

# Diffraction at HERA

P. Newman

University of Birmingham

H1, ATLAS and LHeC Collaborations



Summer School on Diffractive & Electromagnetic  
Phenomena, Acquafredda, 10 September 2010

Thanks to IPPP Durham for additional support



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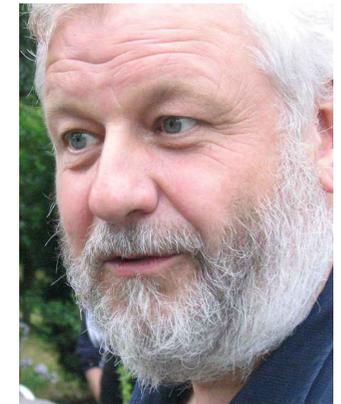
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... with additional material from Pierre Marage



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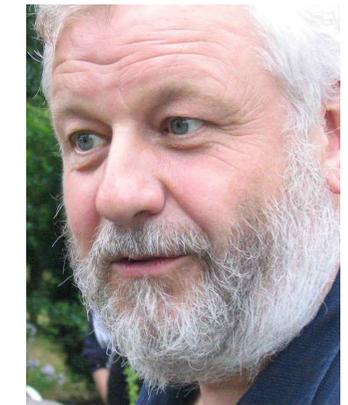
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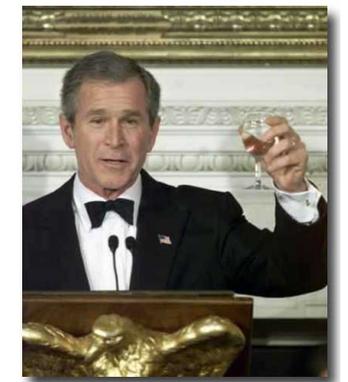
Summer School on Diffractive & Electromagnetic  
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... with additional material from Pierre Marage



... and occasional commentary from George W Bush

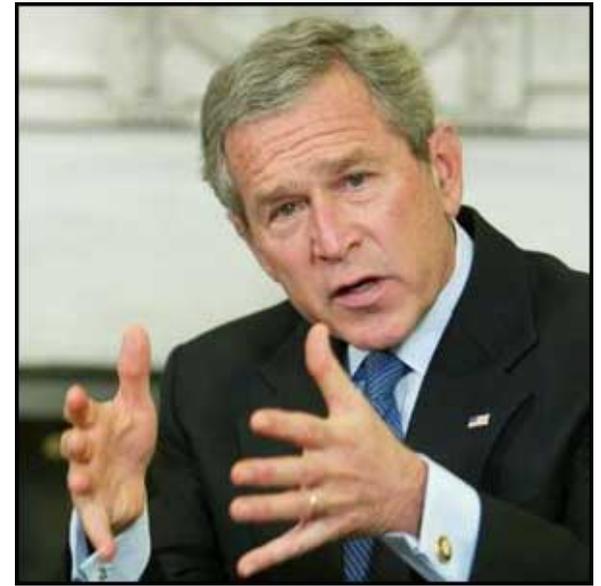


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# Contents

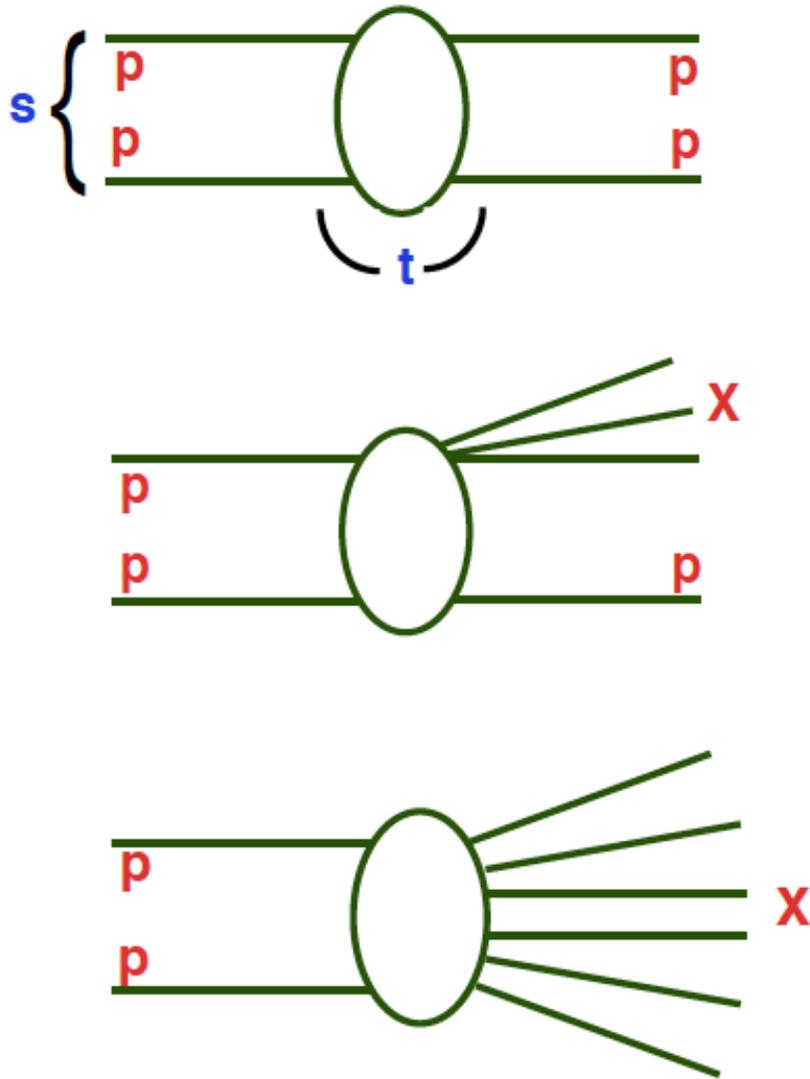
- A bit of revision
- HERA and electron-proton scattering
- Exclusive vector meson production
- Diffractive Deep Inelastic Scattering
- Diffractive Parton Densities
- Factorisation Breaking and Rapidity Gap Survival
- [Sub-leading colour singlet exchanges]



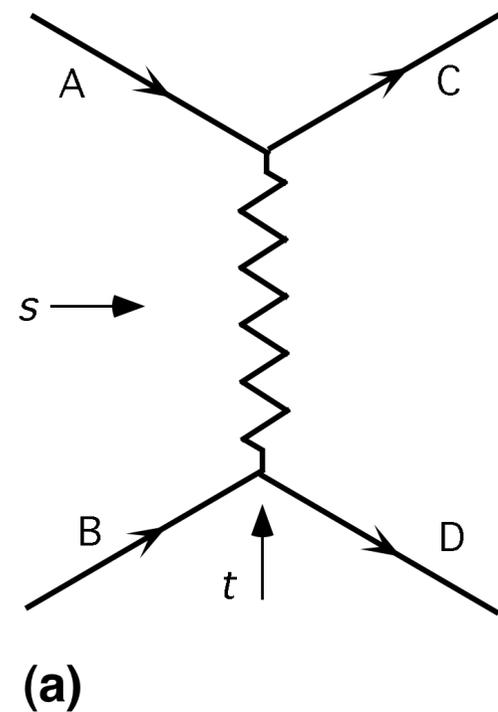
A Quick Bit of  
Revision

(= Ancient  
History)

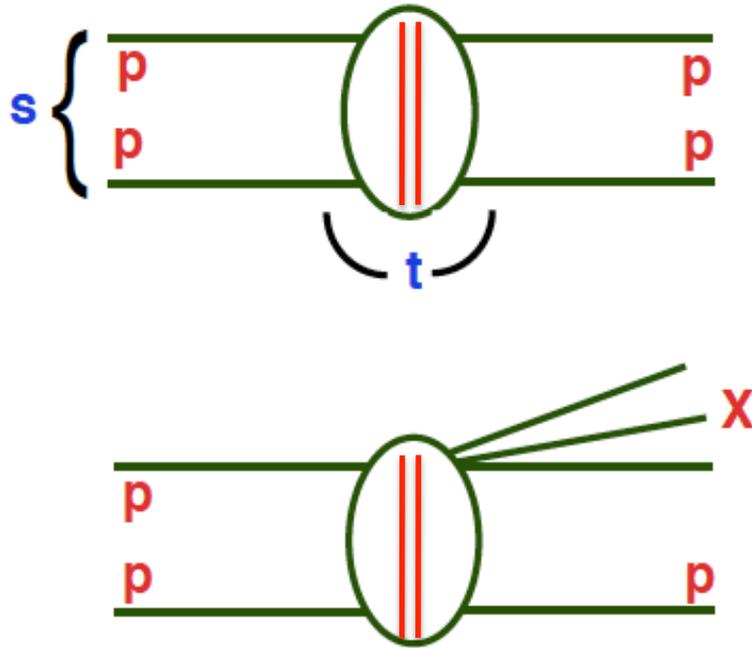
# A Bit of Quick Revision (Ancient History)



Colour singlet exchange processes in pp physics often described in terms of t channel exchanges.

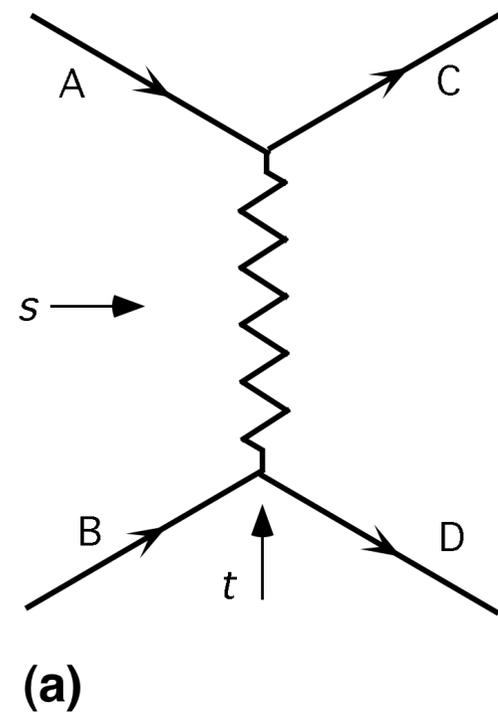


# A Bit of Quick Revision (Ancient History)



Colour singlet exchange processes in pp physics often described in terms of t channel exchanges.

... but what is exchanged in the t channel?



# A Bit of Quick Revision (Ancient History)

... meson exchange ...

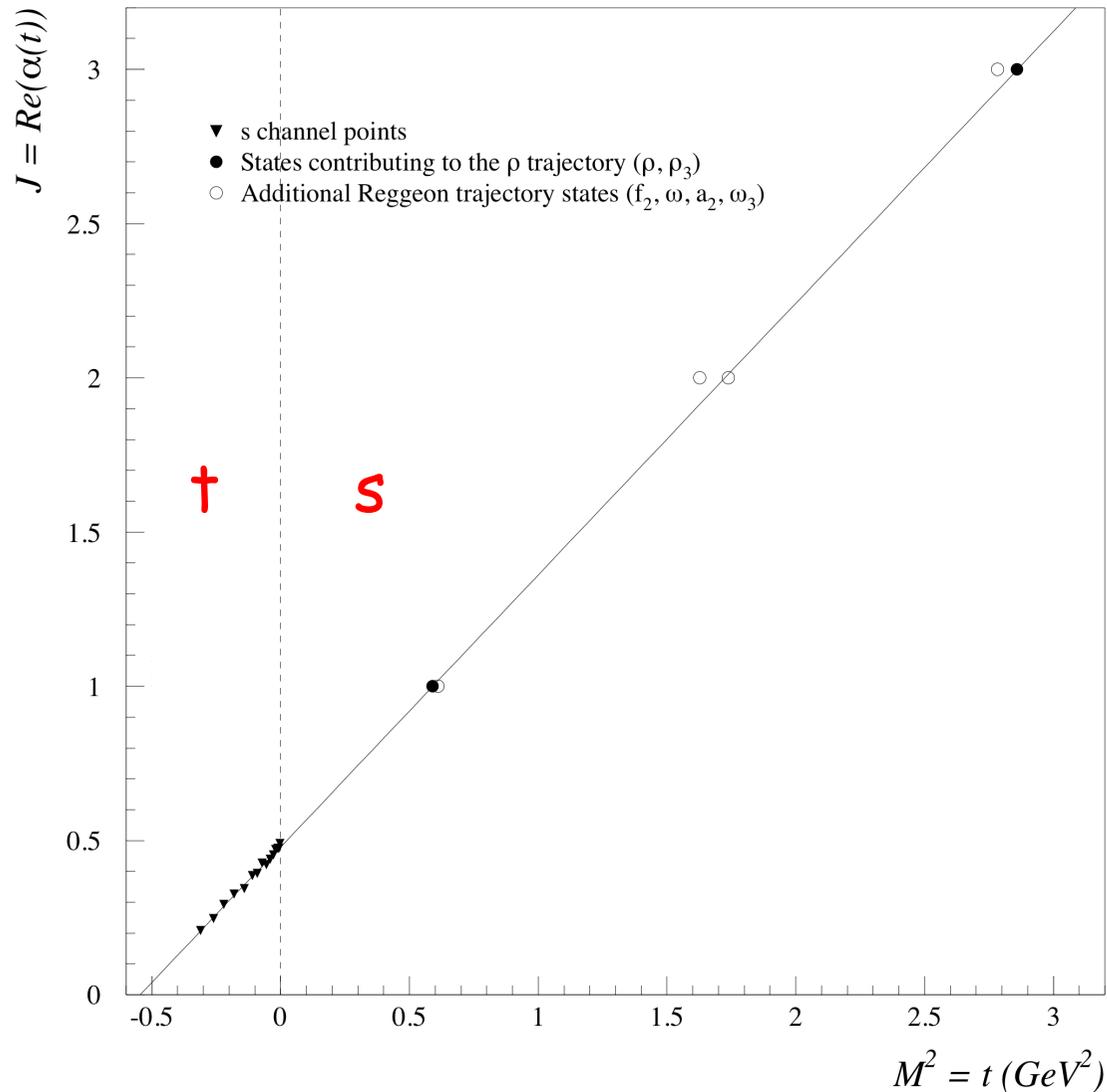
... Chew-Frautschi plots of  $M^2$  (or  $t$ ) v Spin show linearity and  $s \leftrightarrow t$  channel continuity.

$$\alpha(t) = \alpha(0) + \alpha' t$$

e.g.

$$\rho, \omega, f, a: \quad \alpha(t) \sim 0.5 + t$$

$$\pi: \quad \alpha(t) \sim 0 + t$$



# Just the One Slide on Regge Theory

Fundamental equation of Regge theory:

At fixed  $t$ , with  $s \gg t \dots$

Amplitude for a process governed by the exchange of a trajectory  $\alpha(t)$  is  $T(s,t) \sim (s/s_0)^{\alpha(t)}$

... note that  $t$  dependence not predicted ...

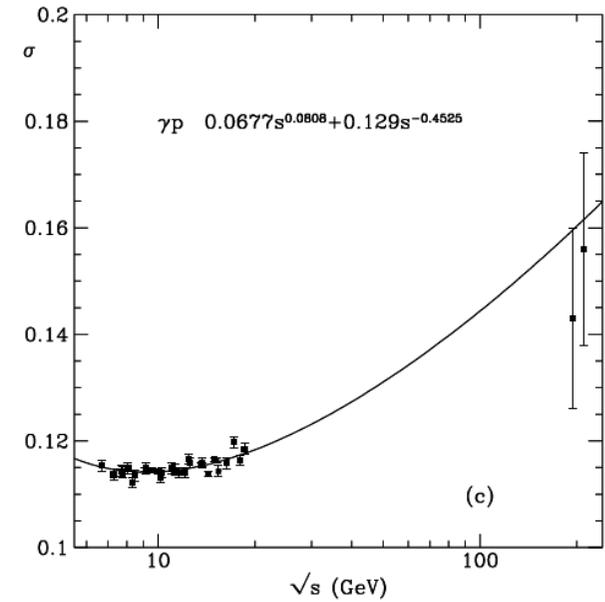
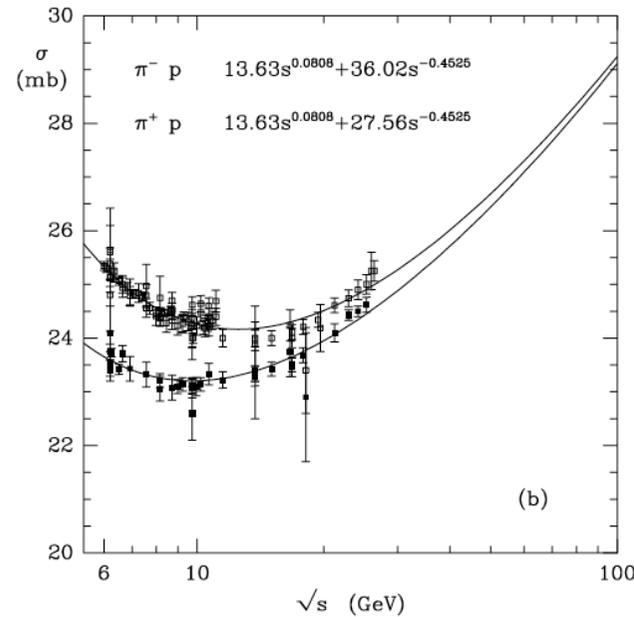
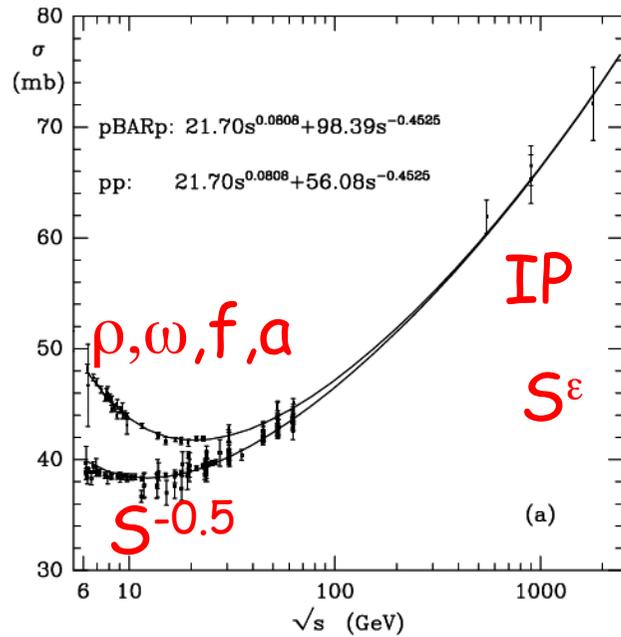
Leads to elastic cross section:  $\frac{d\sigma_{el}}{dt} \sim s^{2\alpha(t)-2}$

... and total cross section via the optical theorem:

$$\sigma_{tot} = \frac{1}{2s} \sum_X \left| \begin{array}{c} \text{Diagram 1: } \text{A} \rightarrow \text{B} \text{ via } X \end{array} \right|^2 = \frac{1}{2s} \sum_X \begin{array}{c} \text{Diagram 2: } \text{A} \rightarrow \text{B} \text{ via } X \text{ (cut)} \end{array} \sim \frac{1}{s} \begin{array}{c} \text{Diagram 3: } \text{A} \rightarrow \text{B} \text{ via } \alpha(0) \end{array} \quad \begin{array}{l} \sigma_{tot} = \frac{1}{s} \text{Im}\{T_{el}\}_{t=0} \\ \sigma_{tot} \sim s^{\alpha(0)-1} \end{array}$$

See also Mueller's generalised optical theorem & triple Regge phenomenology ... not used in anything I show here.

# Some Total Cross Section Data



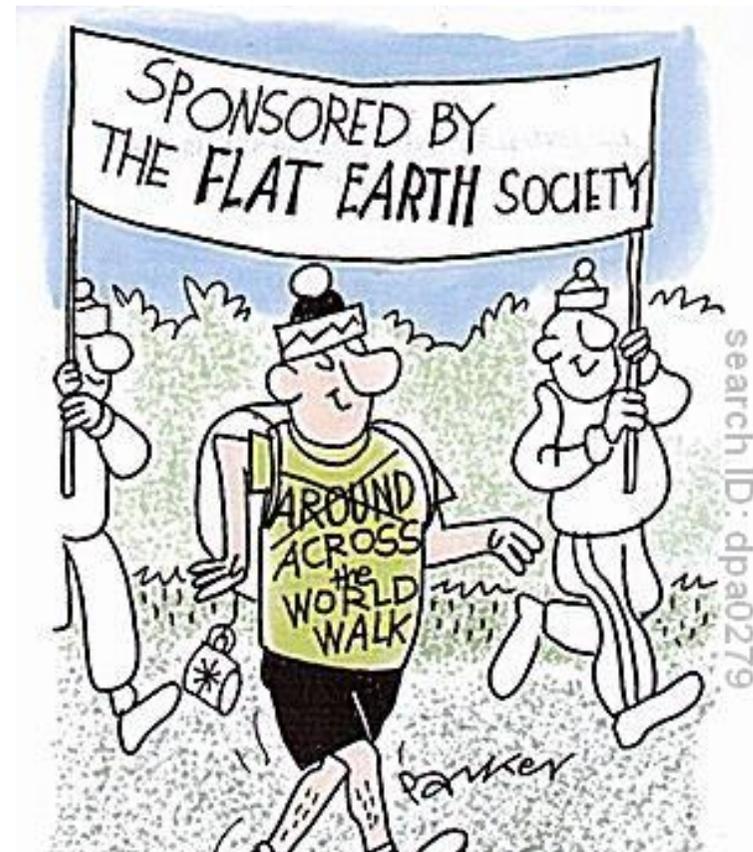
Many measurements in pp in particular  $\rightarrow$   
 A pomeron exchange trajectory ...  $\alpha(t) \sim 1.10 + 0.25 t$

If this pomeron is universal and factorisable, it can also be applied to total, elastic, diffractive dissociation cross sections in e.g.  $\gamma p$  collisions

# End of Historical Introduction!

# End of Historical Introduction!

You shouldn't believe all the wisdom you read in history books

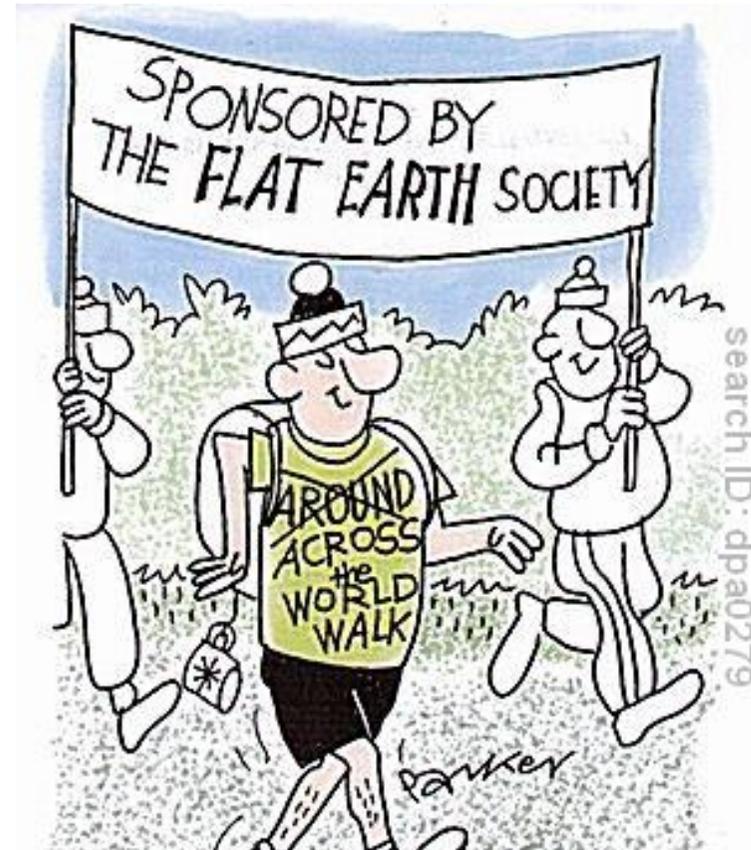


# End of Historical Introduction!

You shouldn't believe all the wisdom you read in history books

The preceding Regge theory / pomeron discussion is a convenient language in which to describe what we see at HERA

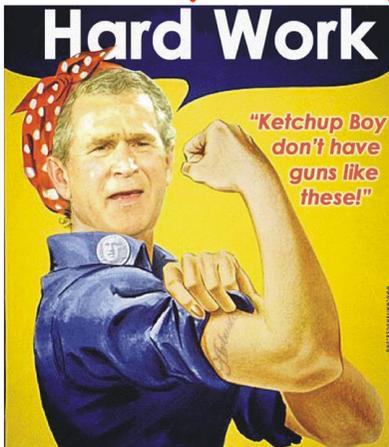
... though it doesn't really work ☹️



Electron-Proton  
Scattering and  
the HERA Collider

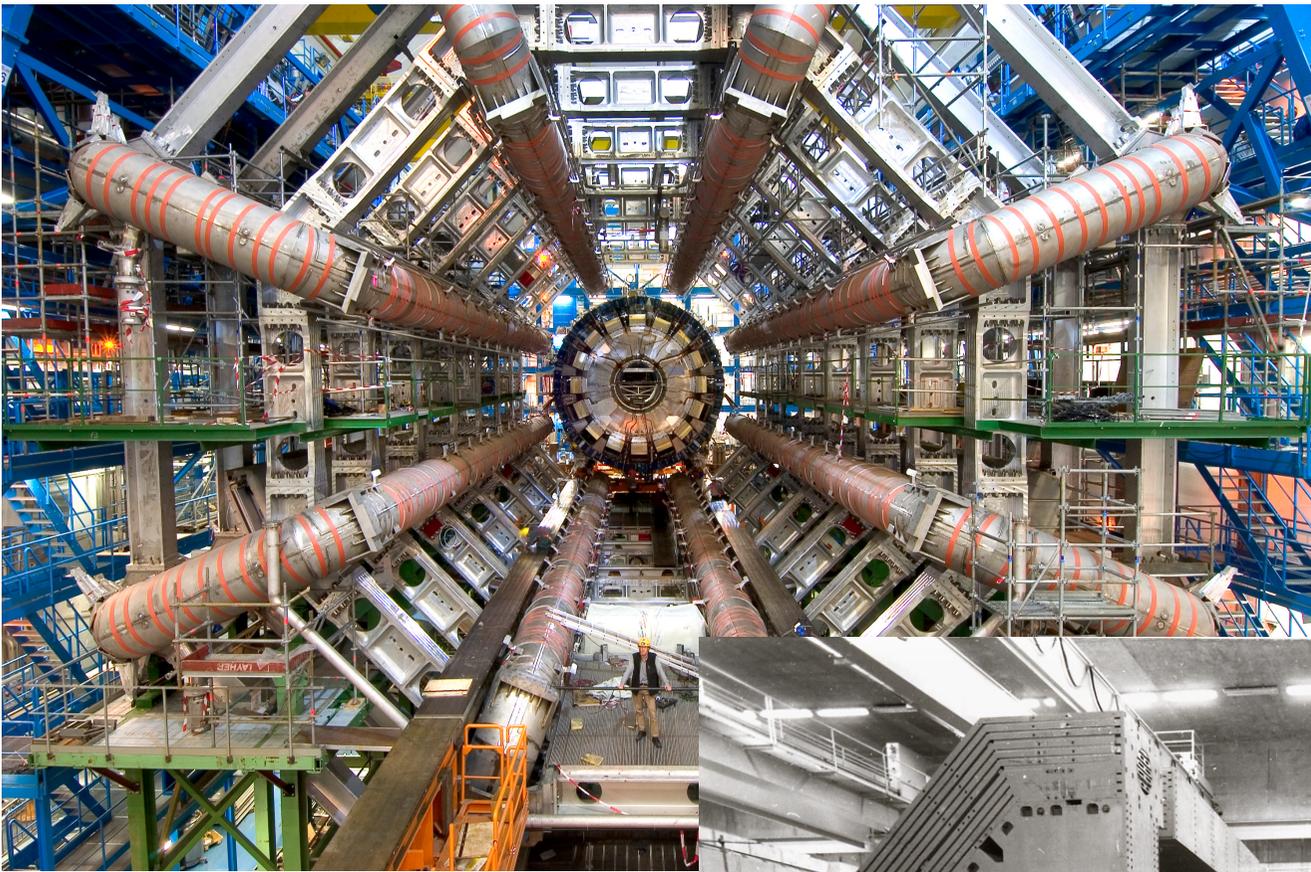
# The HERA Collider

ep collisions  
at  $\sqrt{s} \sim 300 \text{ GeV}$   
1992-2007  
 $\sim 0.5 \text{ fb}^{-1}$  per expt.



