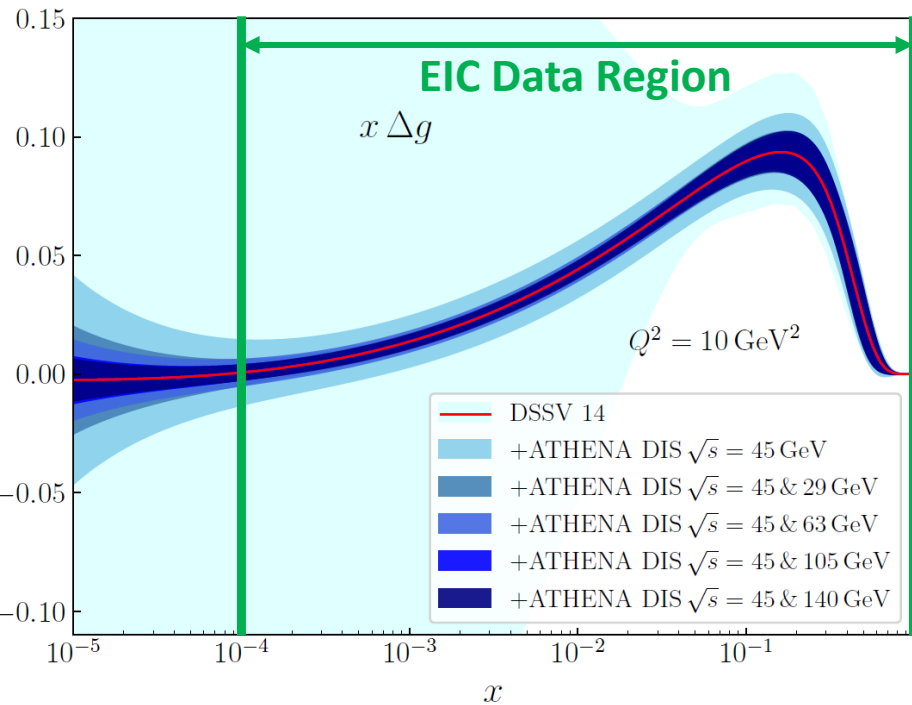
$$g_1(x) = \sum (\Delta q(x) + \Delta \bar{q}(x))$$

... with gluon sensitivity from  $Q^2$  dependence

- EIC measures down to  $x \sim 5 \times 10^{-3}$  for  $1 < Q^2 < 100$  GeV<sup>2</sup>

- cf previously measured region (in green)

# Impact on Helicity Distributions (Study in DSSV framework)



Very significant impact on polarised gluon and quark densities using only inclusive polarised ep data



# Some thoughts on Monte Carlos

## MC is / will be used everywhere in EIC

- Basic detector design / comparing layouts & characterising performance
- Acceptances, resolutions, backgrounds, systematics in physics studies
- Modelling cross sections / estimating event yields for pseudodata
- (Soon) full MC simulations of measurement chains
- (Ultimately) unfolding / correcting real data and comparing with models

## Neutral (and Charged) Current at large $Q^2$

- ECCE mainly used DJANGO, ATHENA mainly used PYTHIA8. Others exist.
- Hadronic final state and ISR modelling are vital ingredients
- Lots of experience from HERA, but that was 15+ years ago
  - ... attention to details and more benchmarking to be done?

## The $Q^2 \rightarrow 0$ limit

- Essential for understanding 'photoproduction' background in DIS
- Interesting in its own right  $\rightarrow \sigma^{\gamma^{(*)}p}(x, Q^2 \rightarrow 0)$  and its decomposition
- So far both ECCE and ATHENA used PYTHIA6 (in DIS or  $\gamma p$  modes)
- HERA used PHOJET, but not maintained. Now PYTHIA8, SHERPA, DJANGO ...
- Modelling of resolved photon structure has large uncertainties (has HERA data been fully exploited in constraining that?)
  - ... opportunities for basic development?

# Summary

- Increasingly detailed simulations of inclusive EIC physics, including performance understanding and main sources of systematics
  - No doubt as to potential impact on inclusive proton and nuclear PDFs, and understanding of spin structure
  - Ongoing work / main current questions:
    - What level of performance can be obtained in overall hadronic final state reconstruction (via energy flow algorithms)
    - How much can we improve on NC kinematic reconstruction by trying novel machine learning or kinematic fitting methods
    - Do we have ISR completely under control?
    - What can be done to better understand photoproduction regime?
- fully simulate an inclusive measurement using MC, event-by-event