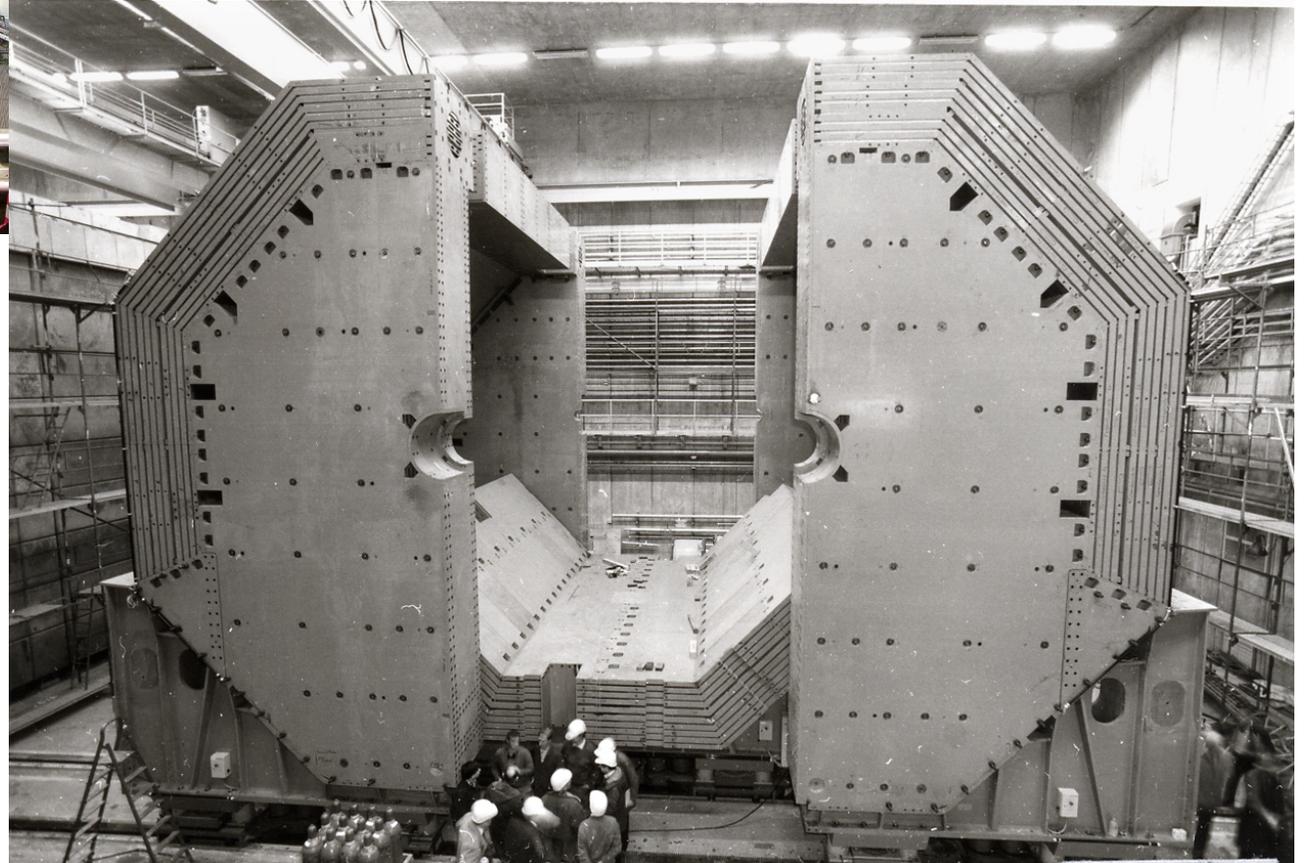
e.g. H1 publications on diffraction (similar numbers in ZEUS):

- Diffractive cross sections (SD,DD): 11 papers
- Diffractive final states: 14 papers
- Quasi-elastic cross sections: 20 papers
- Total cross sections / decomposition: 2 papers



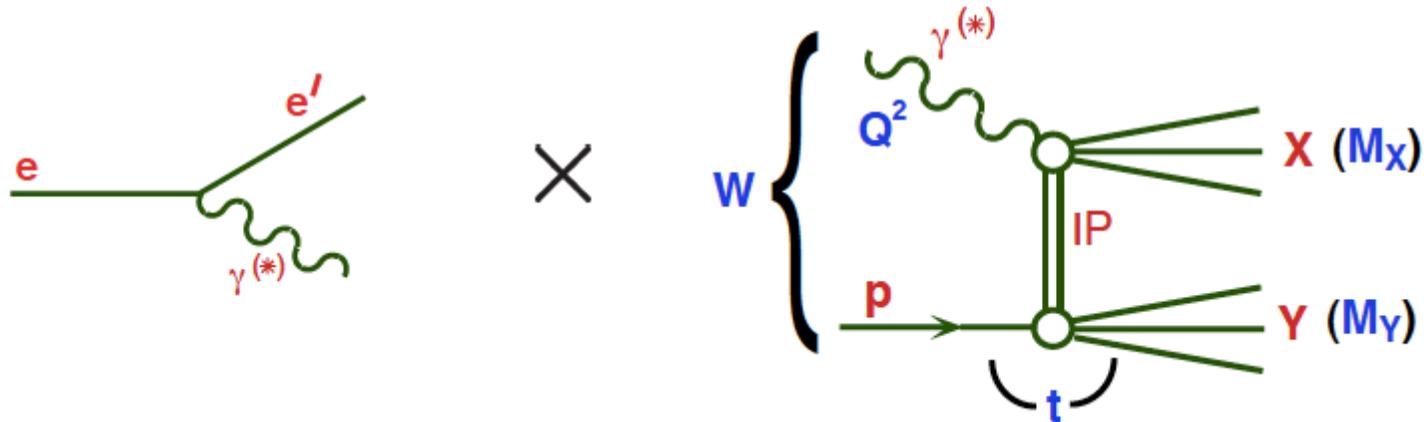
Scale:  
H1 v  
ATLAS

... yet x range of  
PDF sensitivity at  
HERA is very well  
matched to LHC  
requirements!



# Colour singlet exchange processes at HERA

At the HERA  $ep$  collider, diffractive  $\gamma^{(*)}p$  interactions can be studied.



All 5 of the kinematic variables shown can be measured.

By varying  $Q^2$ , the process can be smoothly changed

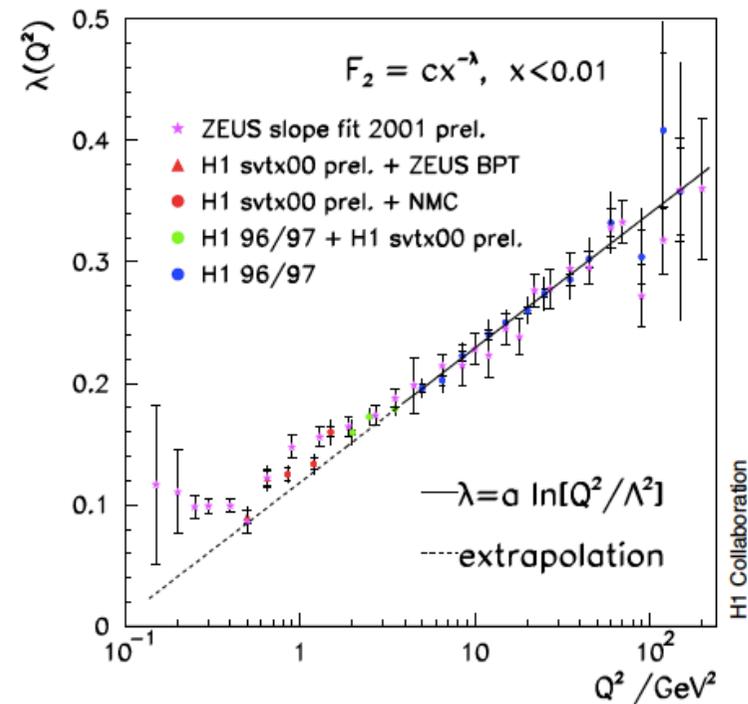
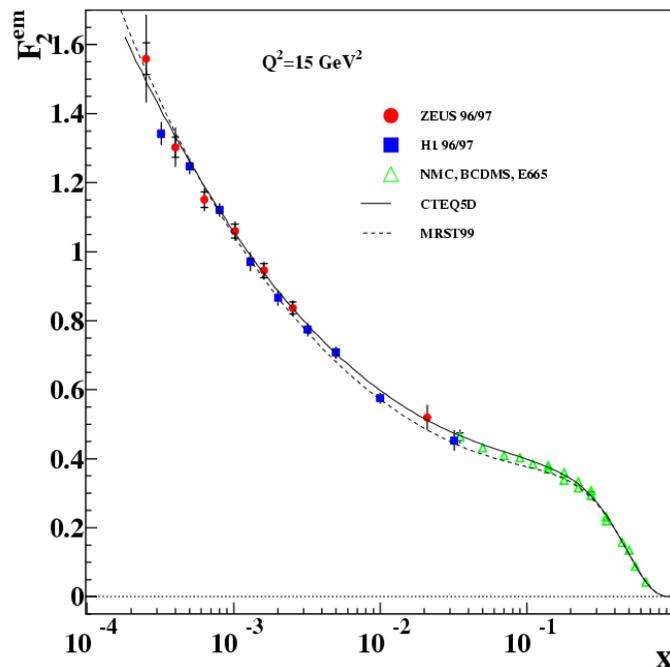
- from a soft process (real photon,  $Q^2 \rightarrow 0$ )
- to a deep inelastic process (highly virtual photon, large  $Q^2$ , resolving partons and probing QCD structure of diffraction)
- I will concentrate on cases where  $t$  is small

# Total $\gamma^*p$ Cross Sections at HERA

Low  $x$  Kinematics ...  $W^2 = Q^2 / x$

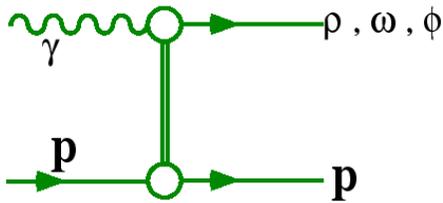
The rise of  $F_2$  with decreasing  $x$  is equivalent to the rise of the total cross section with increasing  $W$  at fixed  $Q^2$

$$F_2 \sim x^{-\lambda} \quad \leftrightarrow \quad \sigma_{\text{tot}} \sim s^{\alpha(0)-1} \quad \dots \quad \lambda = \alpha(0) - 1$$



- The idea of a universal pomeron dead from the outset at HERA!... harder scales give stronger energy dependences

# Colour singlet exchange processes at HERA

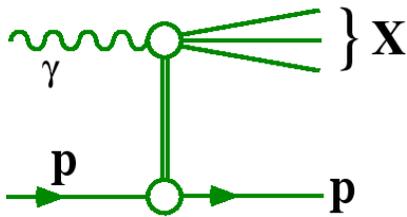


QUASI ELASTIC  
VECTOR MESON  
PRODUCTION

(EL)

$$\gamma p \longrightarrow V p$$

LHC analogue is  $pp \rightarrow pp$

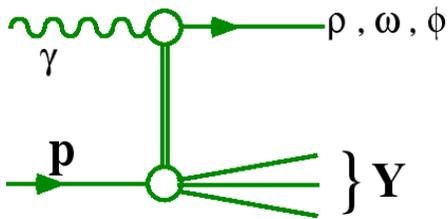


SINGLE PHOTON  
DISSOCIATION

(GD)

$$\gamma p \longrightarrow X p$$

LHC analogue is  $pp \rightarrow X p$

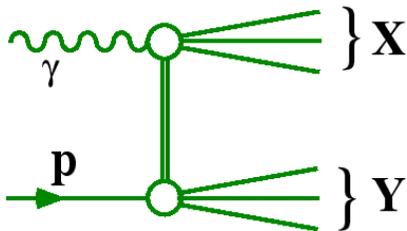


SINGLE PROTON  
DISSOCIATION

(PD)

$$\gamma p \longrightarrow V Y$$

LHC analogue is  $pp \rightarrow p X$



DOUBLE  
DISSOCIATION

(DD)

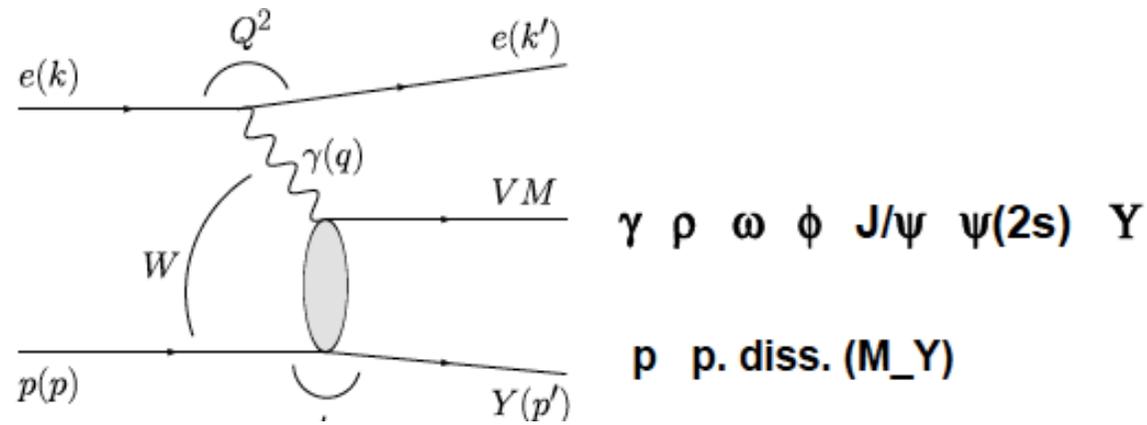
$$\gamma p \longrightarrow X Y$$

LHC analogue is  $pp \rightarrow X Y$

Favourable kinematics to study X system (photon dissociation)

Exclusive  
Vector Meson  
Production

# Vector Mesons & the Soft $\rightarrow$ Hard Transition



Phenomenological parameterisation in Regge theory:

$$\frac{d\sigma}{dt} \propto \left( \frac{W^2}{W_0^2} \right)^{2\alpha_{\mathbb{P}}(t)-2} e^{b_0 t} \quad ; \quad b_0 \sim R_p^2 + R_{\gamma^{(*)} \rightarrow V}^2$$

For soft processes, expect  $\alpha_{\mathbb{P}}(t) \sim 1.08 + 0.25t$ .

Signatures of hard processes:

- Increase in effective  $\alpha_{\mathbb{P}}(0)$ .
- Decrease in  $\alpha'_{\mathbb{P}}$ .
- $R_{\gamma^{(*)} \rightarrow V}^2 \rightarrow 0$  ;  $b_0 \rightarrow R_p^2$

[ $e^{b_0 t}$  empirically motivated ... Fourier transform of spatial distribution of Interaction]

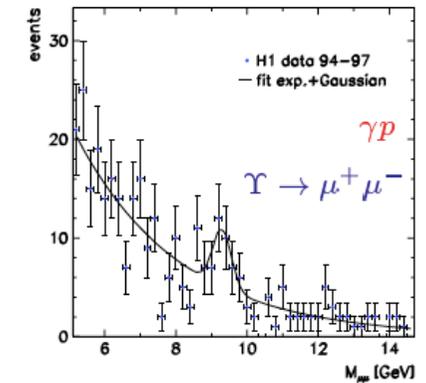
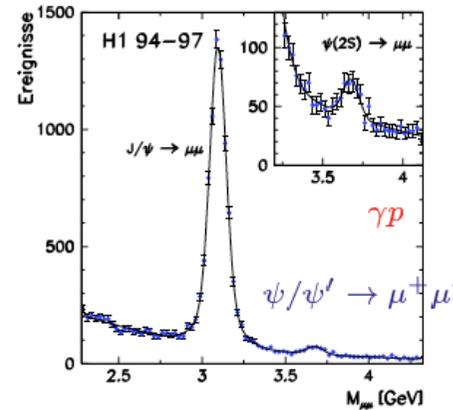
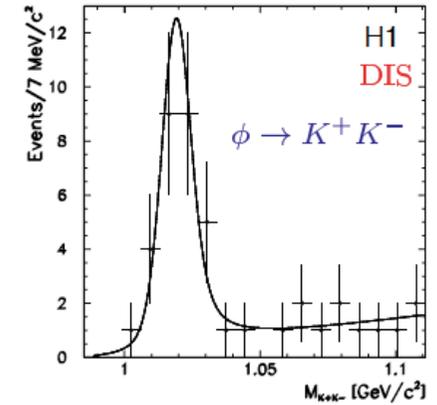
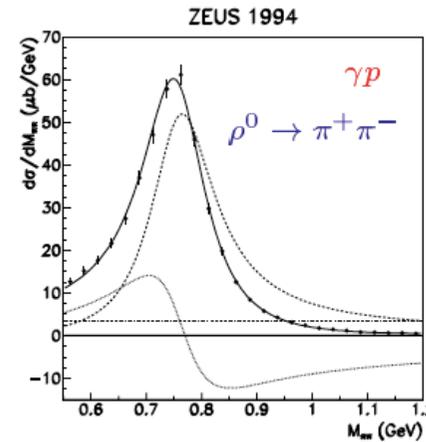
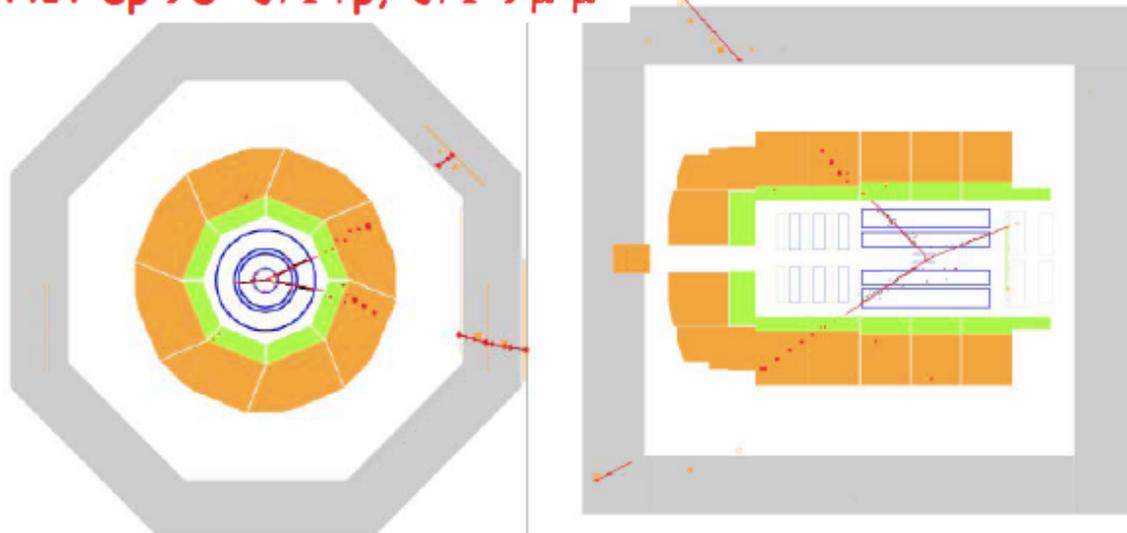
Possible quantitative QCD description where hard scales available:

# Selection and Some Early Signals

Study VMs in 2-prong decay channels.

... Select events where detector is 'empty' apart from VM decay products and maybe scattered lepton

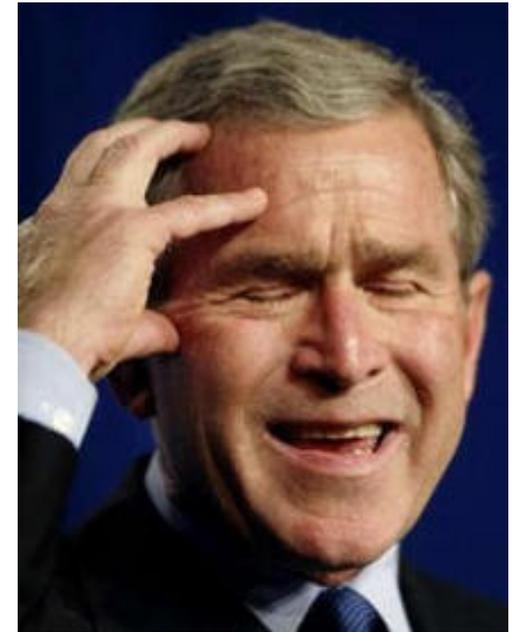
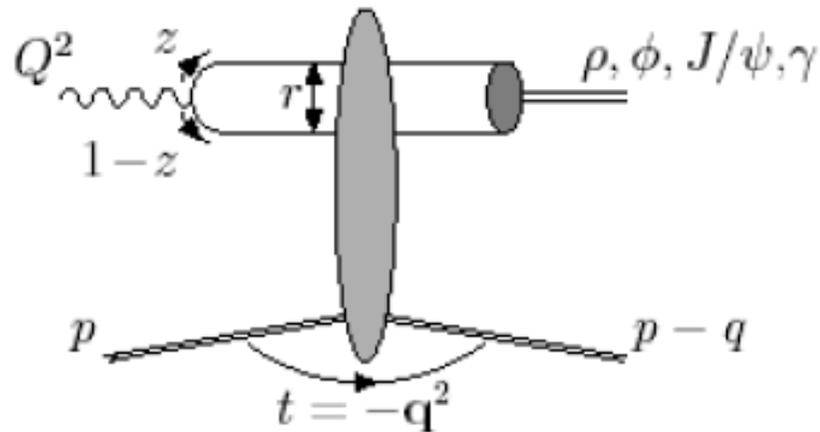
H1:  $ep \rightarrow e' + J/\Psi + p$ ,  $J/\Psi \rightarrow \mu^+ \mu^-$



Scattered proton disappears into forward beampipe (low t ...)

# Describing Vector Mesons in terms of Partons

## Factorisation theorem

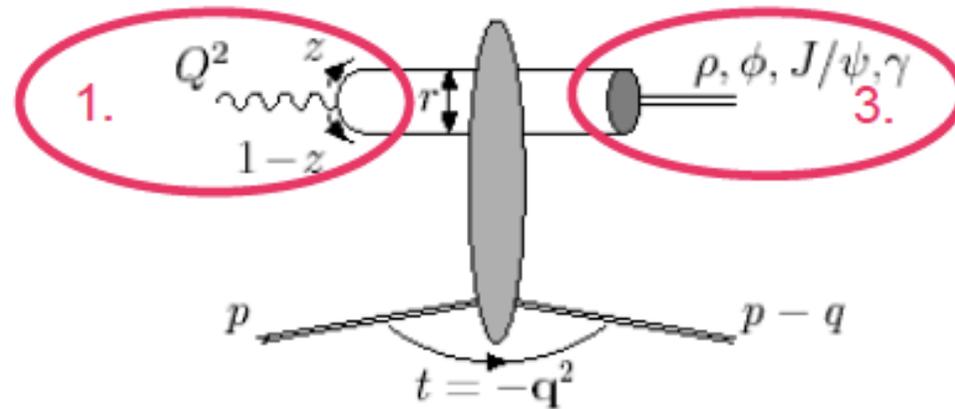


**step 1.**  $\gamma$  fluctuation into  $q\bar{q}$  dipole

**step 2.** dipole – proton interaction  $A = \int dr^2 dz \Psi_\gamma \sigma(\text{dip} - p) \Psi_V$

**step 3.** pair recombination into VM

# The Wavefunctions



## 1. $\gamma$ wave function

well known :  $\Psi(\mathbf{z}, k_t)$

however : large  $|t|$  studies  $\rightarrow$  chiral odd contributions

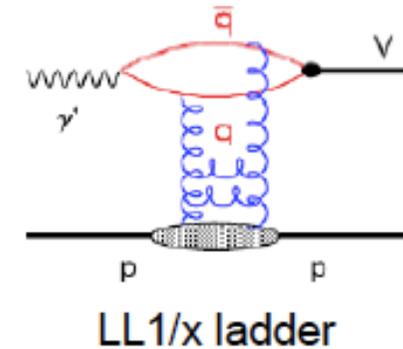
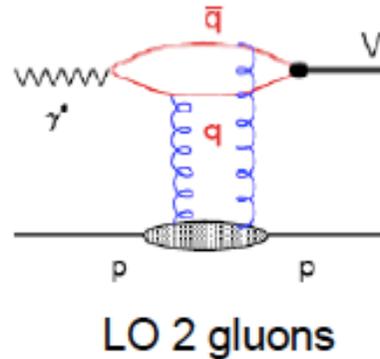
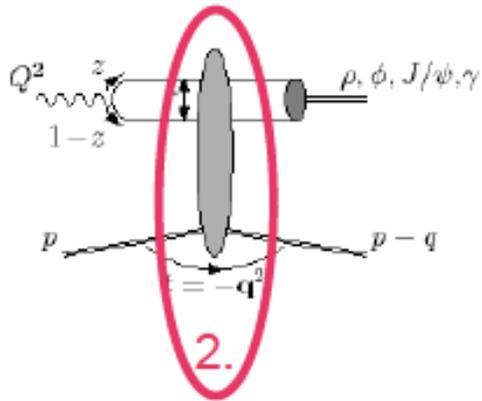
## 3. pair recombination into VM

- VM wave function description ?

- role on  $\sigma_L / \sigma_T$  and helicity amplitudes

# The Dipole-Proton Interaction

## 2. dipole – proton interaction



In principle, VM production is a promising candidate to learn about the gluon distribution in the proton

Many models on the details of  $\sigma(r)$  !

What is the relevant scale?...  $r$  depends on  $Q^2$  and  $M_V^2$

$$Q_{\text{eff}}^2 = z(1-z)(Q^2 + M_V^2) \sim (Q^2 + M_V^2) / 4 \quad [\text{MRT...}]$$

# Whistlestop VM Theory

Regge parameterisation  
at fixed  $t$ :

$$\frac{d\sigma_{el}}{dt} \sim s^{2\alpha(t)-2}$$

$$\frac{d\sigma_{el}}{dt} \sim (W^2)^{2\alpha(t)-2} e^{bt}$$

→  $W$  is the  $\gamma^{(*)}p$  CMS energy

→ Kinematics ...  $W^2 = Q^2 / x$

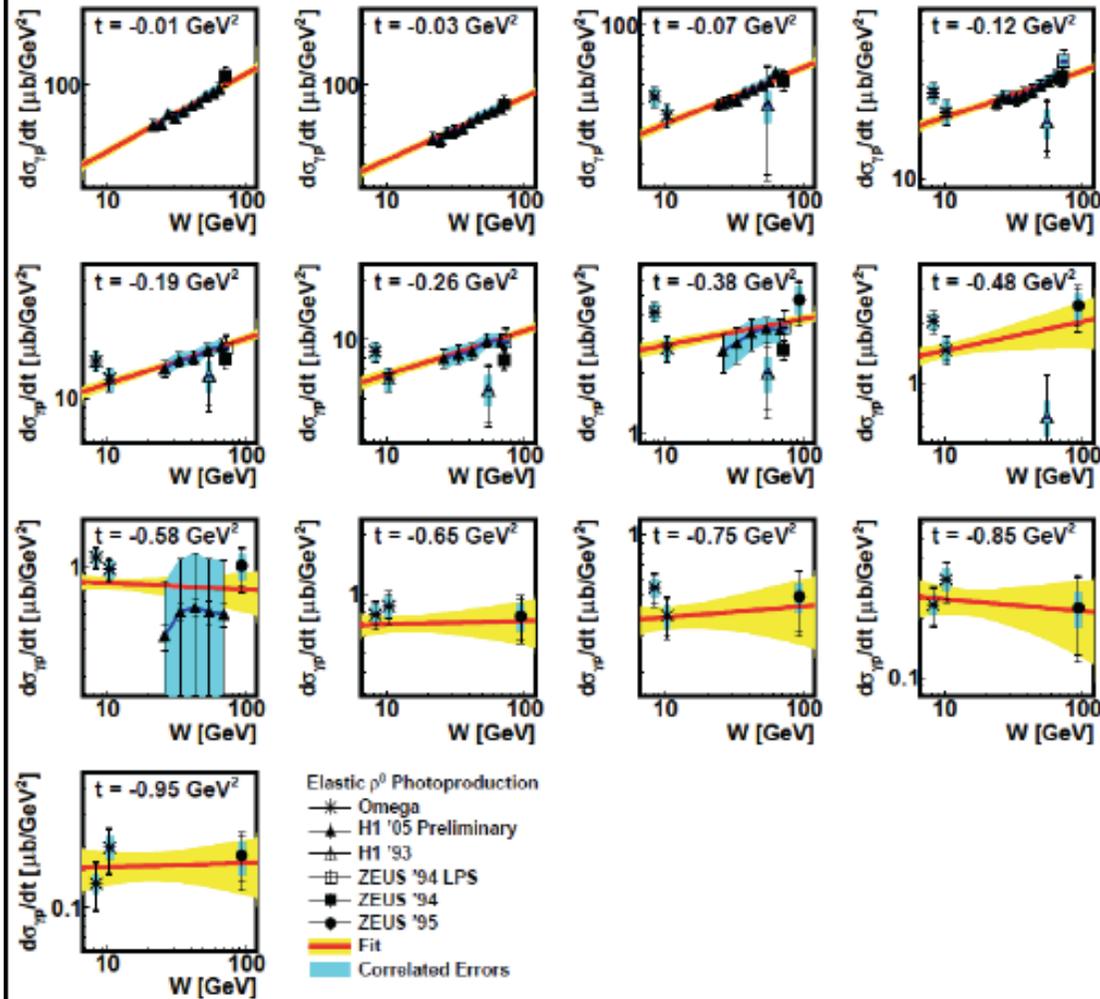
→ Crudely, 2 gluon model ...  $\sigma_{el} \sim \left| x g(x, Q_{eff}^2) \right|^2$

→  $e^{bt}$  term is empirical ... Fourier transform of spatial distribution of the interaction

$$b = b_{dipole} + b_{proton} \rightarrow b_{proton} \text{ as dipole size} \rightarrow 0$$

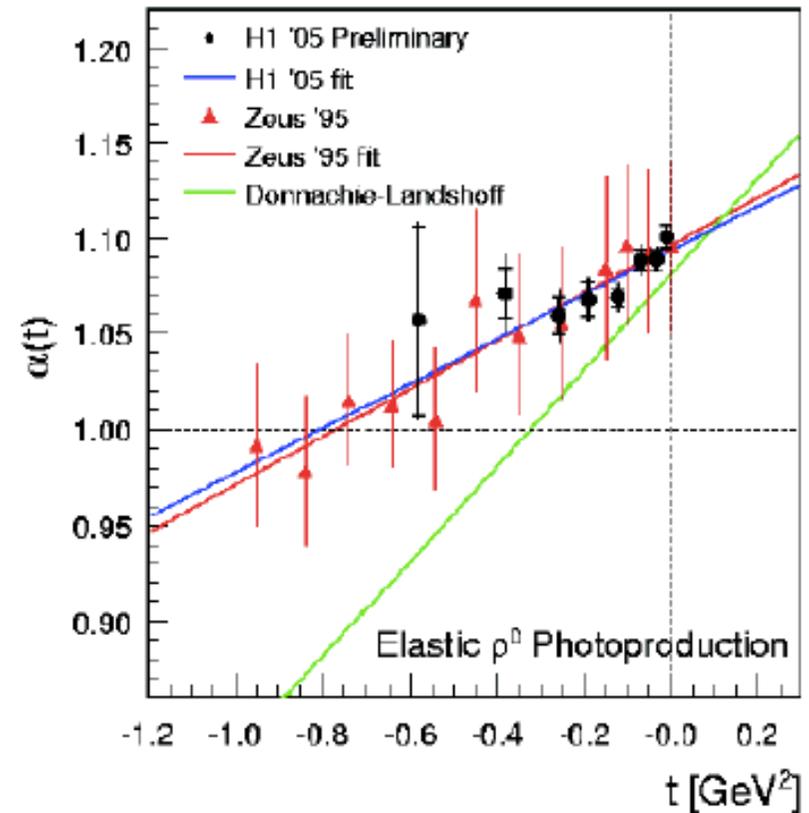
# Soft $\rho$ Photoproduction

H1 PRELIMINARY



$$\frac{d\sigma_{\gamma p}(W)}{dt} \propto \left( \frac{W}{W_0} \right)^{4(\alpha_{IP}(t)-1)}$$

H1 PRELIMINARY



$$\alpha_{IP}(0) = 1.0871 \pm 0.0026(\text{stat}) \pm 0.0030(\text{sys})$$

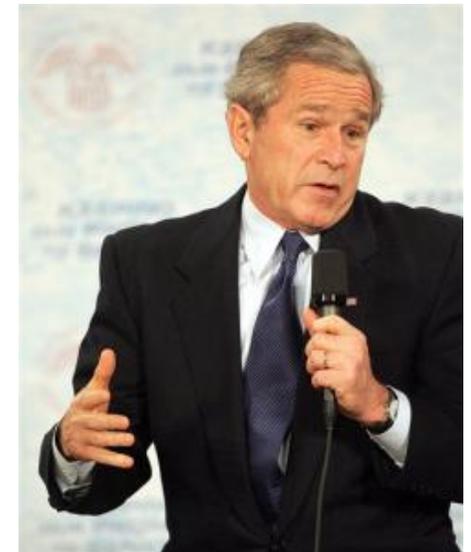
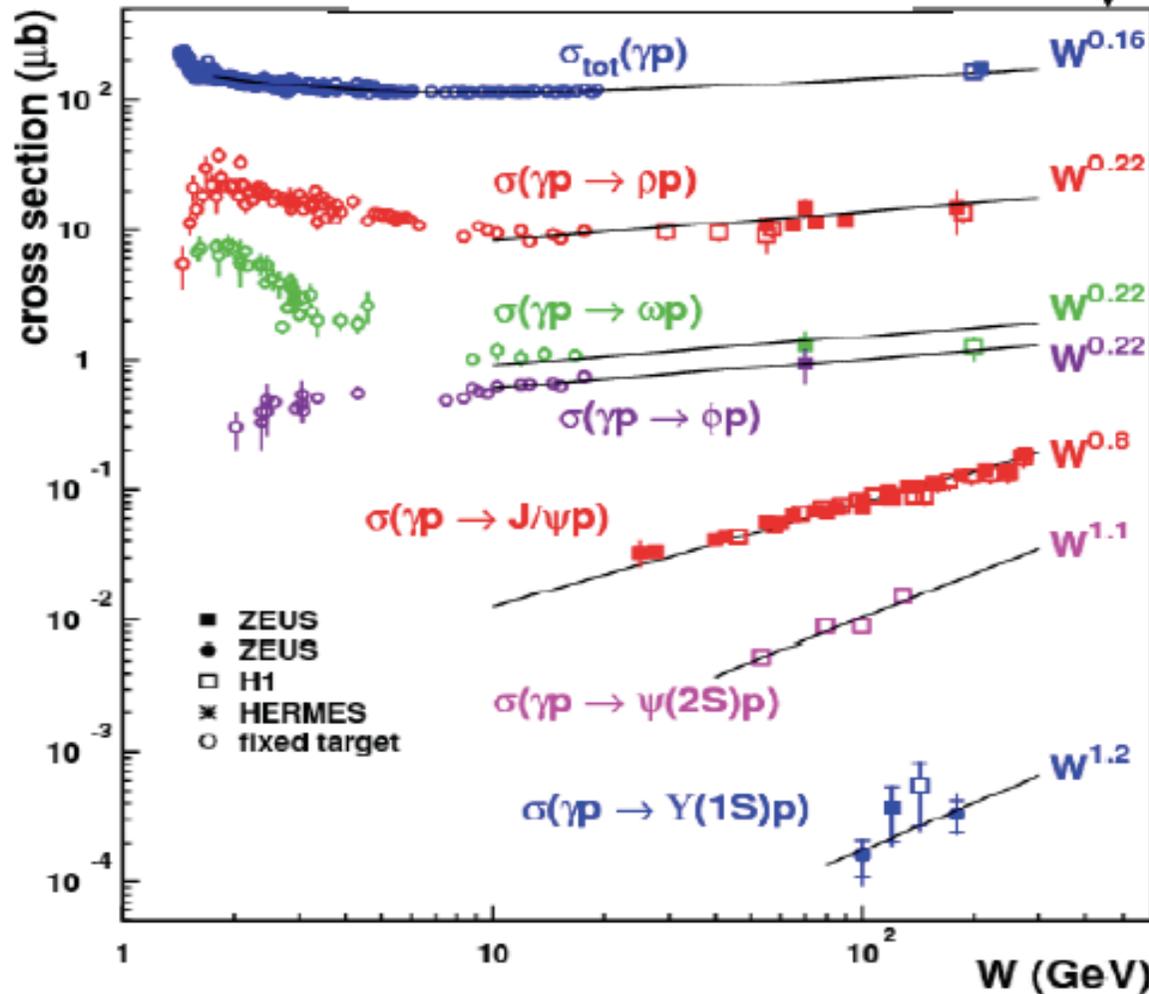
$$\alpha_{IP}' = 0.126 \pm 0.013(\text{stat}) \pm 0.012(\text{sys}) \text{ GeV}^{-2}$$

~ soft IP in pp  
Smaller than soft pp

Similar results from ZEUS ... not even the soft IP is universal!

# Photoproduction of Light v Heavy VM

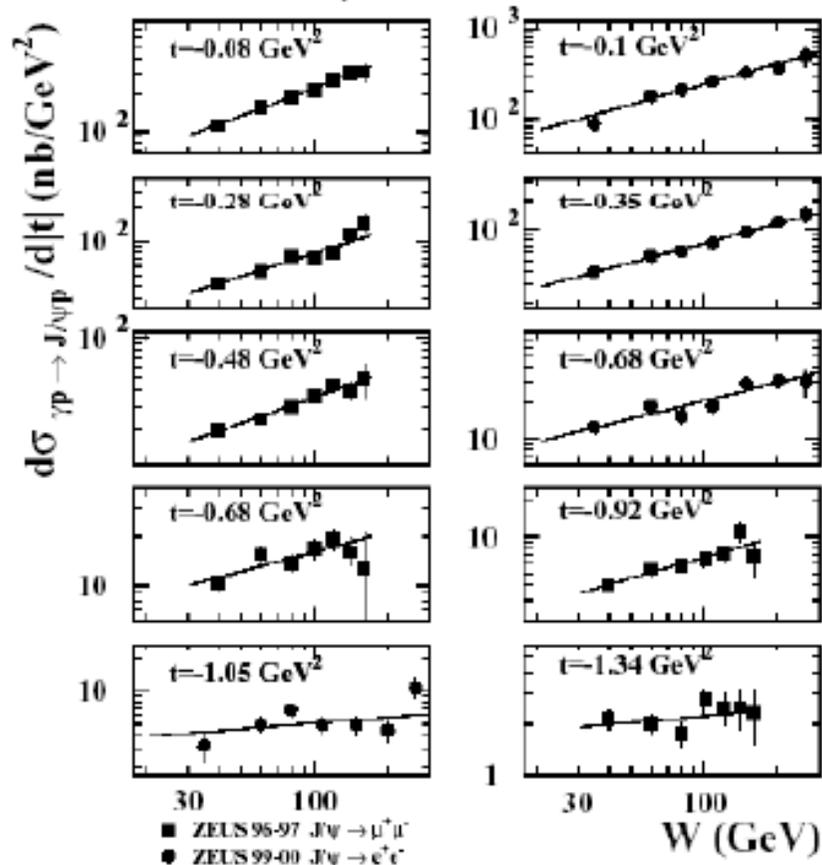
W dependence  $\sigma(W) \propto W^\delta$



Increasing  $M_V$  leads to harder energy dependences  
... c, b mass implies pQCD already valid for J/ $\Psi$ , Y for  $Q^2 = 0$

# Effective Trajectory for $J/\psi$ Photoproduction

ZEUS 1996-97, 1999-2000

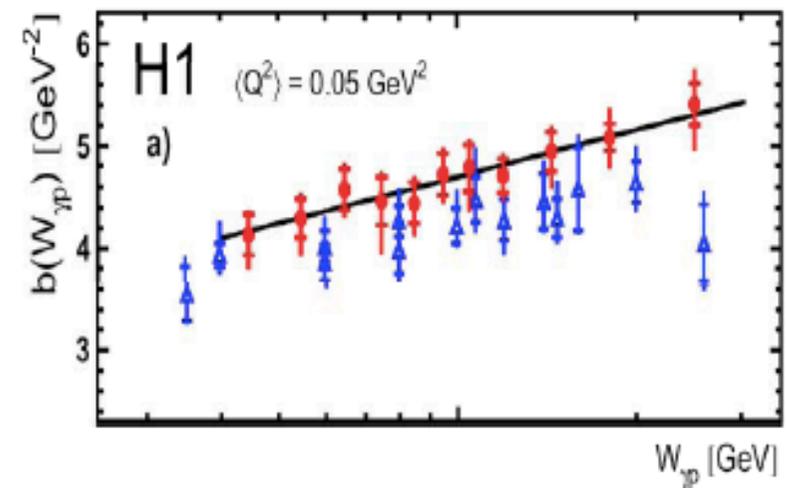
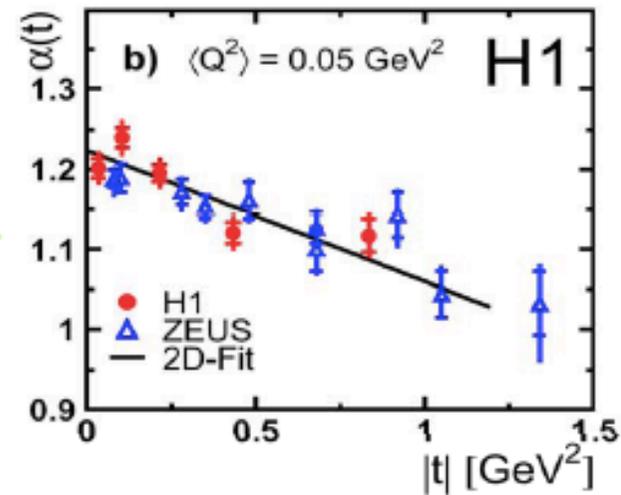


hard intercept  $\alpha(0) \sim 1.20$

lower (?) slope  $\alpha' \sim 0.12 - 0.16 \text{ GeV}^{-2}$

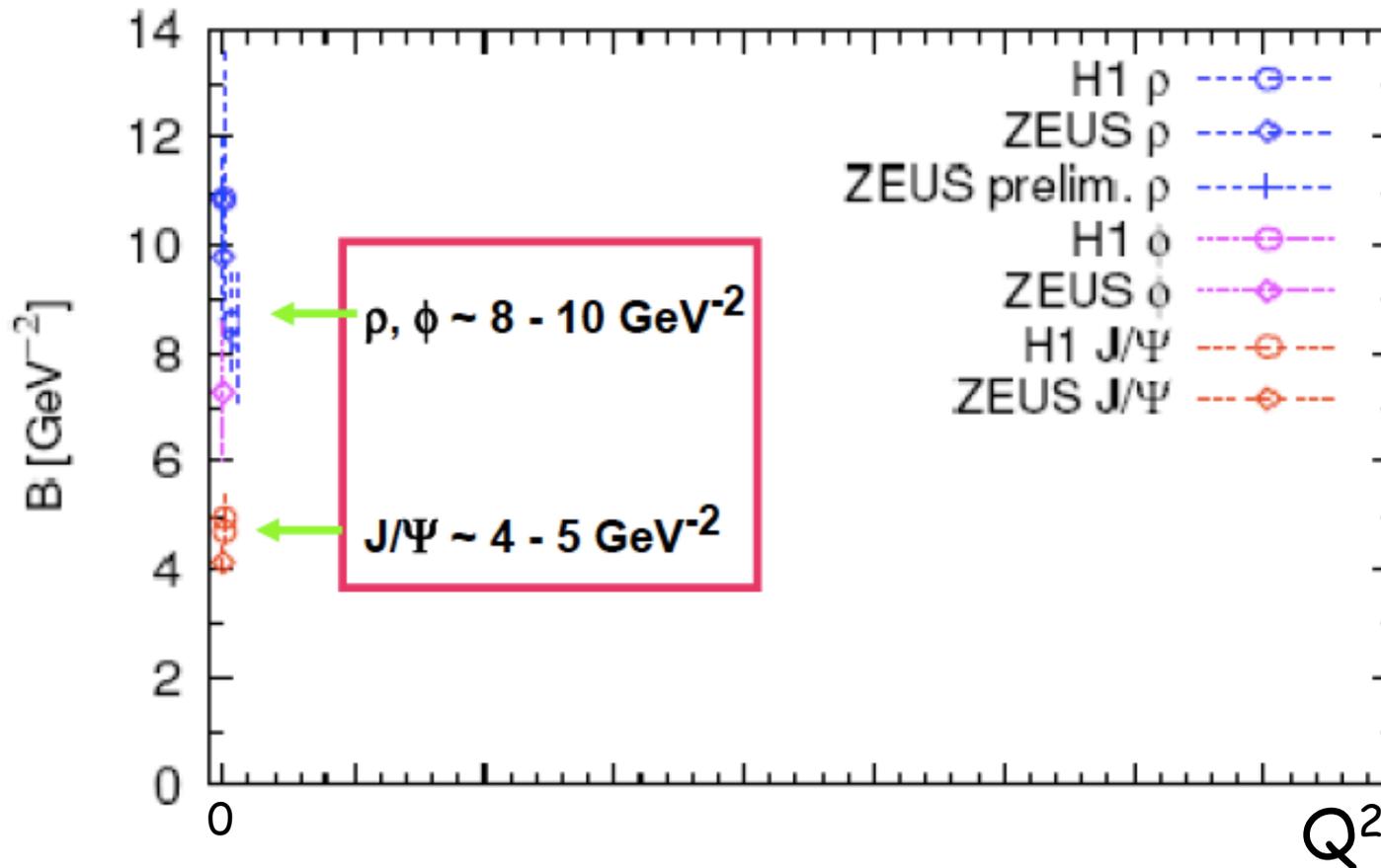
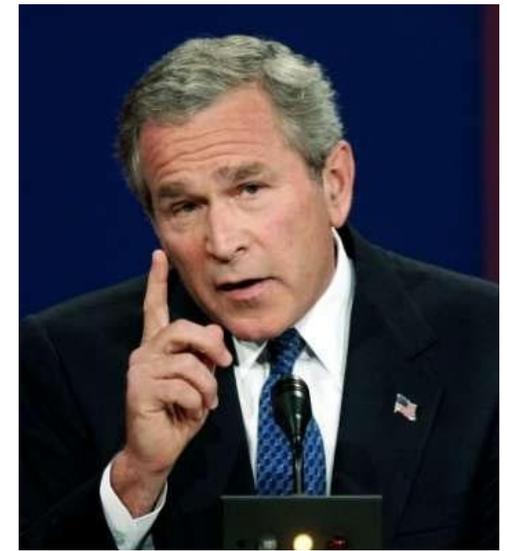
$$\text{ZEUS } \alpha_{IP}(0) = 1.200 \pm 0.009^{+0.004}_{-0.010}$$

$$\alpha'_{IP} = 0.115 \pm 0.018^{+0.008}_{-0.015} \text{ GeV}^{-2}$$



	$\alpha_0$	$\alpha' [\text{GeV}^{-2}]$
H1	$1.224 \pm 0.010 \pm 0.012$	$0.164 \pm 0.028 \pm 0.030$

# Photoproduction + Slopes



$B \sim 4 \text{ GeV}^{-2}$  corresponds to the size of the proton

- ...  $\sim$  nothing added to size of interaction region by dipole
- ...  $J/\Psi$  photoproduction is a short distance, hard process

# Photoproduction of J/ψ

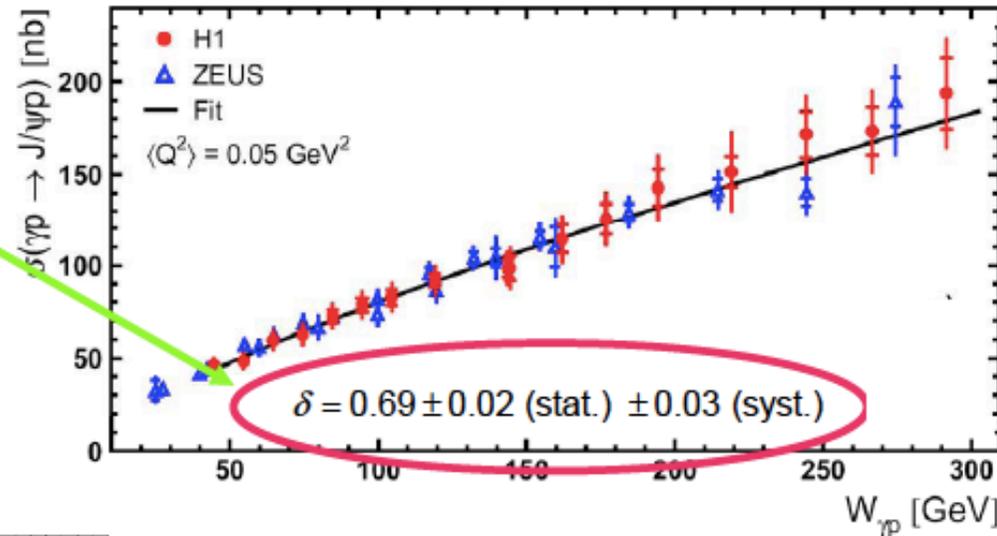
ZEUS, H1 1999-2000

hard slope

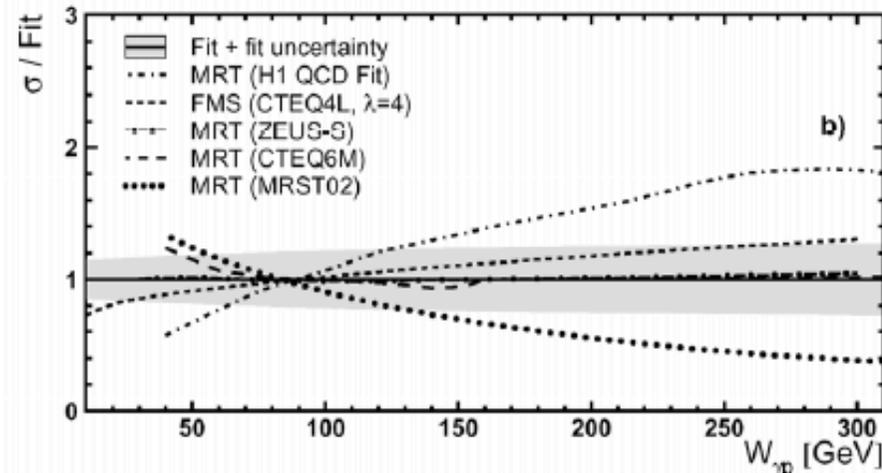
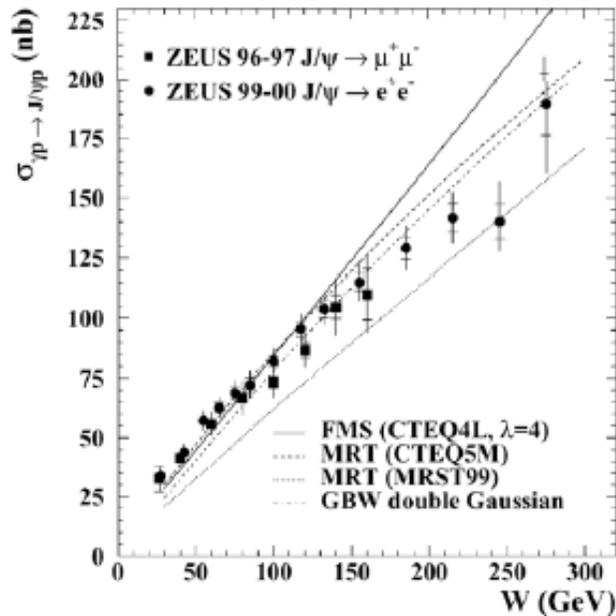
mass = hard scale

=> comparisons with theory

tests of pdf's



ZEUS



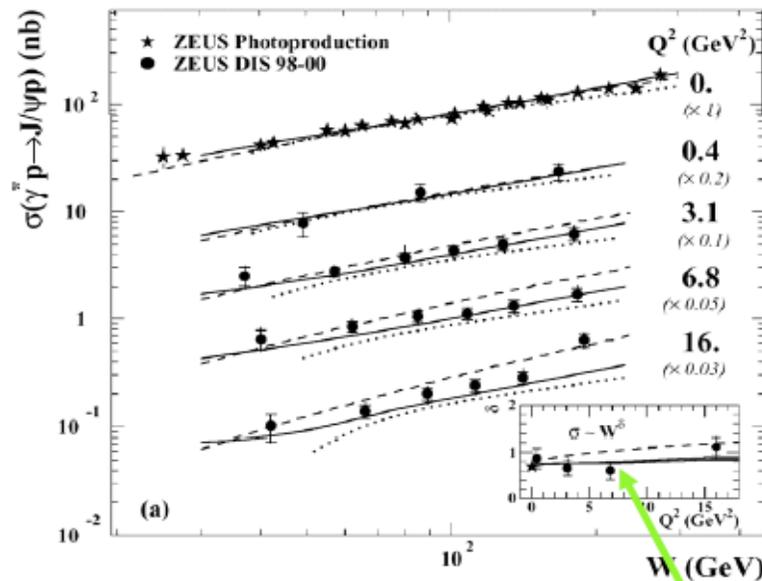
Sensitivity to gluon density ... but is theory well enough understood to distinguish PDF fits (wavefunctions, scales ...)?

# Turning the $Q^2$ Handle for $J/\Psi$

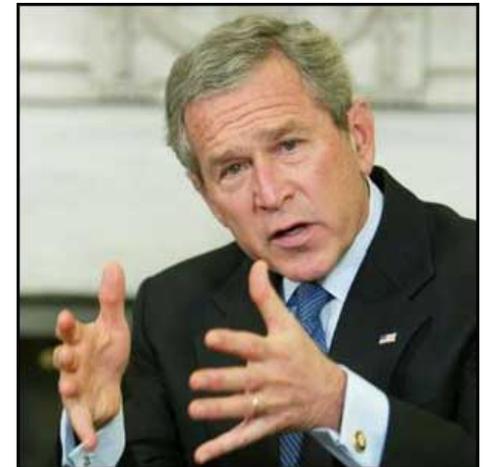
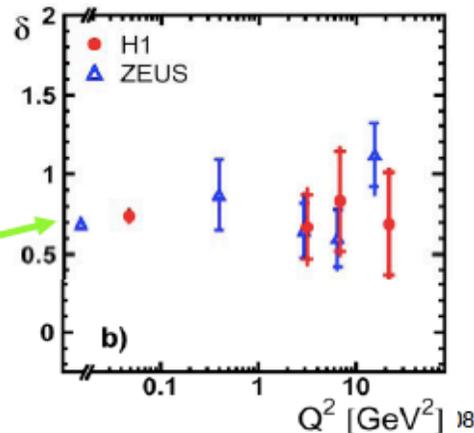
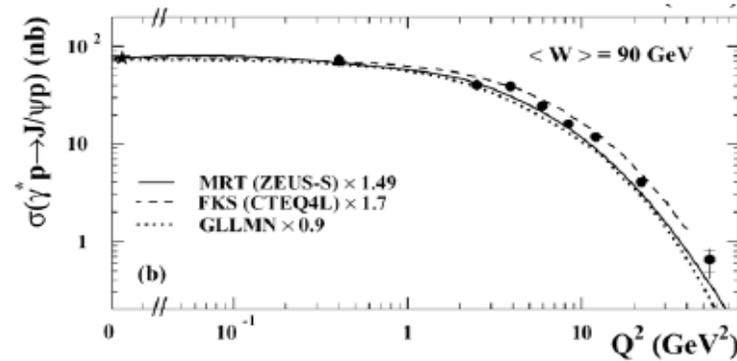
$J/\Psi$  hard in photoproduction

With  $Q^2$ , second hard scale

-> predictions of  $d\sigma/dQ^2$ ,  $W$  dep.



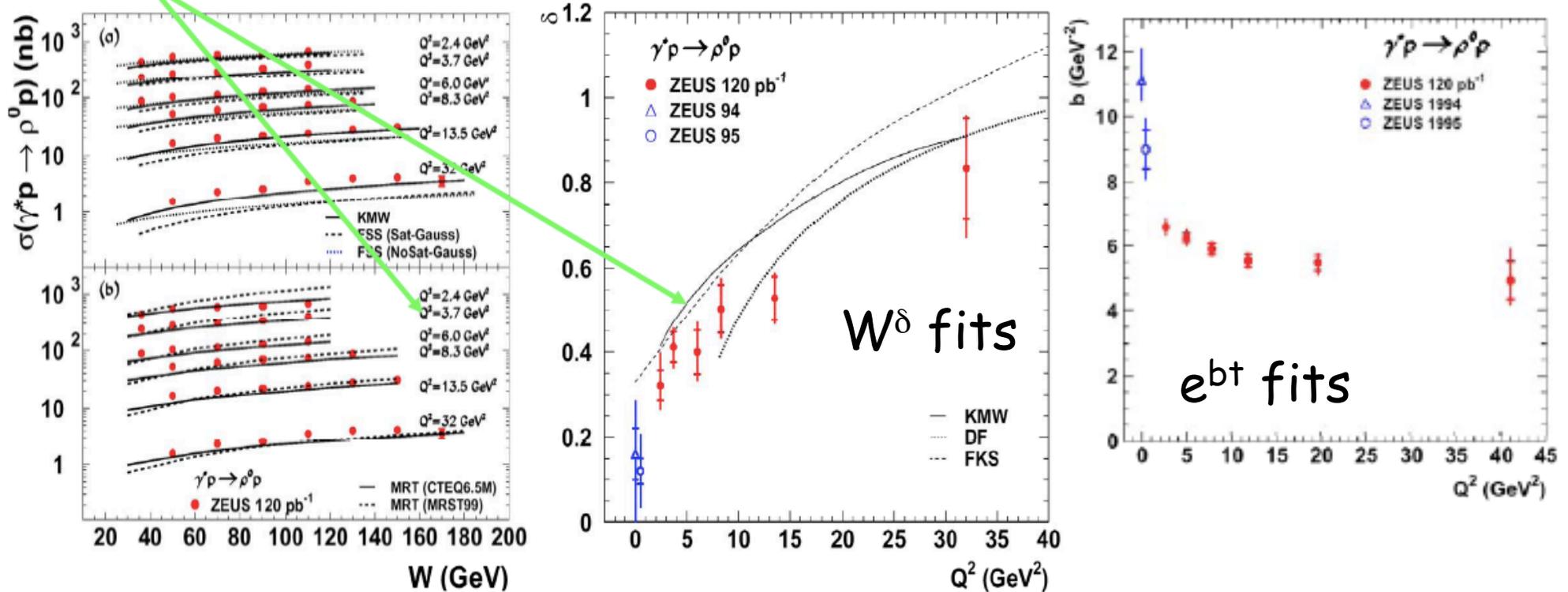
hard ( $\alpha(0) = 1.20$ ), indep. of  $Q^2$



- Fast reduction in cross section ( $\sim 1/Q^6$ ), reasonably described by 2 gluon models
- $W$  dependence,  $\dagger$  slope  $\sim$  unchanged (already hard @  $Q^2 = 0$ )

# Turning the $Q^2$ Handle for $\rho$

hardening of  $W$  dependence with  $Q^2$

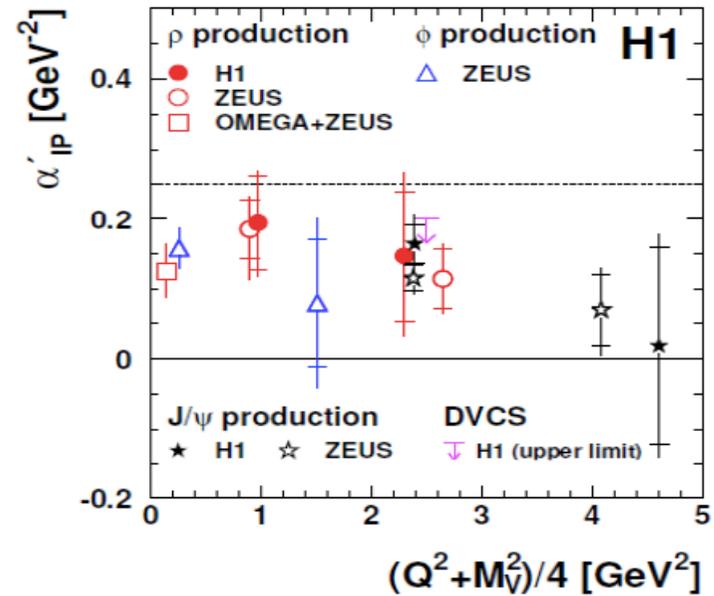


$W$  and  $t$  dependences show transition from soft to hard process as  $Q^2$  grows

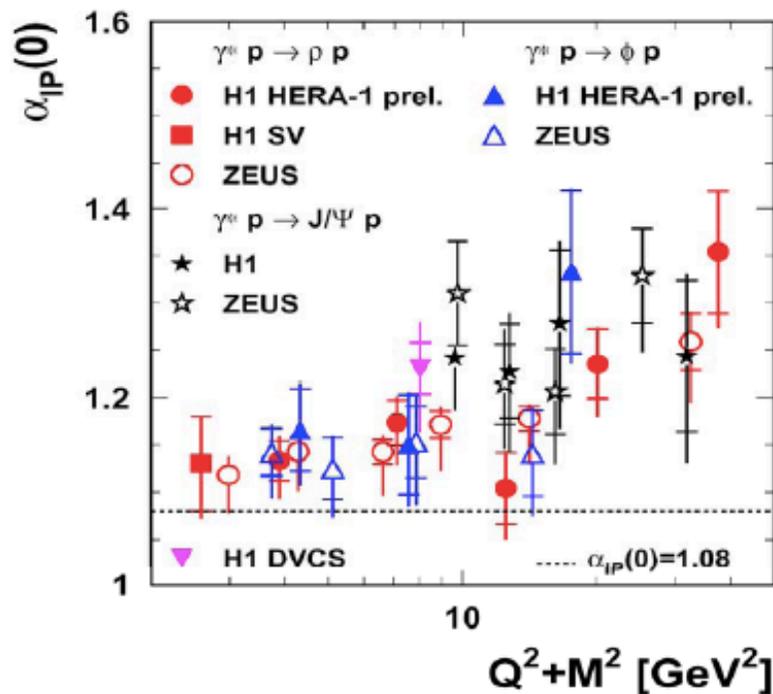
Wealth of data available ... interpretation in terms of 2 gluon exchange more problematic than  $J/\Psi$  due to  $\rho$  wavefunction

# VM Summary

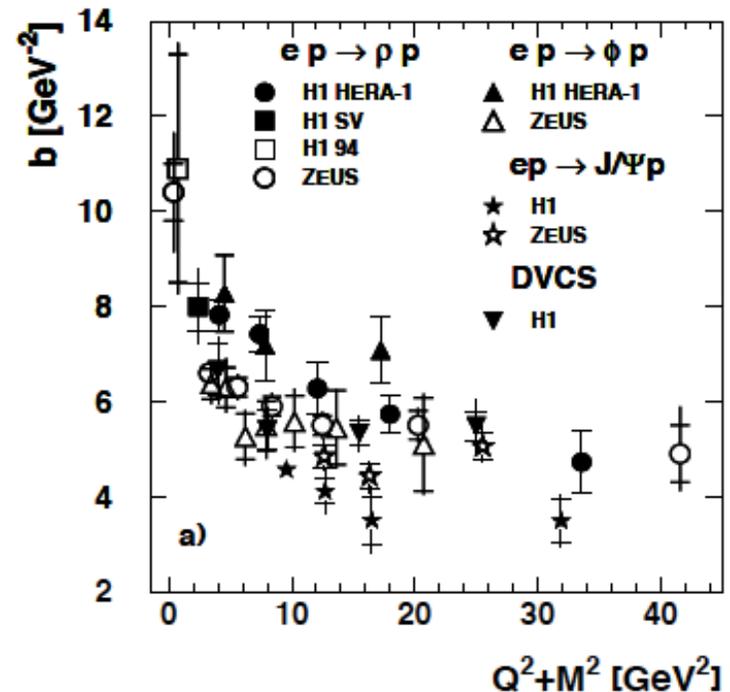
- Directly observing the transition From soft (hadronic) to hard (partonic) dynamics
- Approximate scaling in  $Q^2 + M_V^2$
- $\alpha'_{IP}$  shows no significant variation with any scale!?!?



hard energy dependences



hard  $t$  slopes

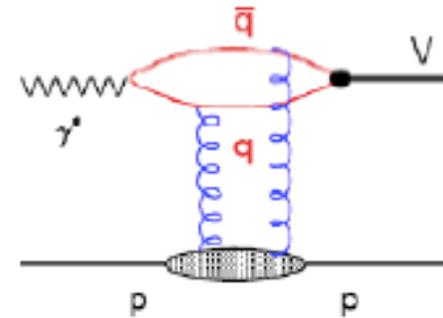


Inclusive  
Diffraction in  
Deep Inelastic  
Scattering

# Diffractive DIS

Vector meson production is a 'higher twist' ( $Q^2$  suppressed) process

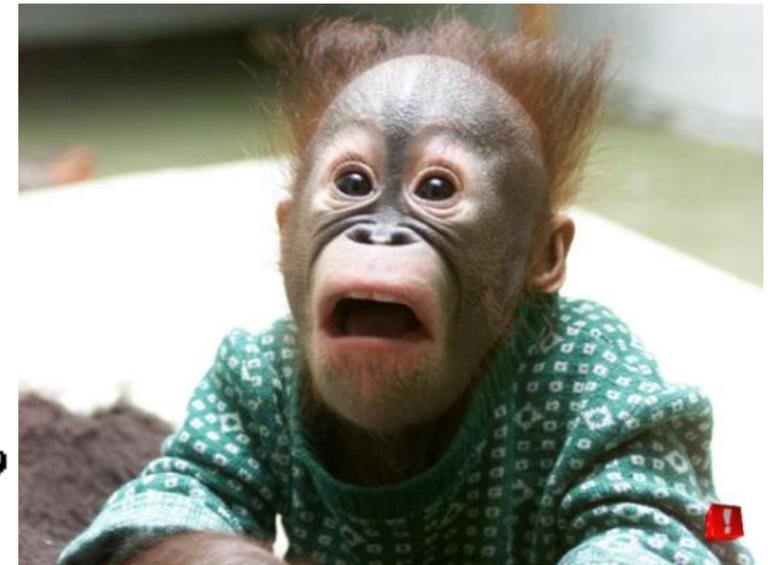
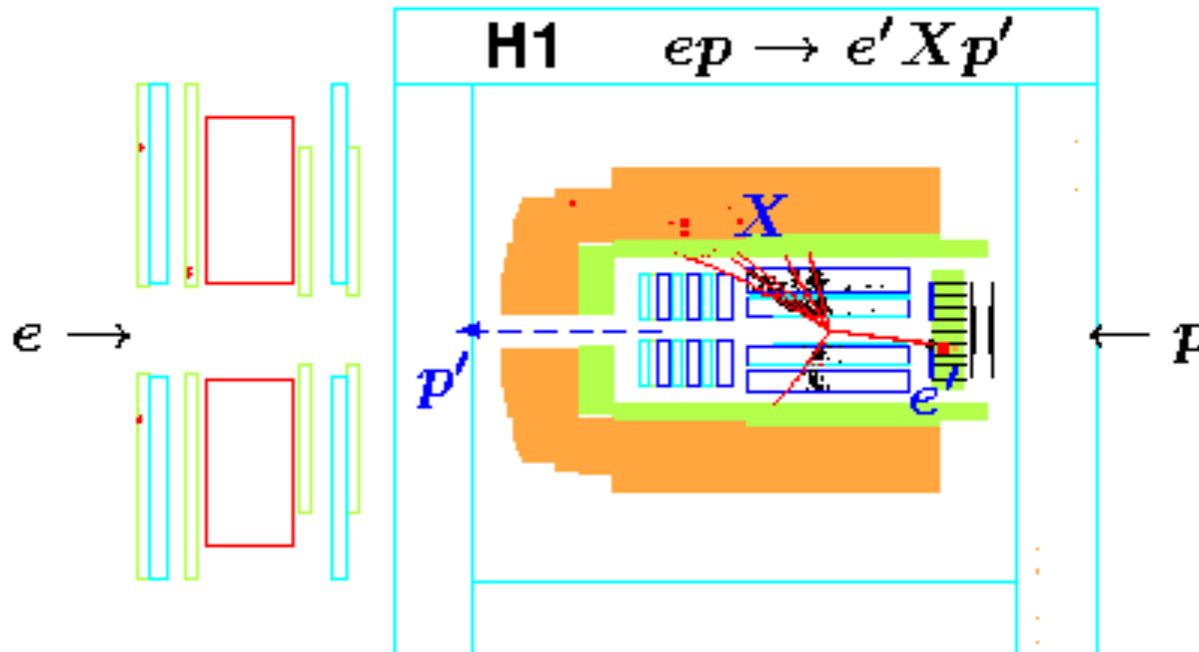
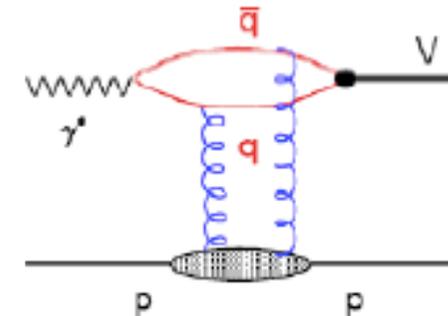
There are 'leading twist' diffractive processes with same  $Q^2$  dependence as the bulk DIS cross section ...



# Diffractive DIS

Vector meson production is a 'higher twist' ( $Q^2$  suppressed) process

There are 'leading twist' diffractive processes with same  $Q^2$  dependence as the bulk DIS cross section ...



~10% of DIS events have no forward energy flow

## Standard DIS variables ...

$x$  = momentum fraction  $q/p$

$Q^2 = |\gamma^* \text{ 4-momentum squared}|$

## Additional variables for diffraction ...

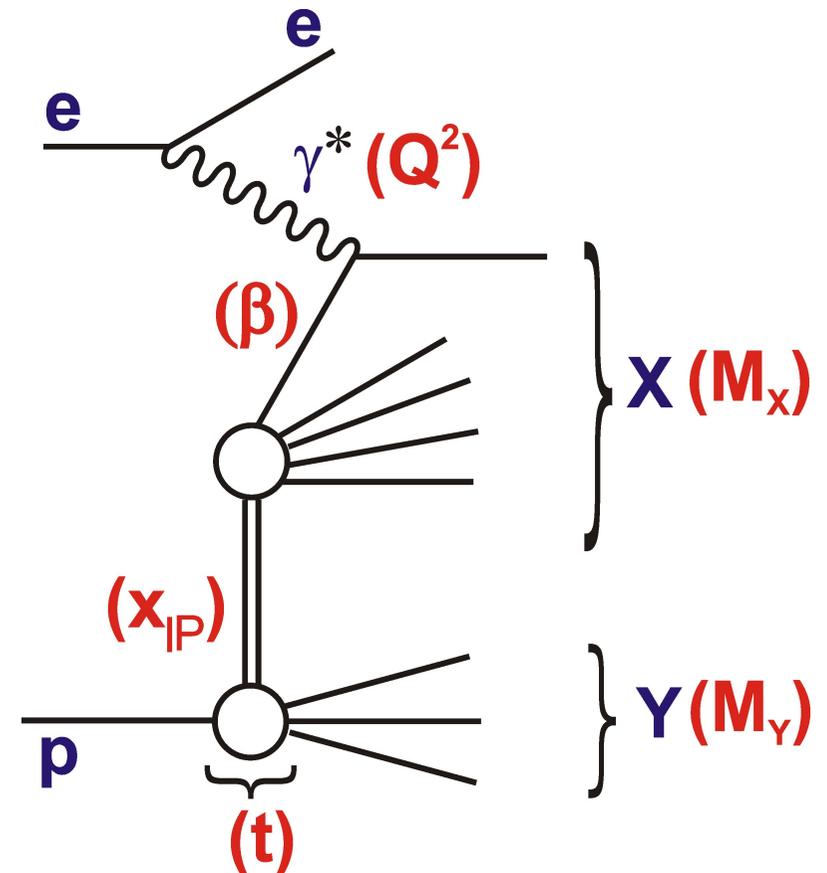
$t$  = squared 4-momentum  
transfer at proton vertex

$x_{IP}$  = fractional momentum  
loss of proton  
(momentum fraction  $IP/p$ )

$\beta = x / x_{IP}$   
(momentum fraction  $q / IP$ )

## Kinematics

Most generally  $ep \rightarrow eXY \dots$

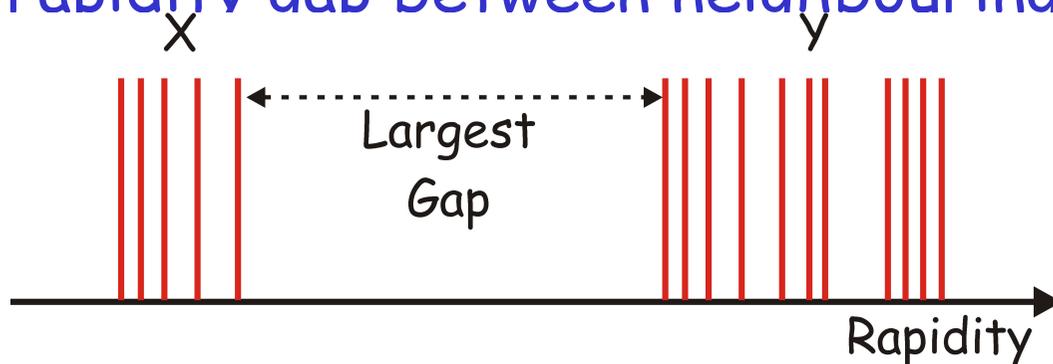


In most cases here,  $Y=p$ ,  
(small admixture of low  
mass excitations)

# Definition of Diffraction

Definitions in terms of hadron-level observables ...

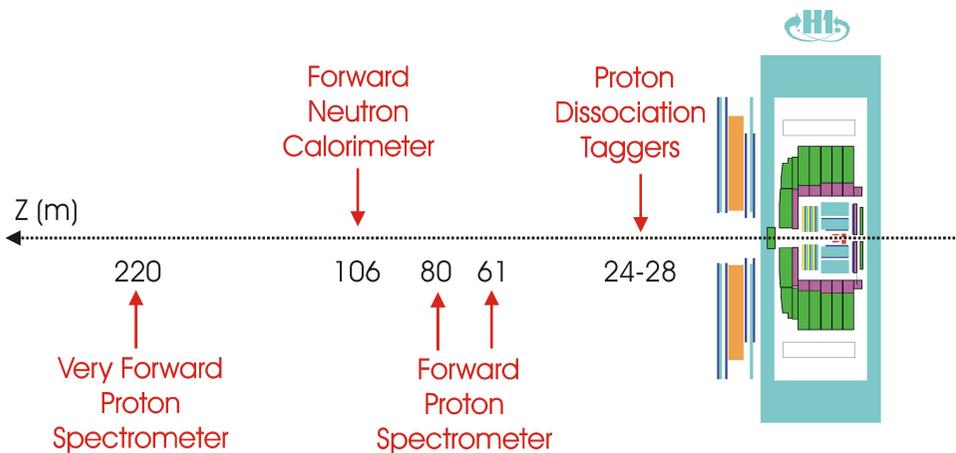
- For SD ( $\gamma p \rightarrow Xp$ ), can be done in terms of a leading proton
- More general definition to accommodate DD ( $\gamma p \rightarrow XY$ )
  - ...can be applied to any diff or non-diff final state ...
  - Order all final state particles in rapidity
  - Define two systems, X and Y, separated by the largest rapidity gap between neighbouring particles.



Many tests at HERA show leading proton & gap defs equivalent

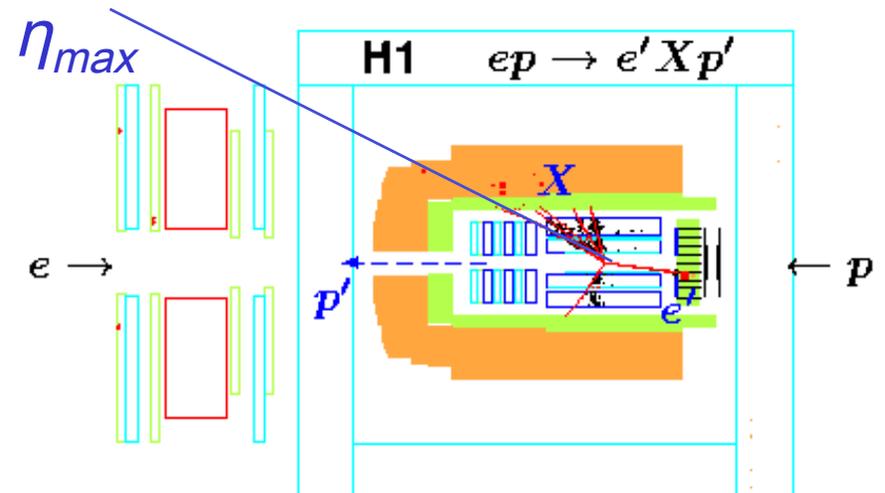
# Signatures and Selection Methods

## Scattered proton in Leading Proton Spectrometers (LPS)



Limited by statistics and p-tagging systematics

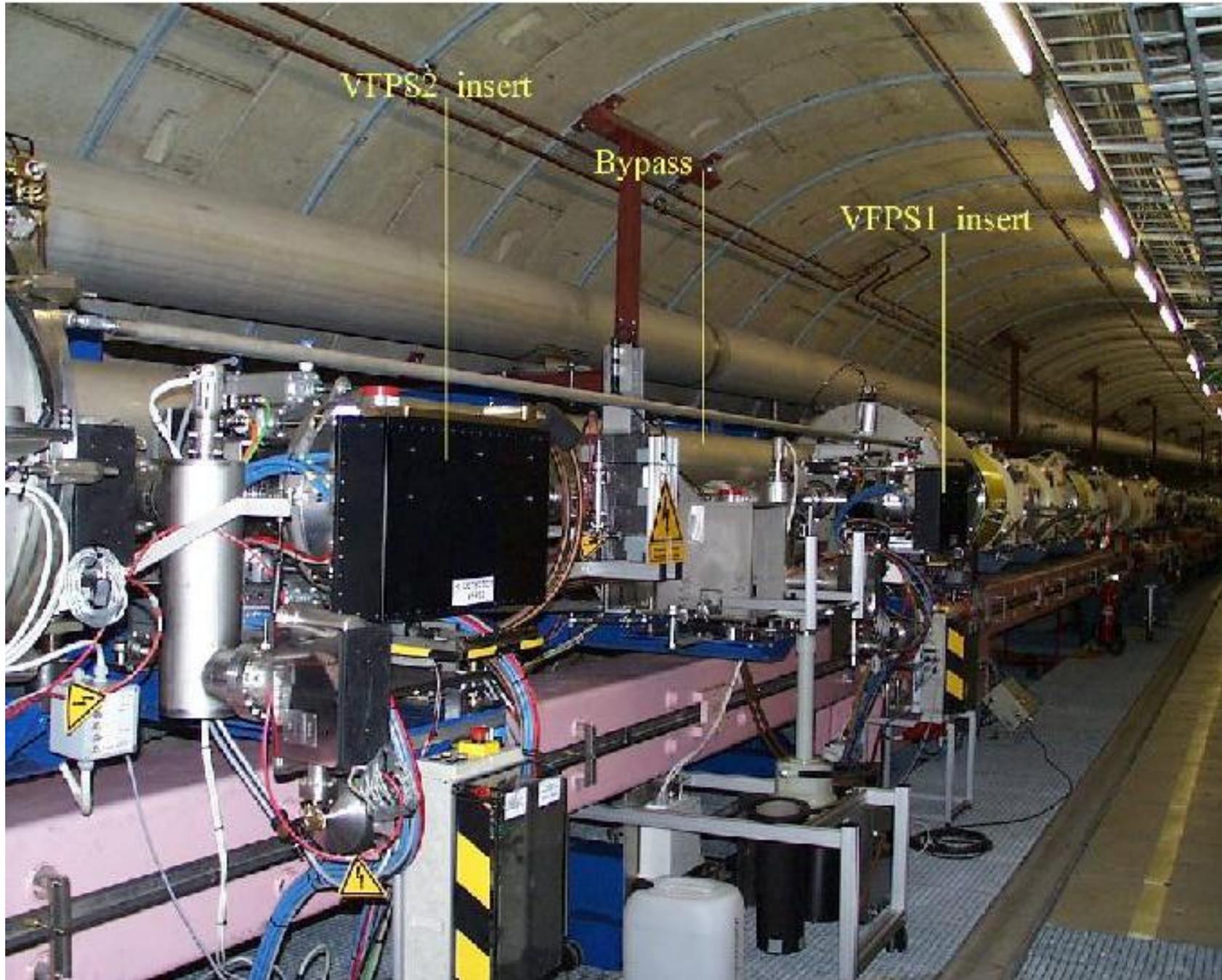
## 'Large Rapidity Gap' (LRG) adjacent to outgoing (untagged) proton



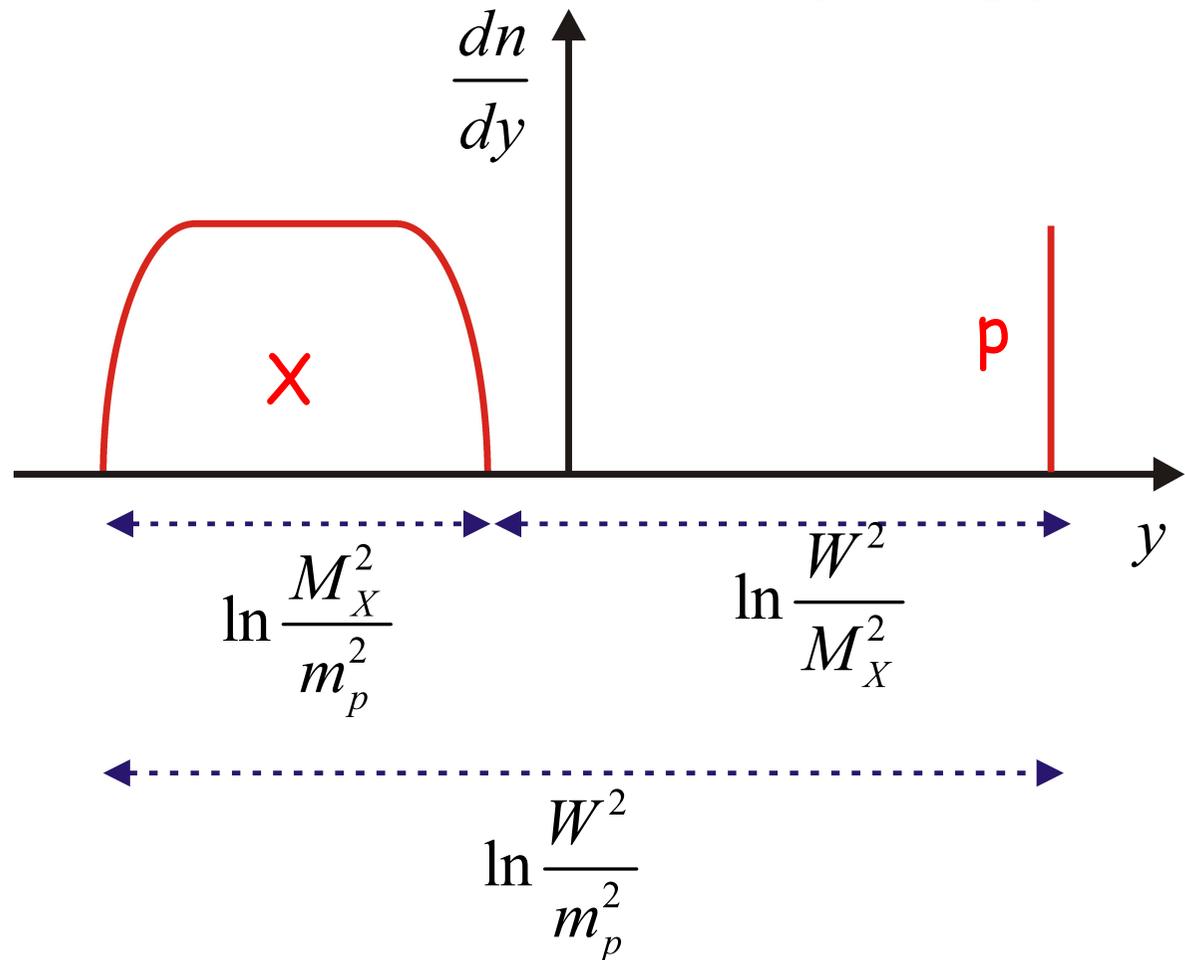
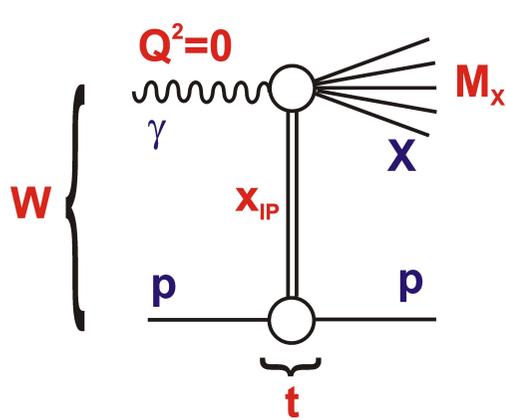
Limited by p-diss systematics

- The 2 methods have very different systematics
- Both experiments also have Zero Degree Calorimeters for forward neutron measurements

# Example Roman Pots (H1 VFPS)



# Basic Single Diffractive Event Topology

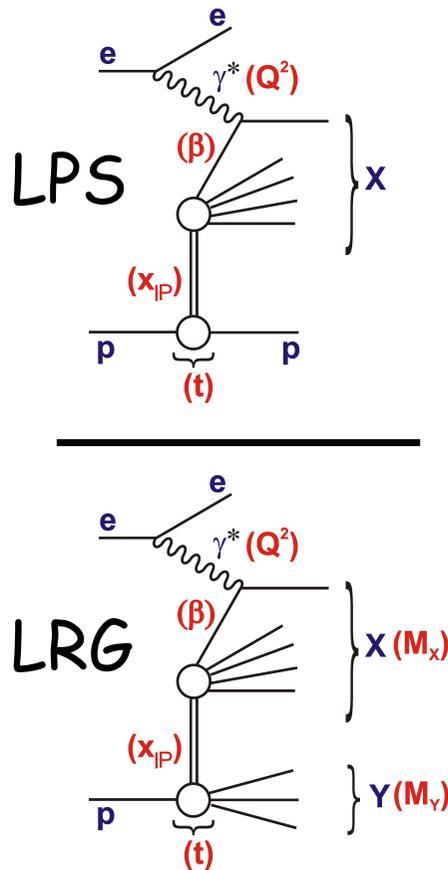


$\gamma p$  system of invariant mass  $W$  fragments to produce particles over rapidity range  $\sim \ln(W^2/m_p^2)$

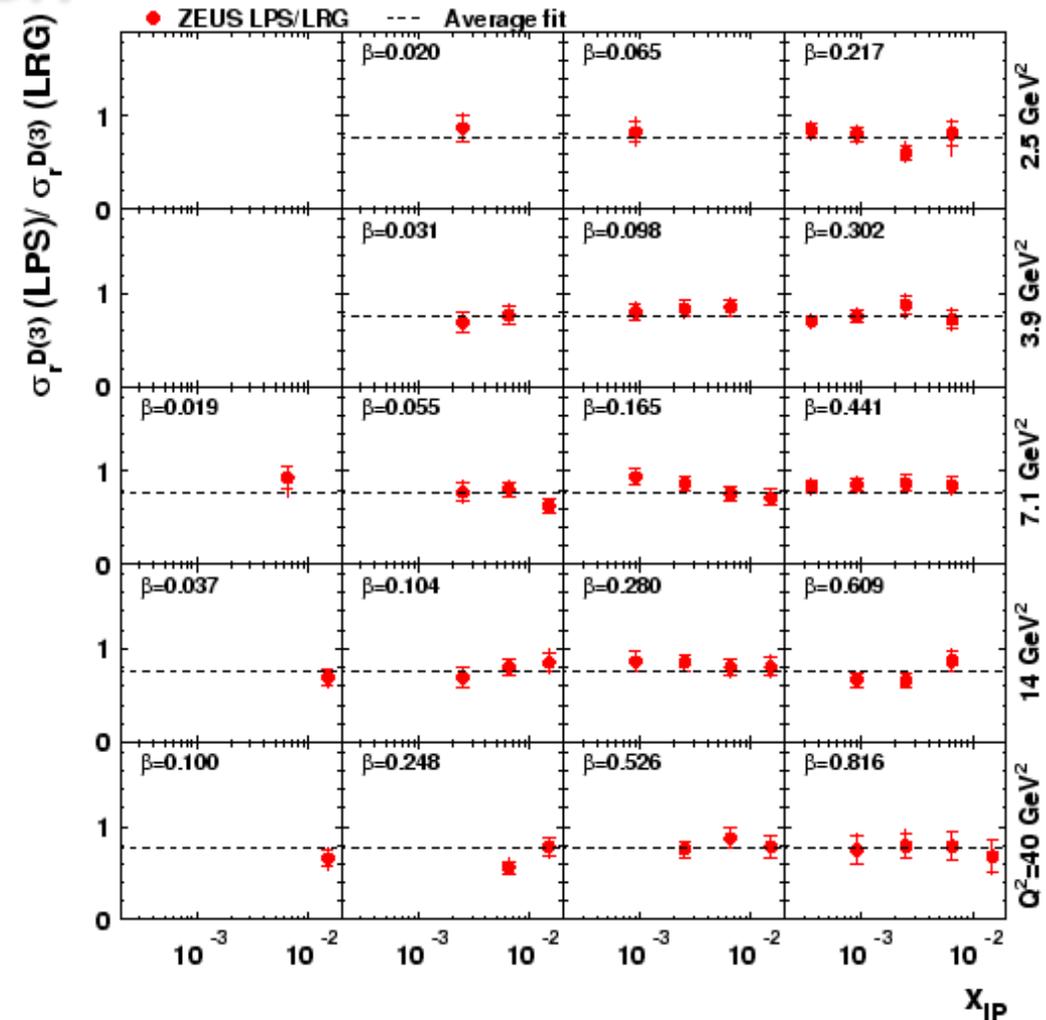
Similarly, diffractive system of mass  $M_X$  fragments over rapidity range  $\sim \ln(M_X^2/m_p^2)$  leaving rapidity gap of size  $\sim \ln(W^2/M_X^2) \sim -\ln x_{IP}$

Particle production within  $X$  shows similar patterns to ND

# Comparisons between Methods

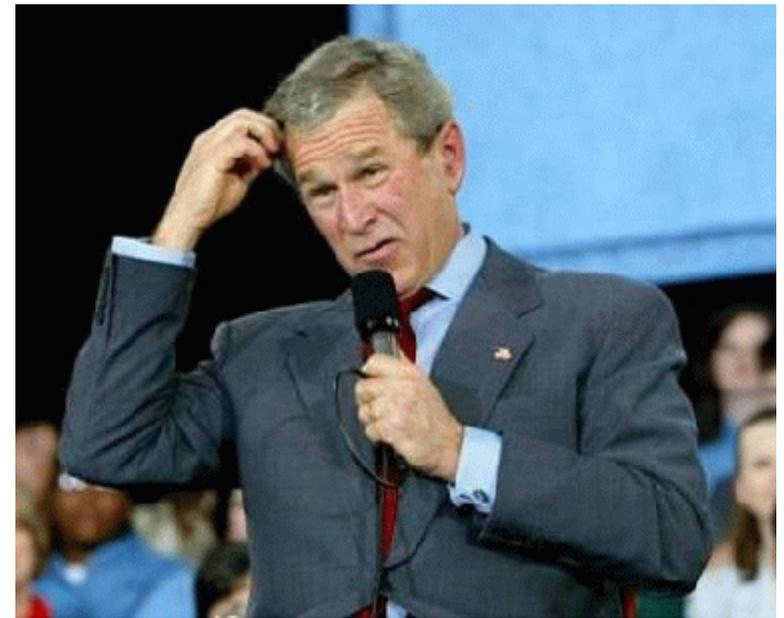
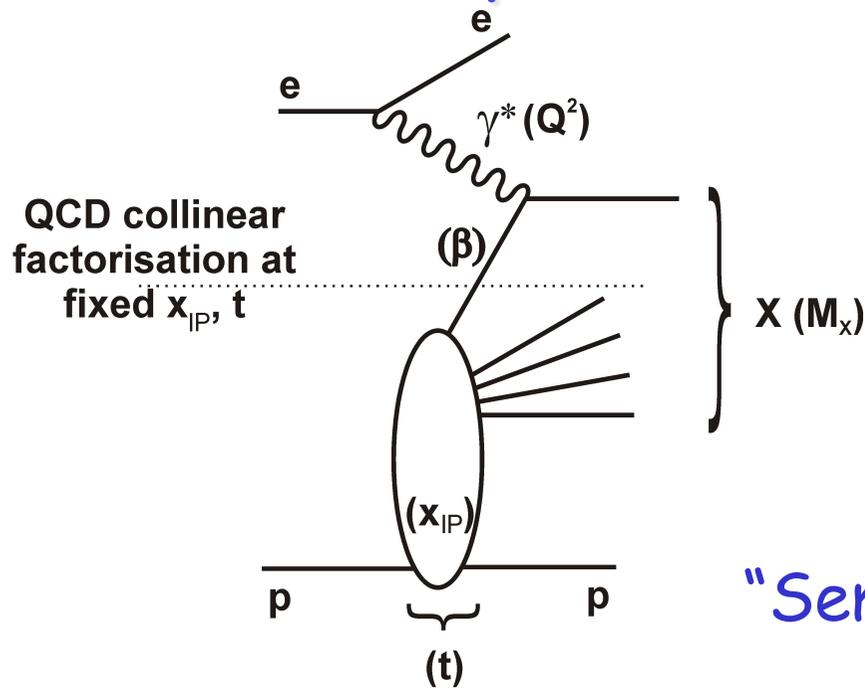


## ZEUS



- LRG selections contain typically 20% p diss
- No significant dependence on any variable
- ... well controlled, precise measurements

# The "Proper" Theory



"Semi-inclusive QCD Factorisation"

QCD hard scattering collinear factorisation proved for diffraction at fixed scattered proton 4-vector (Collins 1997)

$$d\sigma_{\text{parton } i}(ep \rightarrow eXY) = f_i^D(x, Q^2, x_{IP}, t) \otimes d\hat{\sigma}^{ei}(x, Q^2)$$

i.e. can define **diffractive PDFs (DPDFs),  $f_i^D$** ... 😊

At fixed  $(x_{IP}, t)$ , DPDF  $Q^2$  evolution is same as inclusive PDFs!

But we don't know how DPDFs change with  $(x_{IP}, t)$  😞

# A deeper factorisation?

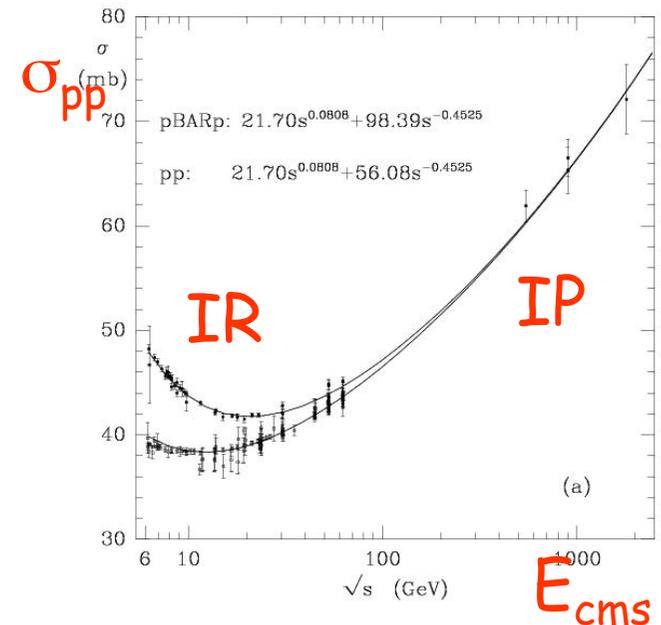
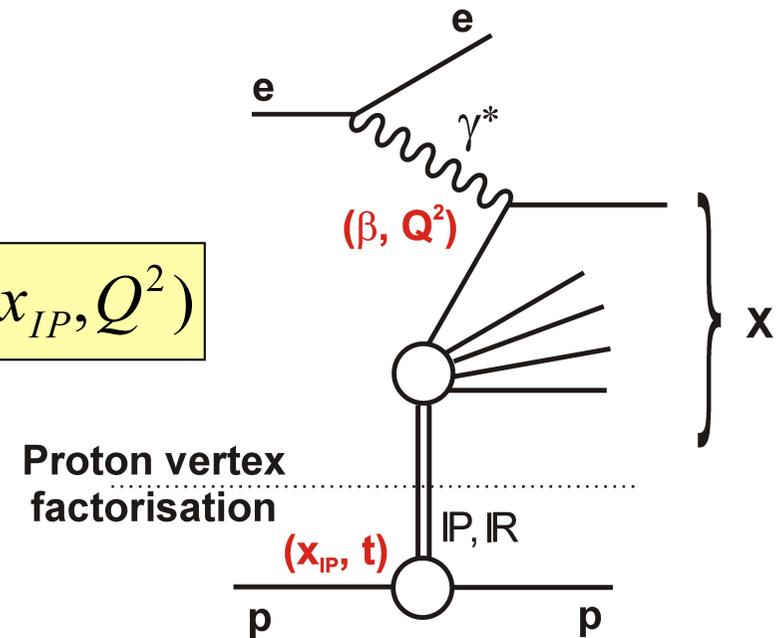
'Proton vertex' factorisation  
 ... completely separate  $(x_{IP}, t)$   
 from  $(\beta, Q^2)$  dependences.

$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

No firm QCD basis, but consistent  
 with all experimental data!

DPDFs at fixed  $x_{IP}$  and  $t$  then  
 measure partonic structure  
 of the exchanged system (IP)

... in fact there a 'sub-leading' (IR)  
 exchange is also present at high  $x_{IP}$   
 (as for total, elastic pp cross sections)

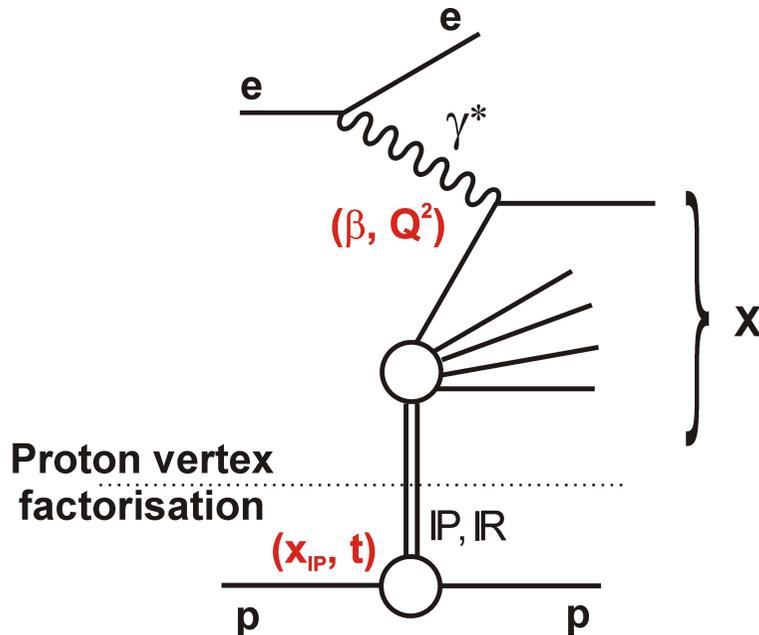


# Measurements and Observables

Main observable is the Diffractive 'reduced cross section' ...

$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = F_2^{D(3)} - \frac{y^2}{Y_+} F_L^{D(3)} \approx F_2^{D(3)}$$

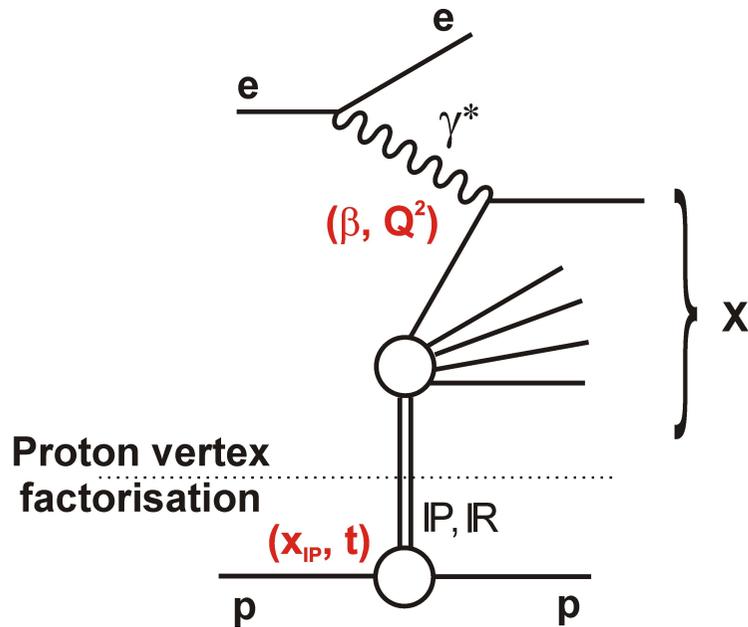
- ... cross section (or structure fn.) dependent on 3 variables
- ... 4 if you also include  $t \rightarrow \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t)$ !
- ... can only realistically study 1 (maybe 2) variables at a time!



- $(x_{IP}, t)$  dependences at fixed  $(\beta, Q^2)$  for soft (Pomeron) physics
- $(\beta, Q^2)$  dependences at fixed  $(x_{IP}, t)$  for hard (QCD) physics
- ... Diffractive quarks and gluons

# Factorisation Properties of Diffractive DIS

Proton vertex factoris<sup>n</sup> hypothesis survived many HERA tests

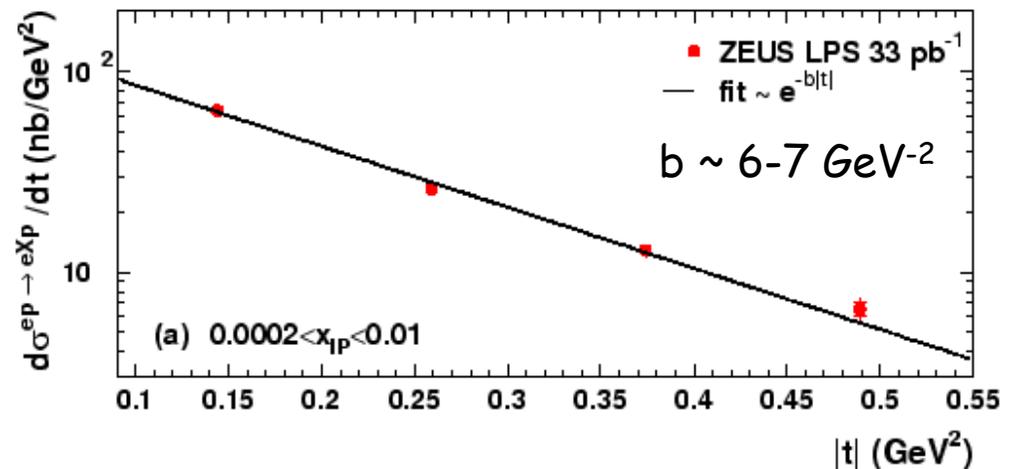


Total electron - pomeron DIS cross section  $\sigma(e IP \rightarrow eX)$  described in terms of Diffractive Parton Densities (DPDFs),  $f_i(\beta, Q^2)$

Pomeron flux  $f_{IP/p}$  exhibits exponential  $t$  dependence  
 $x_{IP}$  dependence well modelled by Regge phenomenology

$$f_{IP/p}(x_{IP}, t) = \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}$$

$$\alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP} t$$



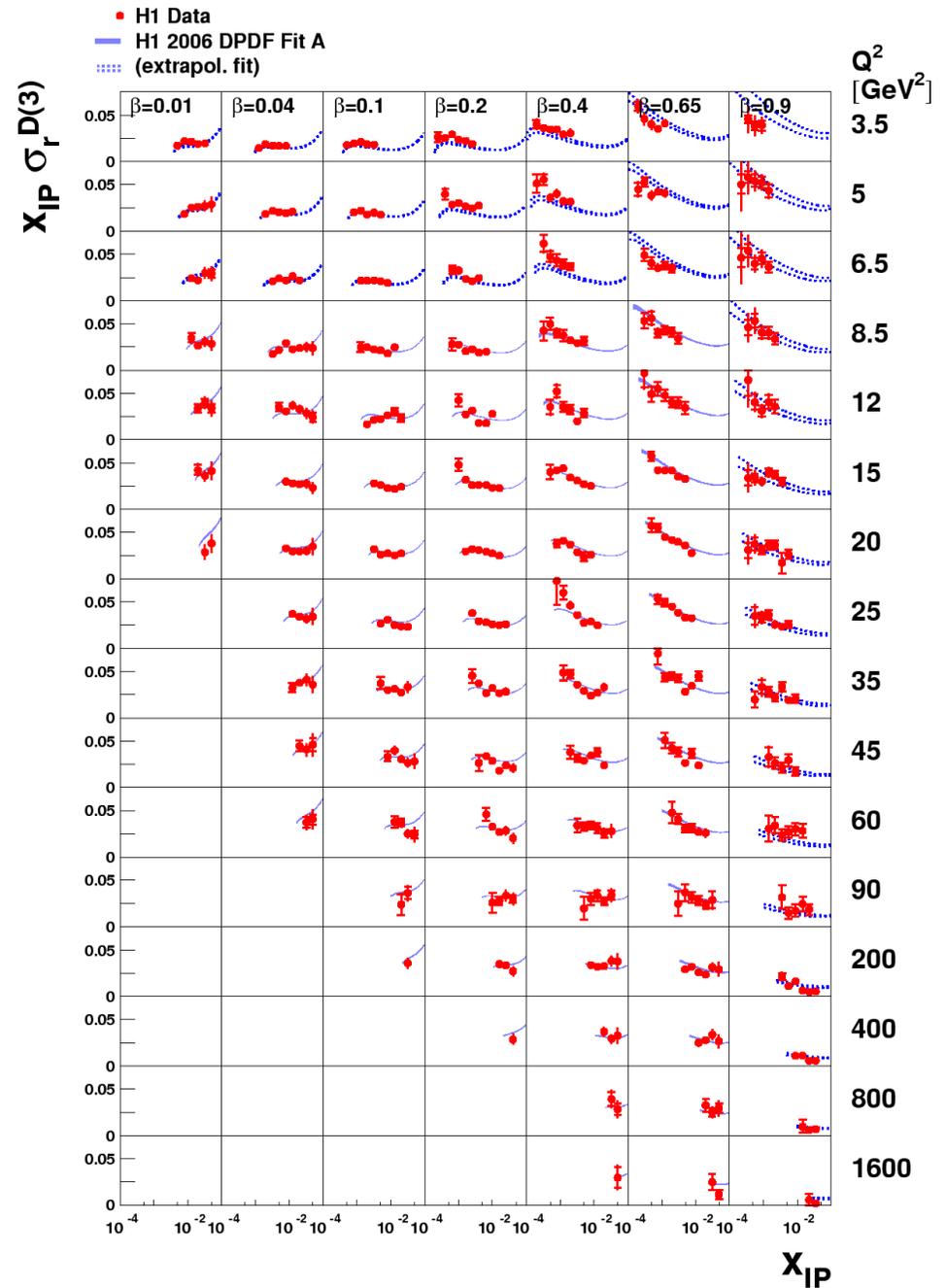
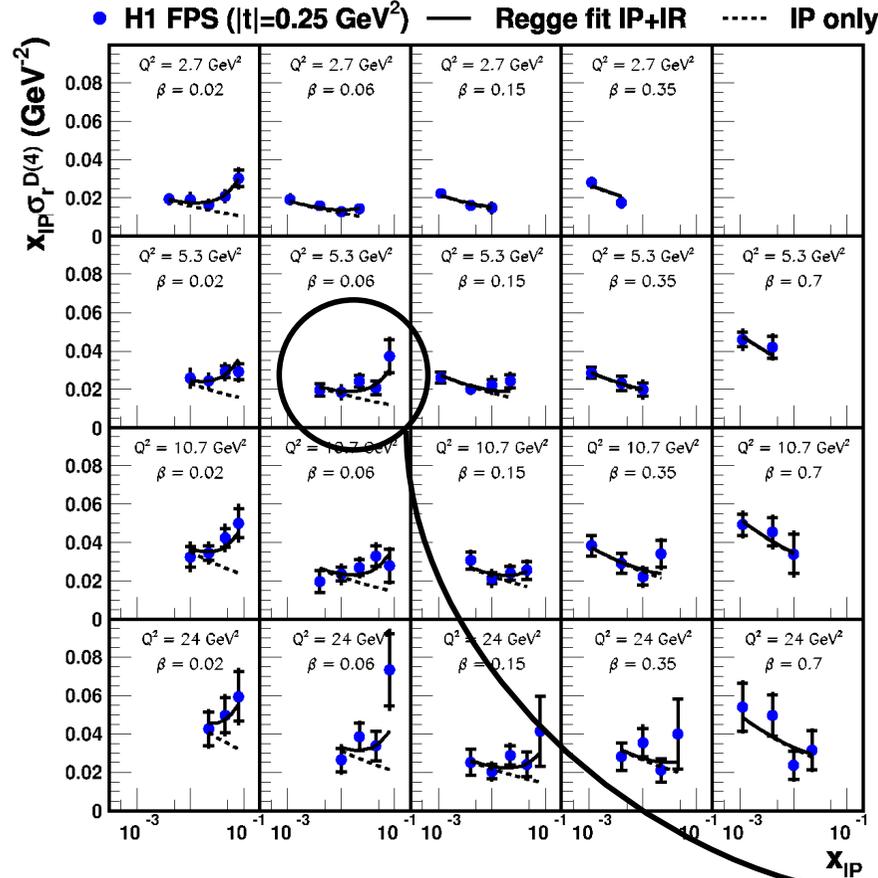
# ep $\rightarrow$ eXY Data

LRG:  $M_Y < 1.6 \text{ GeV}$   $\longrightarrow$

$$3.5 \leq Q^2 \leq 1600 \text{ GeV}^2$$

FPS:  $Y=p$

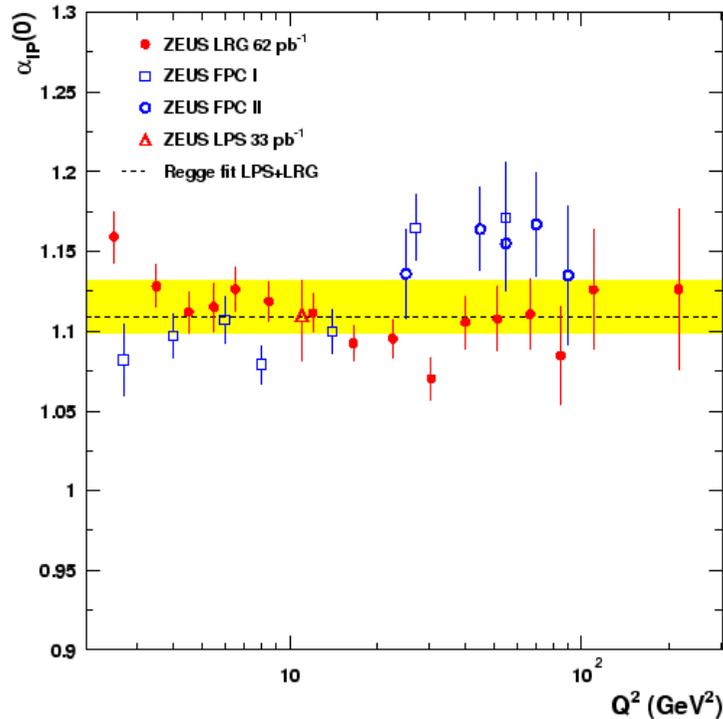
$$2.7 \leq Q^2 \leq 24 \text{ GeV}^2$$



$x_{IP}$  dependence shows clear IP+IR structure

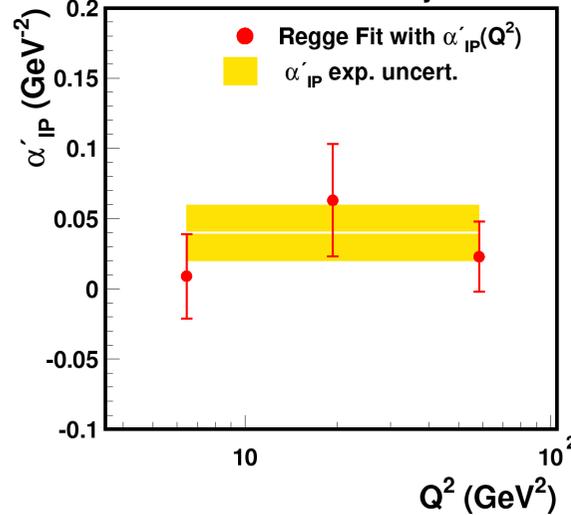
# Evidence for Proton Vertex Factorisation & the Pomeron Flux Factor

ZEUS

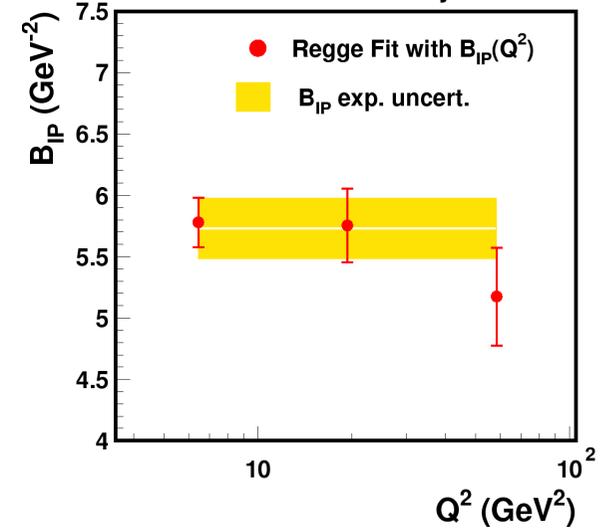


Excellent consistency between experiments and methods.

H1 Preliminary



H1 Preliminary



e.g. From H1 FPS data:

$$\alpha_{IP}(0) = 1.10 \pm 0.02 \text{ (exp.)} \pm 0.03 \text{ (model)}$$

$$\alpha'_{IP} = 0.04 \pm 0.02 \text{ (exp.)} \pm 0.03 \text{ (model) GeV}^{-2}$$

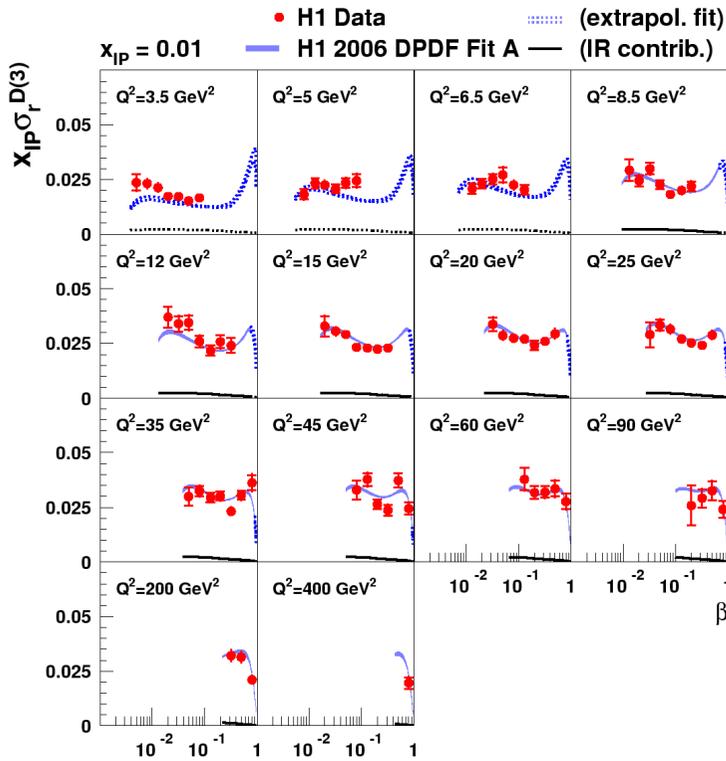
$$B_{IP} = 5.7 \pm 0.3 \text{ (exp.)} \pm 0.6 \text{ (model) GeV}^{-2}$$

$\alpha_{IP}(0)$  consistent with soft IP  $\rightarrow$  Dominantly soft exchange  
 $\alpha'_{IP}$  smaller than soft IP  $\rightarrow$  Absorptive effects?...

Diffractive  
Parton  
Densities

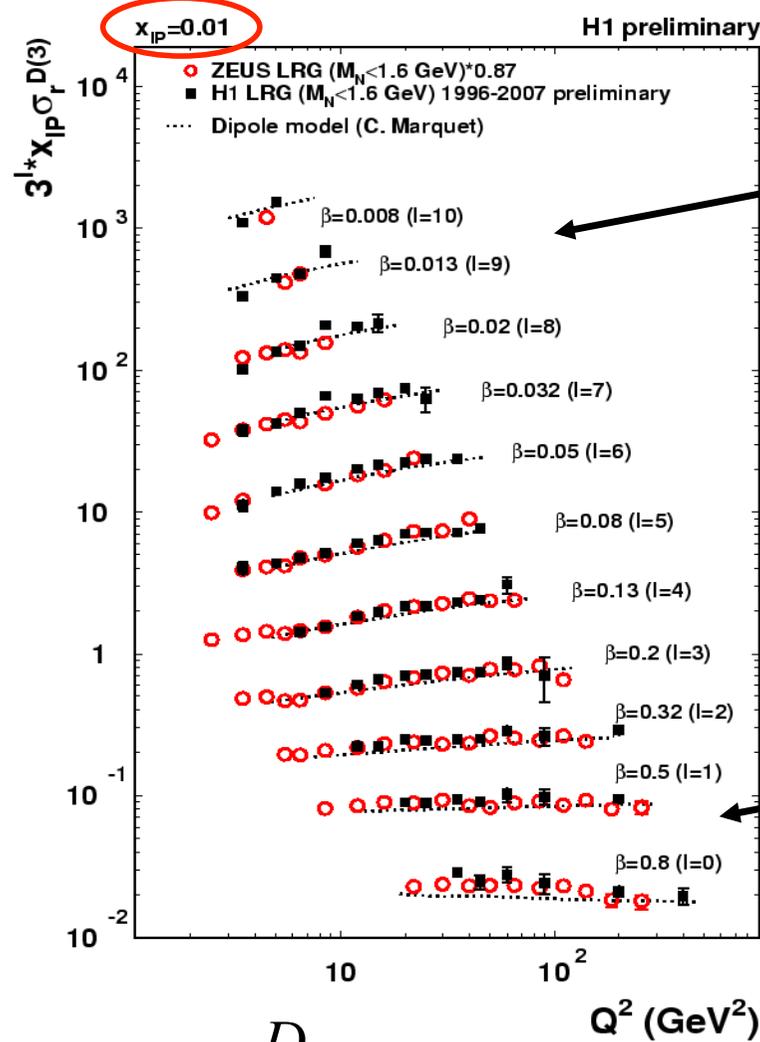
# Sensitivity to Diffractive Quarks & Gluons

Similarly to Inclusive DIS ...



Diffractive cross section measures quark density

$$F_2^D = \sum_q e_q^2 \beta (q + \bar{q})$$

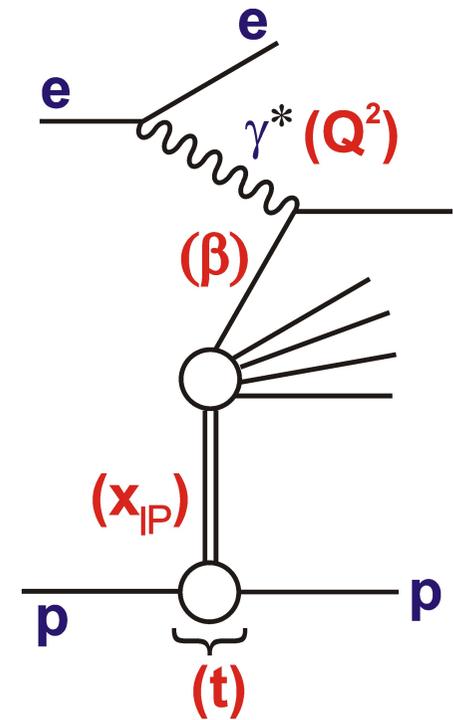


$Q^2$  dependence tells us gluon density via DGLAP eqns

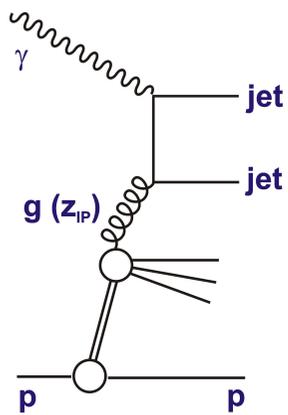
$$\frac{d\sigma_r^D}{d \ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[ P_{qg} \otimes g + P_{qq} \otimes q \right]$$

# Extracting the Quarks and Gluons

- Fit  $\beta$  and  $Q^2$  dependence of LRG data from fixed  $x_{IP}$  binning scheme ( $\chi^2$  minimisation)
- Parameterise DPDFs at starting scale  $Q_0^2$  for QCD evolution ...  
... evolve to higher  $Q^2$  using NLO DGLAP equations (massive charm) and fit  $\beta$  and  $Q^2$  dependence for DPDFs
- Use proton vertex factorisation with  $\alpha_{IP}(t)$  from FPS and LRG data to relate data from different  $x_{IP}$  values with complementary  $\beta$ ,  $Q^2$  coverage.
- Exclude data with low  $M_x$  or high  $\beta$  (higher twist region) and with low  $Q^2$  (NLO insufficient?)

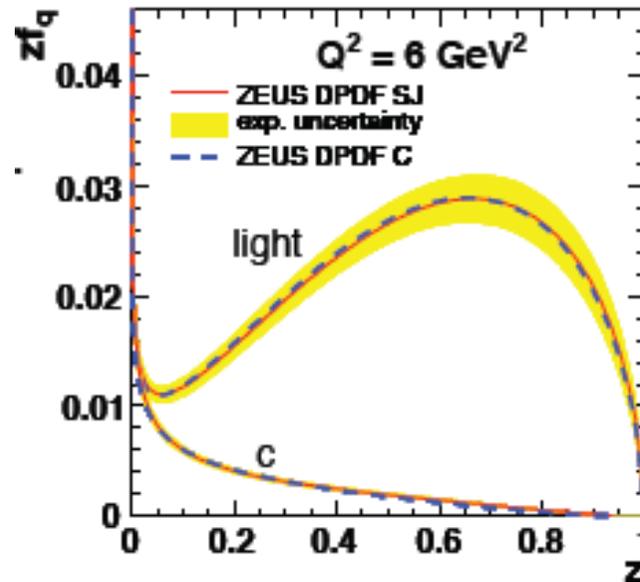
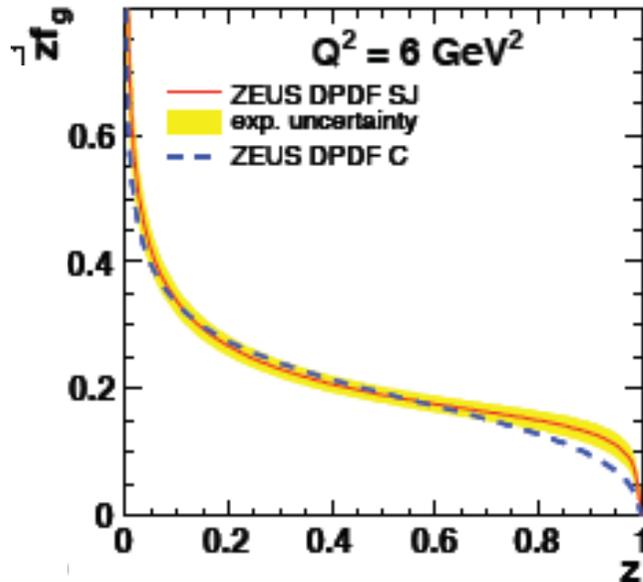
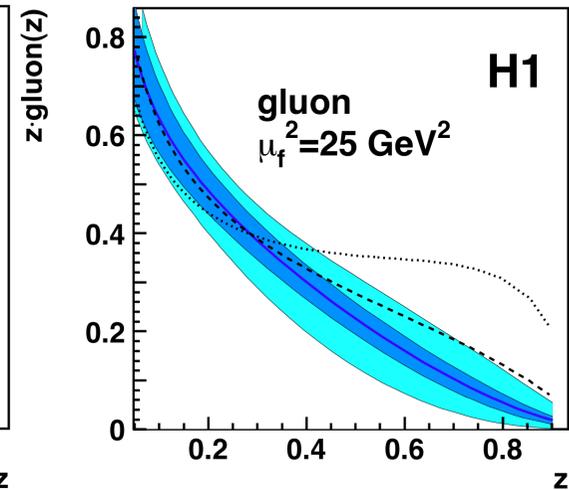
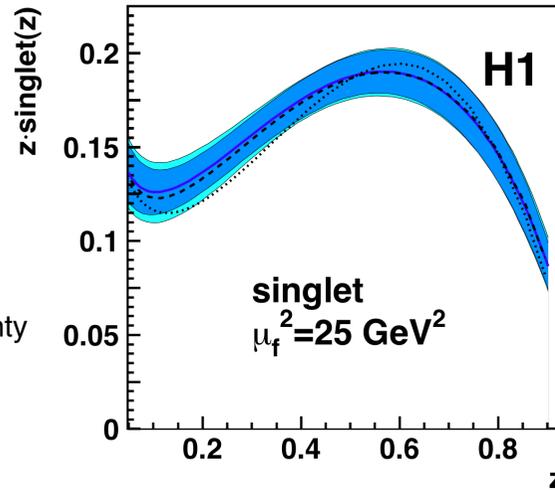


# Some Recent DPDF sets



- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- H1 2006 DPDF fit A
- H1 2006 DPDF fit B

3 early  
H1 fits

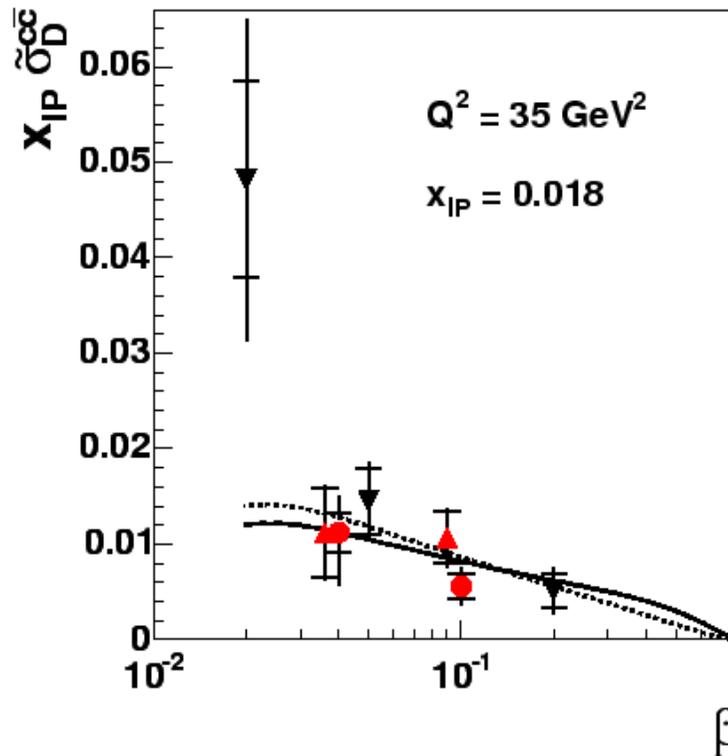
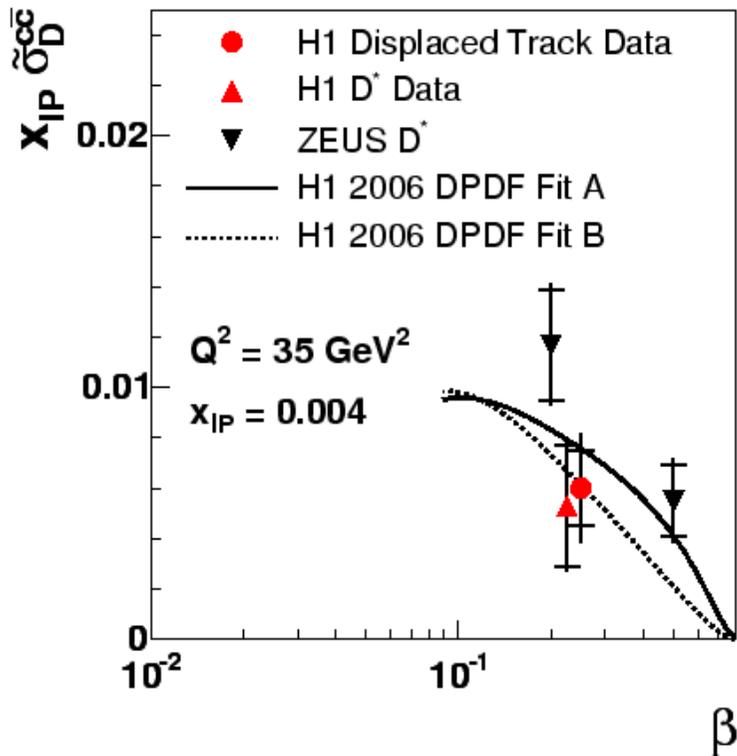
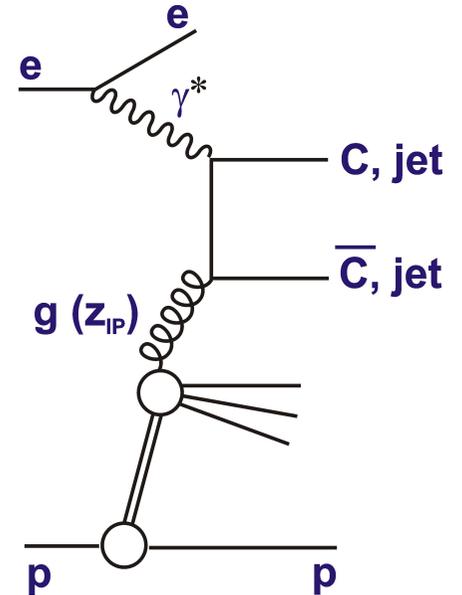


• Recent ZEUS fits to higher stats LRG & LPS data. With improved heavy flavour treatment

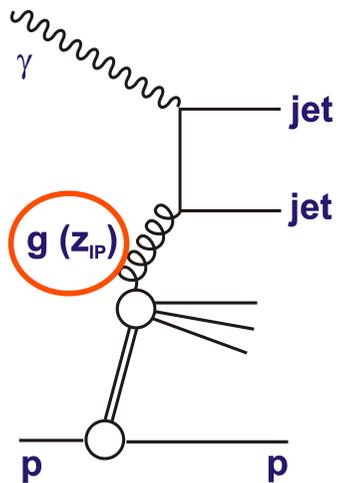
DPDFs dominated by a gluon density which extends to large  $z$

# Testing Factoris<sup>n</sup> and the Gluon with Charm

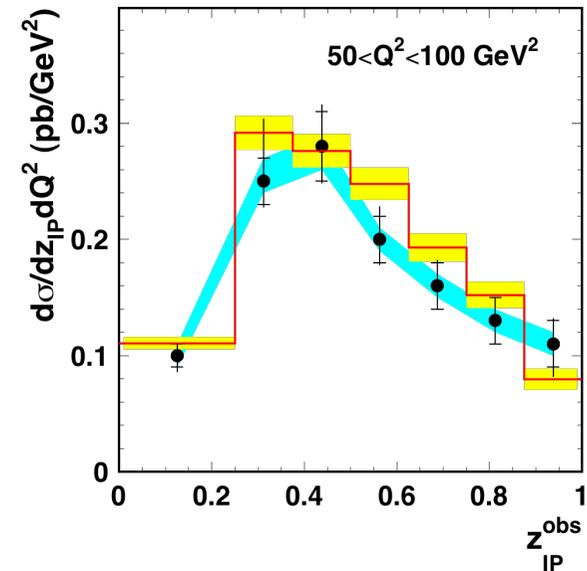
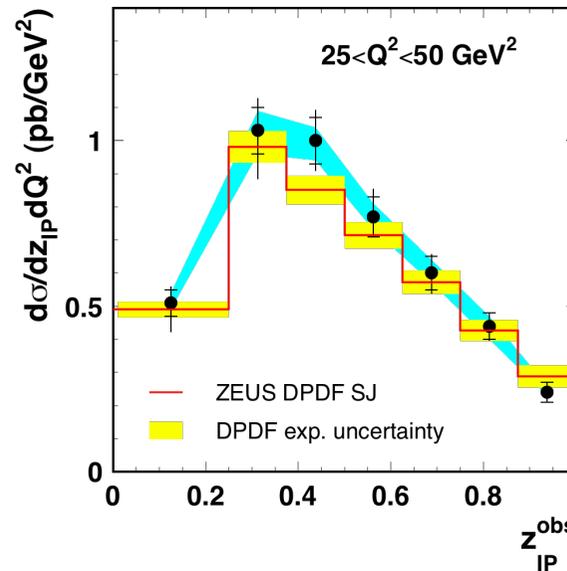
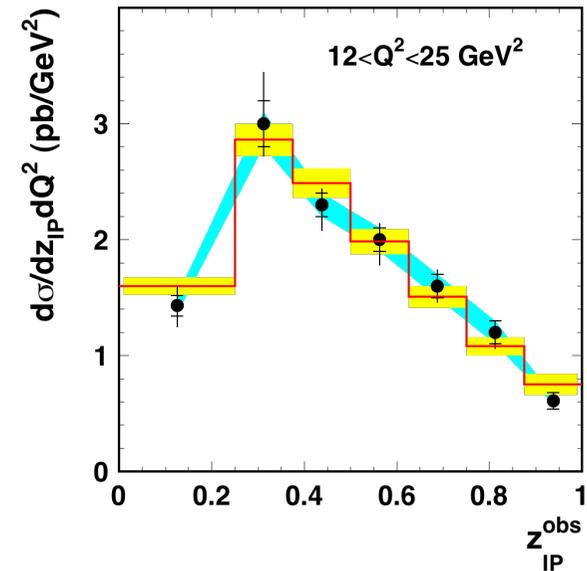
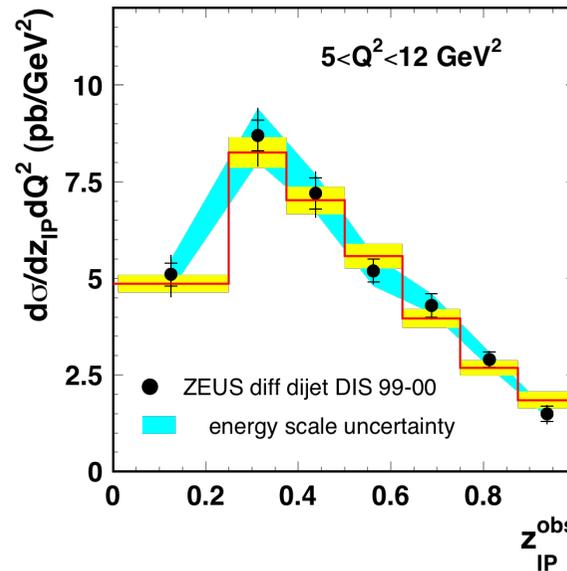
- Measure diffractive charm cross section by two different methods
- Charm production up to 30% of total diffractive cross section!
- Well described by prediction from DPDFs



# Testing Factorisation / Gluon with Jet Data



## ZEUS



- Successful descriptions of diffractive final state data in DIS ... Jets, Charm ...

- Factorisation works in diffractive DIS as expected

Factorisation

Breaking and

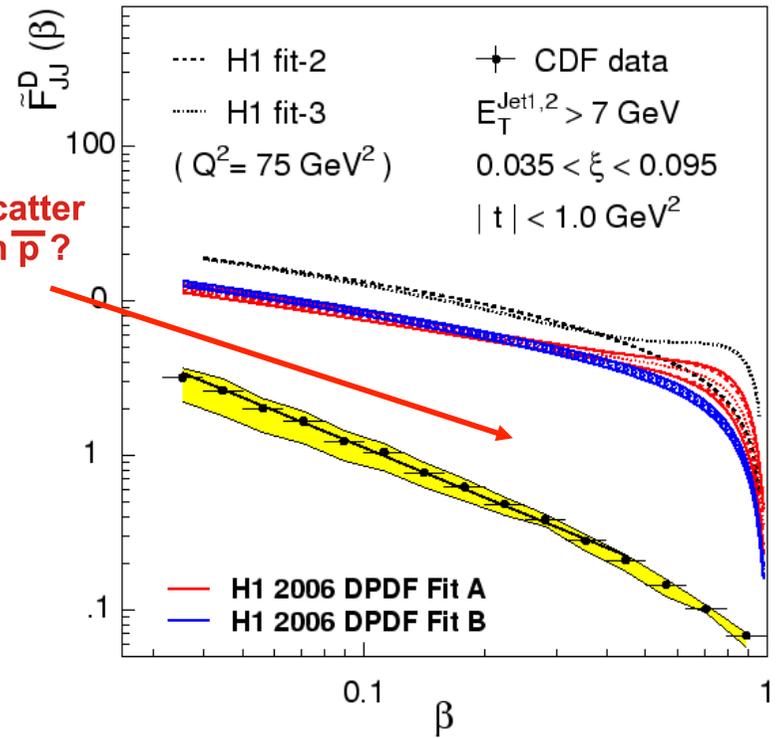
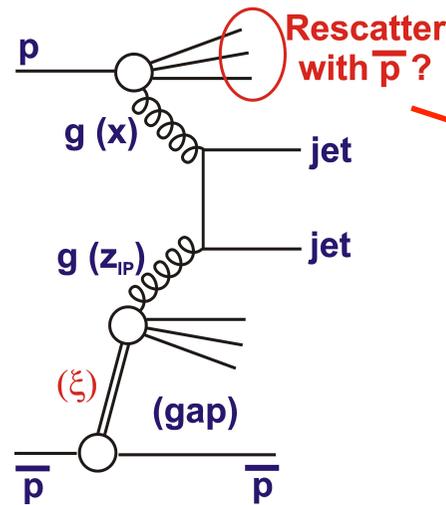
Rapidity Gap

Survival

Probabilities

# .. meanwhile in pp(bar) ...

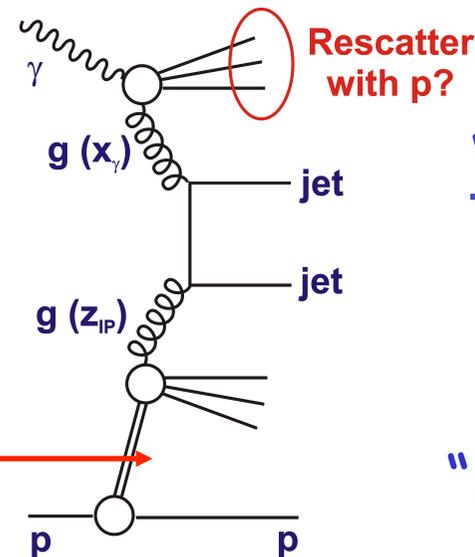
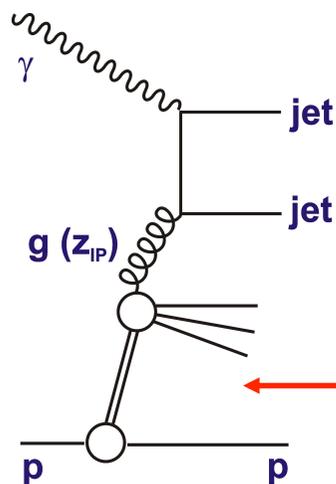
Strong evidence for absorptive effects in comparing Tevatron diffractive dijets with HERA DPDFs ...  
 `rapidity gap survival probability'  $S^2 \sim 0.1$



... photoproduction jets as the perfect control experiment?...

"Direct"  
photon  
 $(x_\gamma \rightarrow 1)$

" $S^2 = 1$ "



"Resolved"  
photon  
 $(x_\gamma < 1)$

" $S^2 \sim 0.34?$ "

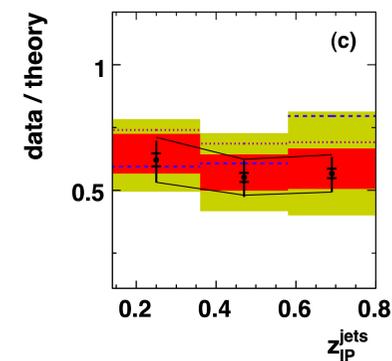
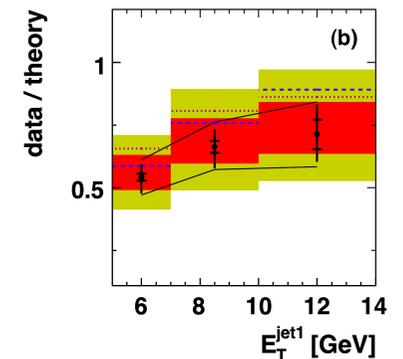
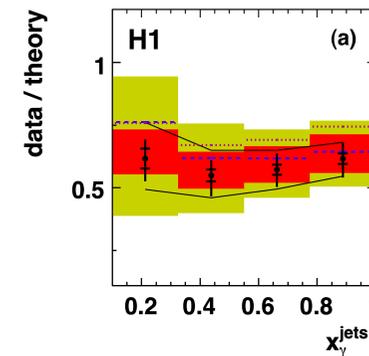
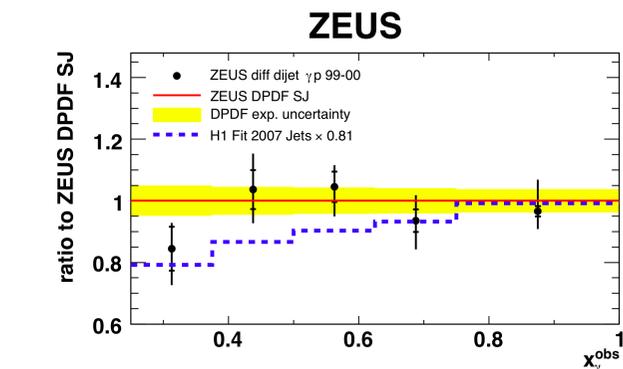
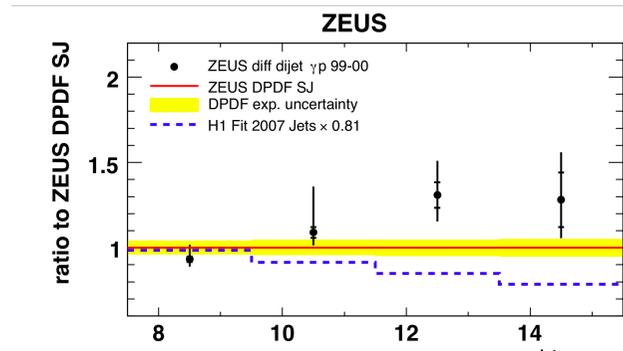
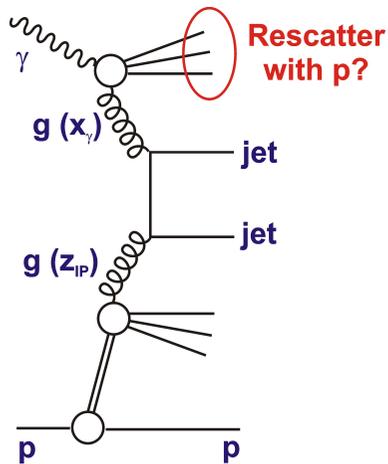
**GAP**



# Rapidity Gap Survival Probability in Diffractive Dijet Photoproduction

ZEUS [ $E_T^1 > 7.5 \text{ GeV}$ ]... No evidence for any gap destruction  
 H1 [ $E_T^1 > 5 \text{ GeV}$ ]... Survival probability  $< 1$  at  $2\sigma$  significance

$$\sigma(\text{H1 data}) / \sigma(\text{NLO}) = 0.58 \pm 0.12 (\text{exp.}) \pm 0.14 (\text{scale}) \pm 0.09 (\text{DPDF})$$



H1 data / theory

- NLO H1 2006 Fit B  $\times (1+\delta_{\text{hadr}})$
- data correlated uncertainty
- - - NLO H1 2007 Fit Jets  $\times (1+\delta_{\text{hadr}})$
- ⋯ NLO ZEUS SJ  $\times 1.23 \times (1+\delta_{\text{hadr}})$

- Gap survival unexpectedly has little dependence on  $x_\gamma$
- Hint of a dependence on jet  $E_T$

# Refined gap Survival Model (KKMR)

[hep-ph/0911.3716]

Direct contribution remains unsuppressed

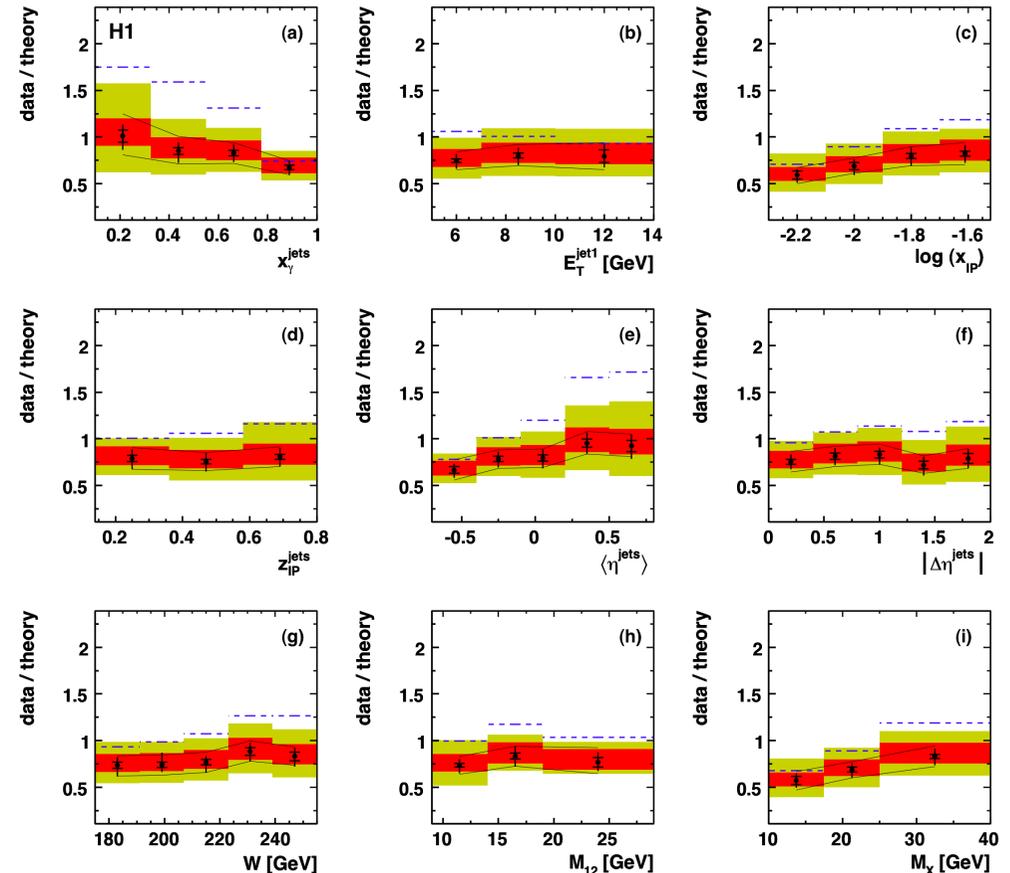
Suppression factor 0.34 applies to Hadron-like (VMD) part of photon structure only (low  $x_\gamma < 0.1$ )

Point-like (anomalous) part of photon structure has less suppression ( $\sim 0.7-0.8$ )

Smaller gap destruction effects with some  $E_T$  dependence

H1 data / theory

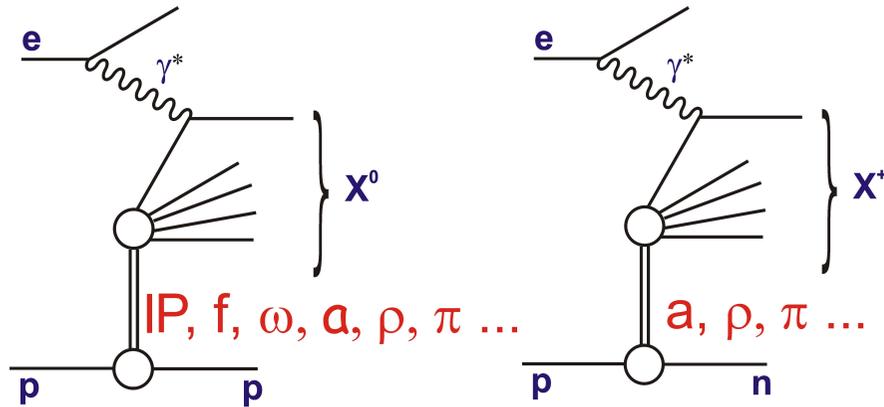
- NLO H1 2006 Fit B, KKMR suppressed  $\times (1 + \delta_{\text{hadr}})$
- data correlated uncertainty
- - - NLO H1 2006 Fit B, resolved  $\times 0.34 \times (1 + \delta_{\text{hadr}})$



Fair agreement with both H1 and ZEUS data ...

Sub-Leading  
(non-pomeron)  
Exchanges

# Going beyond the diffractive forward peak

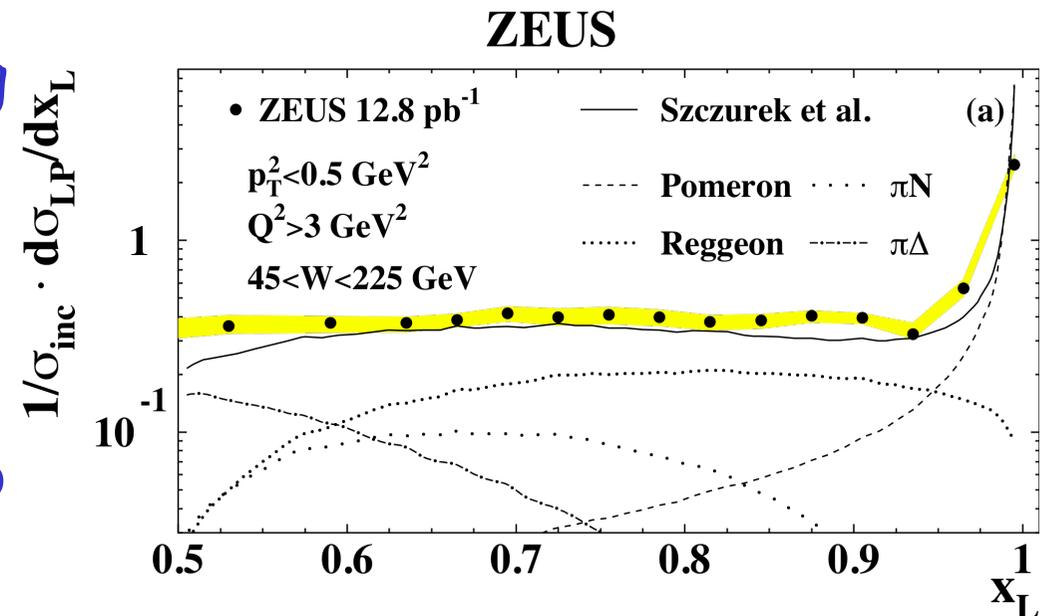


As  $x_L (= 1 - x_{IP})$  decreases ...

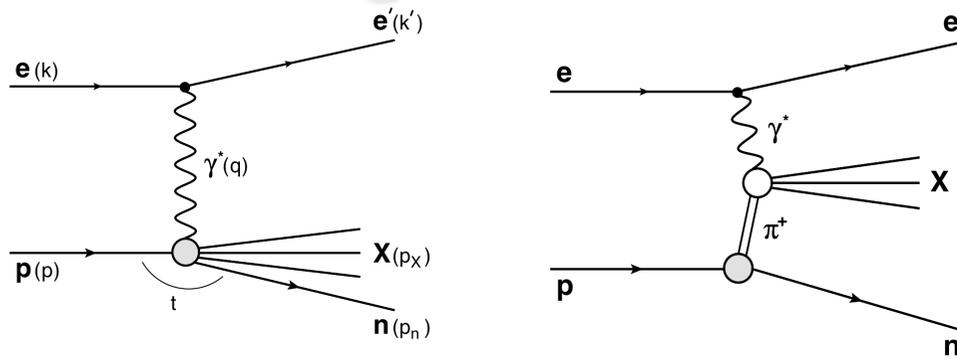
- Sub-leading exchanges important for leading protons
- Leading neutrons produced via charge exchange reactions

Regge analysis suggest leading proton production beyond diffractive peak dominated by isoscalar meson exchanges with  $\alpha_{IP}(0) \sim 0.5 \rightarrow \omega, f$  rather than isovector  $a, \rho$

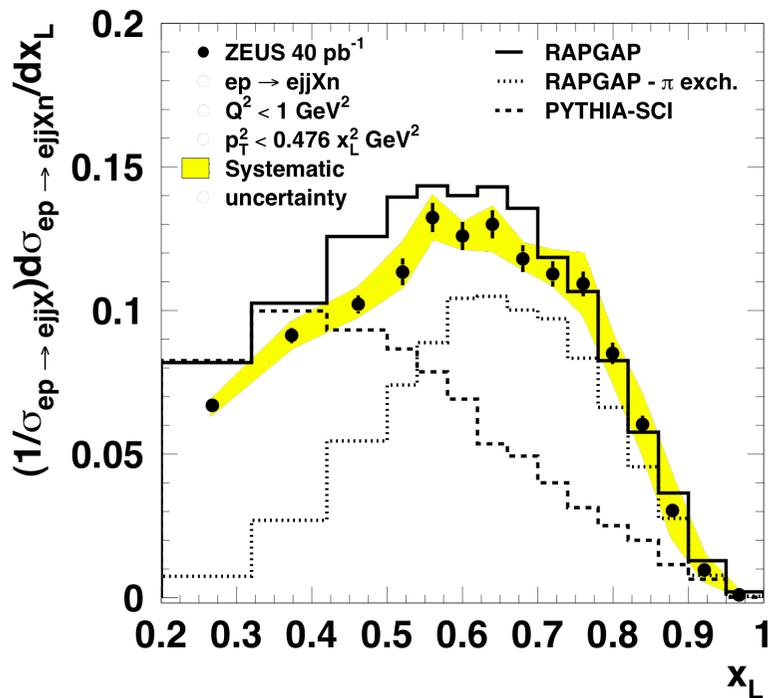
"Large"  $x_L$  leading neutron contributions expected to be due to  $\pi$  exchange [ $\alpha_\pi(0) \sim 0$ ] competing with standard baryon fragmentation at lower  $x_L$



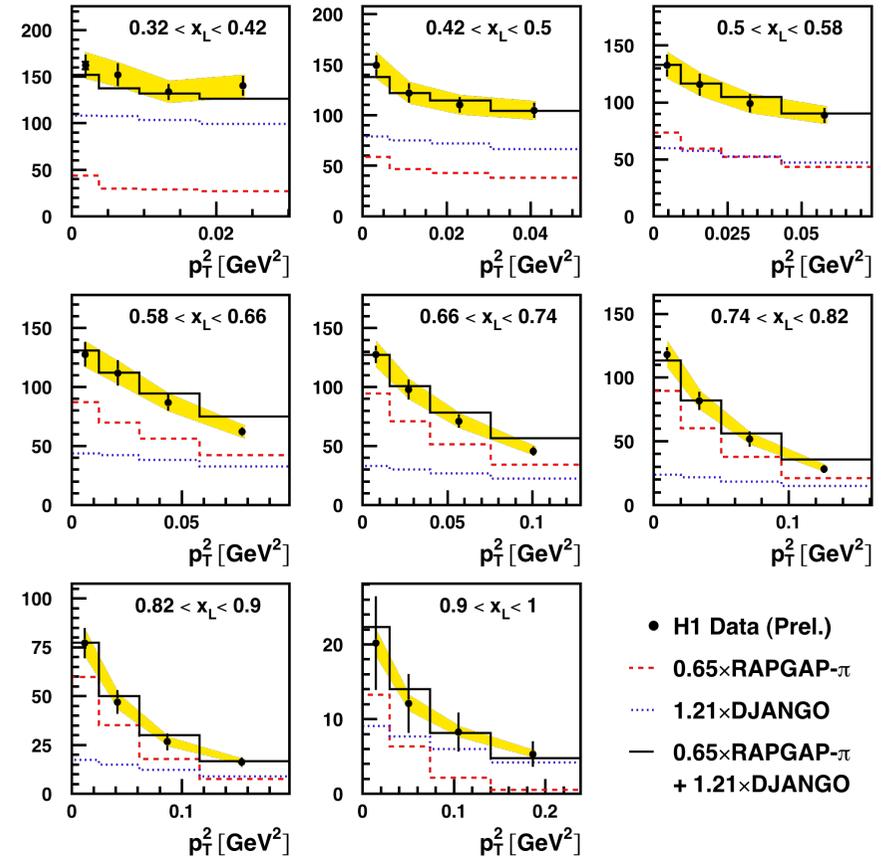
# Leading Neutrons at Low $x_L$ : $\pi$ -exchange & fragmentation



**ZEUS**



[For  $\pi$ -exchange,  $|t-t_{\min}| = p_T^2$   
 $d^2\sigma/(dx_L dp_T^2)$  [nb/GeV<sup>2</sup>] **H1 Preliminary**



... mixing MCs describing  $\pi$ -exchange and standard fragmentation gives good description of  $x_L$  and  $p_T$  dependences for inclusive neutrons and sample accompanied by jets

# Leading Neutrons and $F_2^\pi$

... sensitivity at large  $x_L$   
to pion structure function  
 $F_2^\pi$  after taking out a  
pion flux factor ...

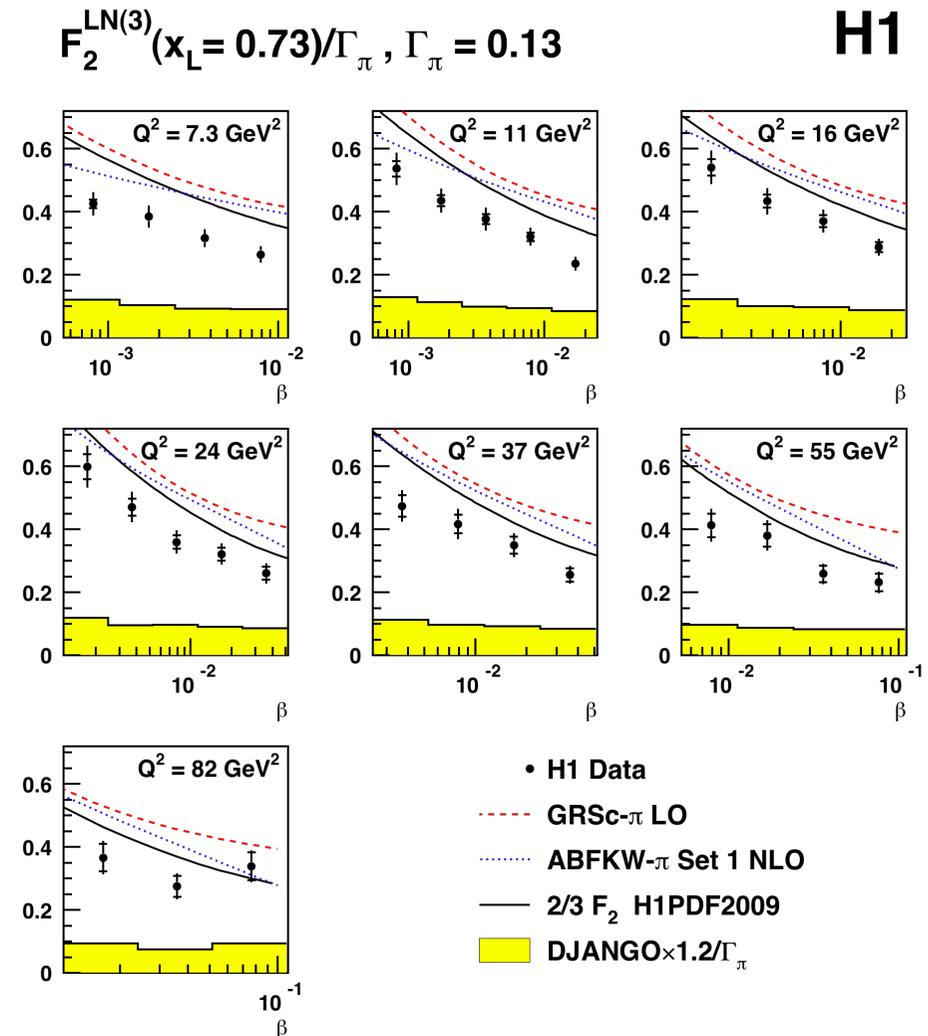
$\Gamma_\pi \sim 0.13 \pm 0.04$  (model)

25-35% residual  
fragmentation component

Other exchanges neglected

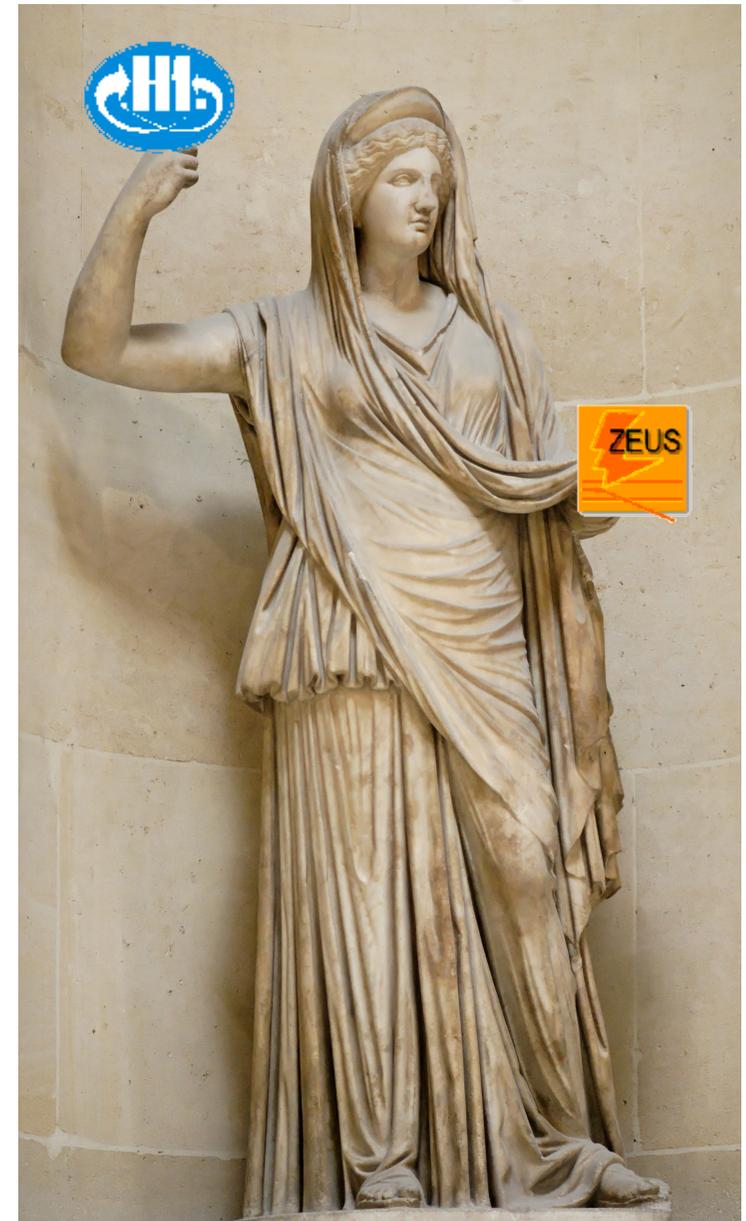
Fair agreement with parameterisations of pion structure.

$F_2^\pi = 2/3 F_2^p$  (valence quark counting) also in fair agreement

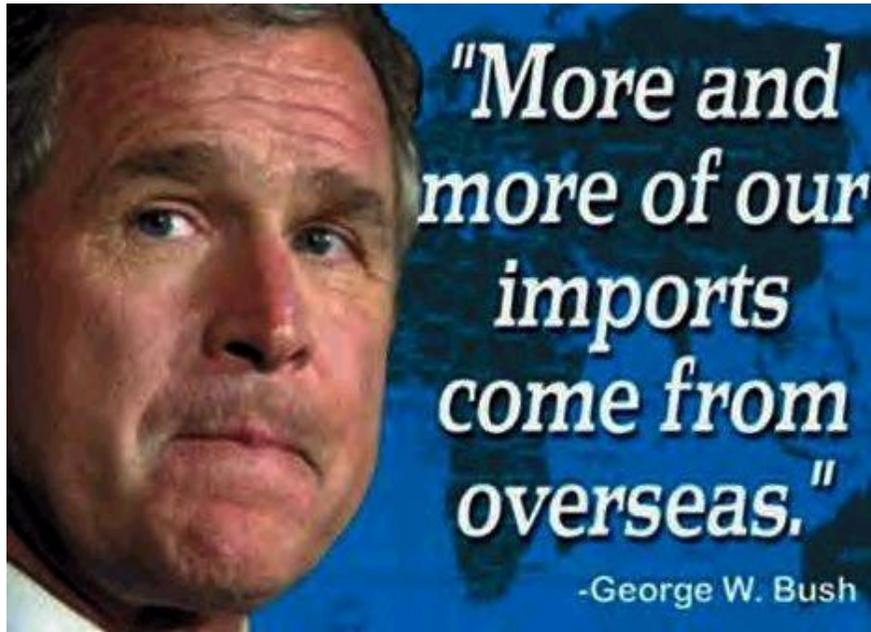


# Summary

- HERA diffractive and related data continue to arrive ... unique sensitivity to strong colour-singlet exchange in pQCD regime
- Proton vertex factorisation with  $\alpha_{IP}(t) \sim 1.10 (+ \delta t)$  &  $b \sim 6 \text{ GeV}^{-2}$  is good model for the 'soft' physics
- DPDFs well constrained & tested
- Progress in understanding rapidity gap survival in photoproduction
- Leading Neutron Spectra Beyond diffractive peak constrain  $F_2^\pi$
- Input to diffraction, multi-parton interactions, ZDC ... @ LHC



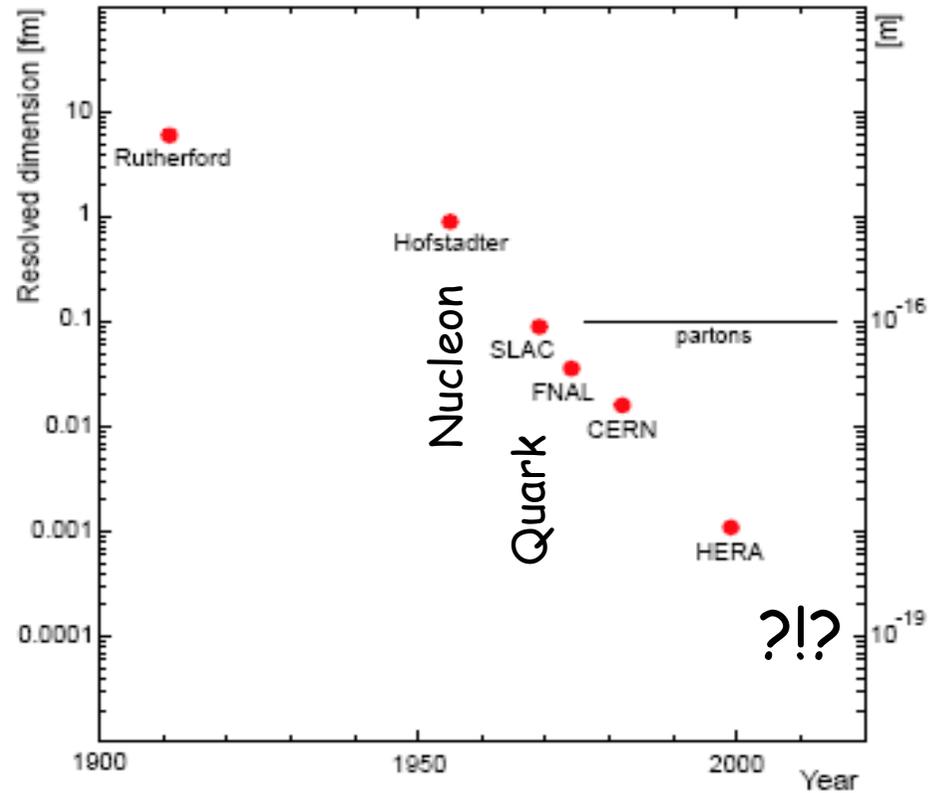
# George's Summary ...



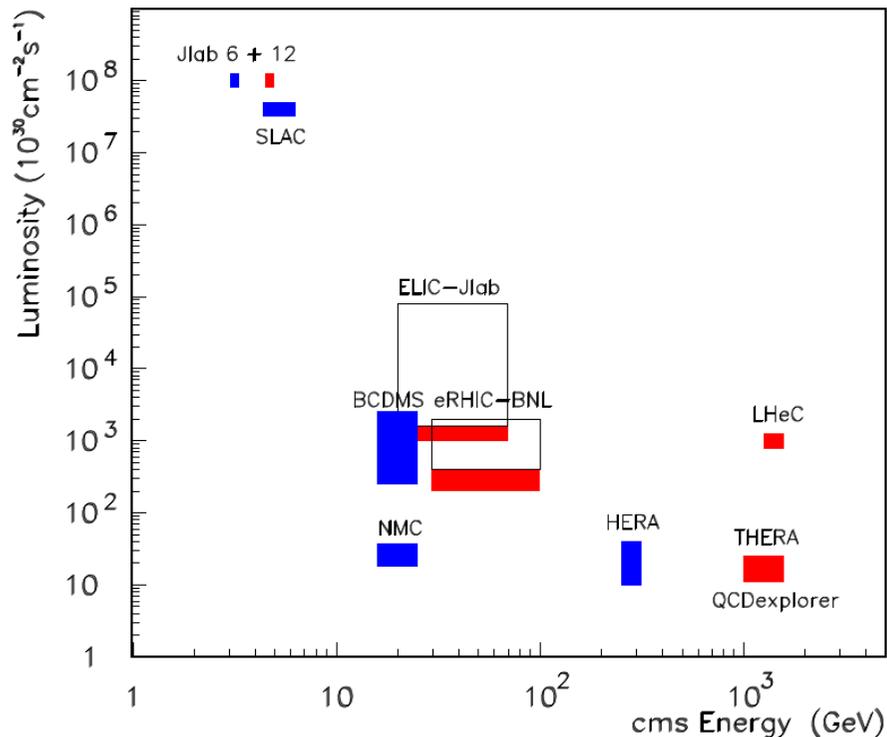
# A possible future?

No high energy ep Physics  
Approved beyond 2007!..

**LHeC**: Latest of several  
proposals to take ep physics  
into the TeV energy range ...  
... but with unprecedented lumi!



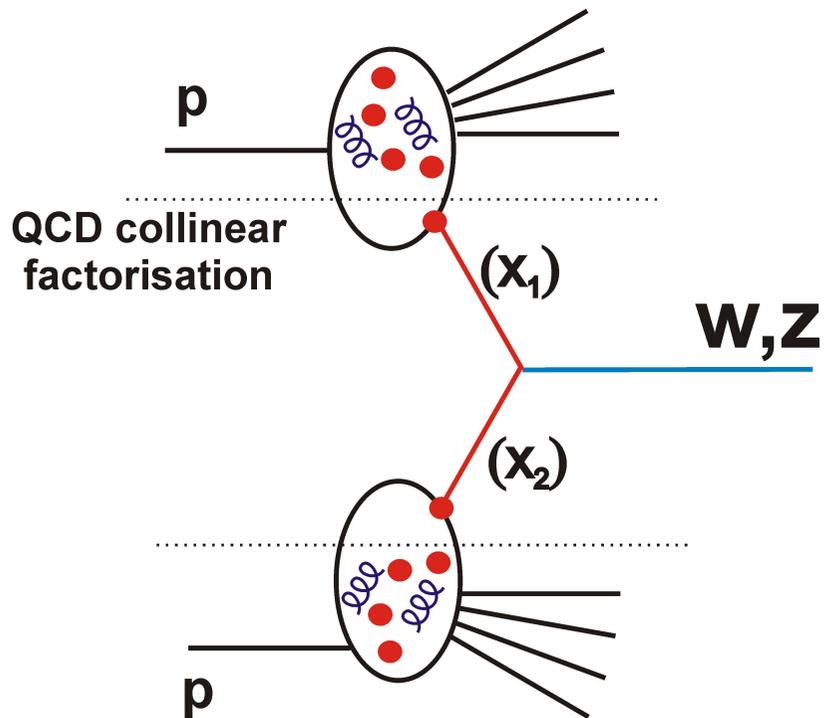
Lepton-Proton Scattering Facilities



• Combining LHC protons with  
a new electron beam (70 GeV)  
Is technically possible and  
pushes frontiers of ep physics:

...  $x \rightarrow 10^{-7}$ ,  $M_{eq} \rightarrow 1.4 \text{ TeV}$ ,  
Resolved dimension  $\rightarrow 10^{-19} \text{ m}$

# Factorising away the Unknown

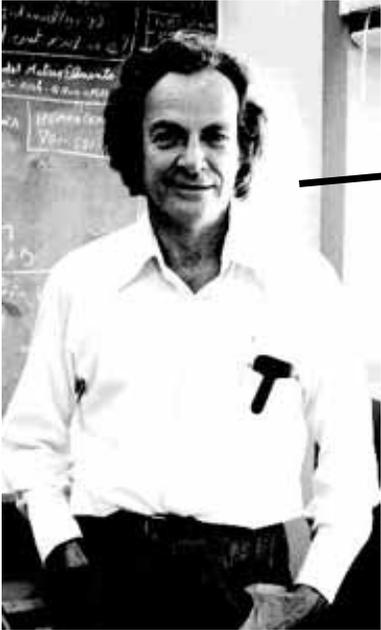


- QCD factorisation theorem allows us to define universal parton density functions (PDFs), same for proton in all contexts.

- QCD evolution ('DGLAP' approx<sup>n</sup>) tells us how the partons evolve as scale (e.g. mass produced) changes. ... i.e, we just need to know the  $x$  dependence at a single scale ...

We cannot calculate PDFs (maybe one day on lattice)  
... so we have to determine the PDFs experimentally

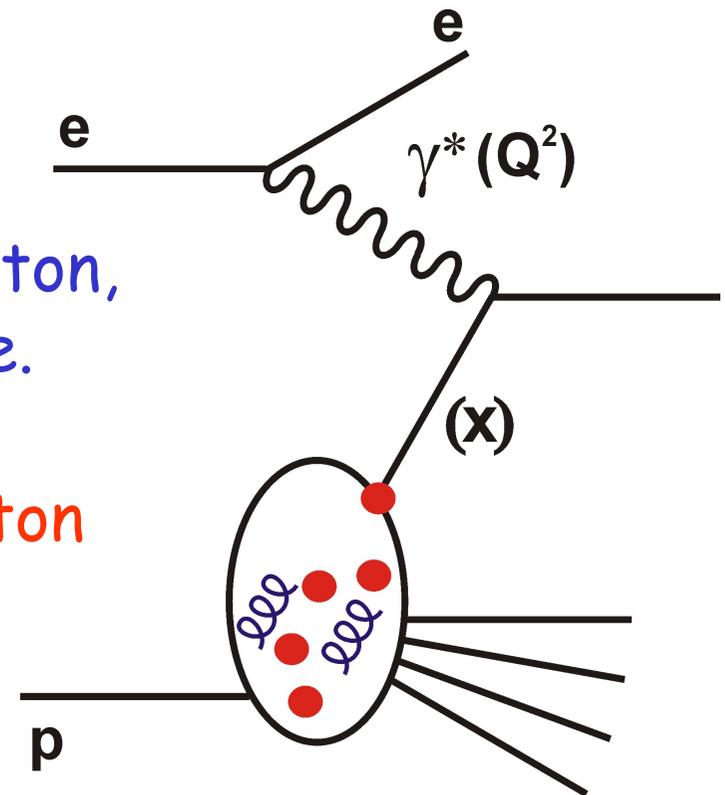
# Parton Densities from Experiment... DIS



"You don't find out how a watch works by throwing other watches at it!"

Most precise information on PDFs comes from  
`Deep inelastic lepton-nucleon Scattering' ...  
Point-like probe, which doesn't feel strong colour field ... a `snapshot' of proton, mainly via photon exchange.

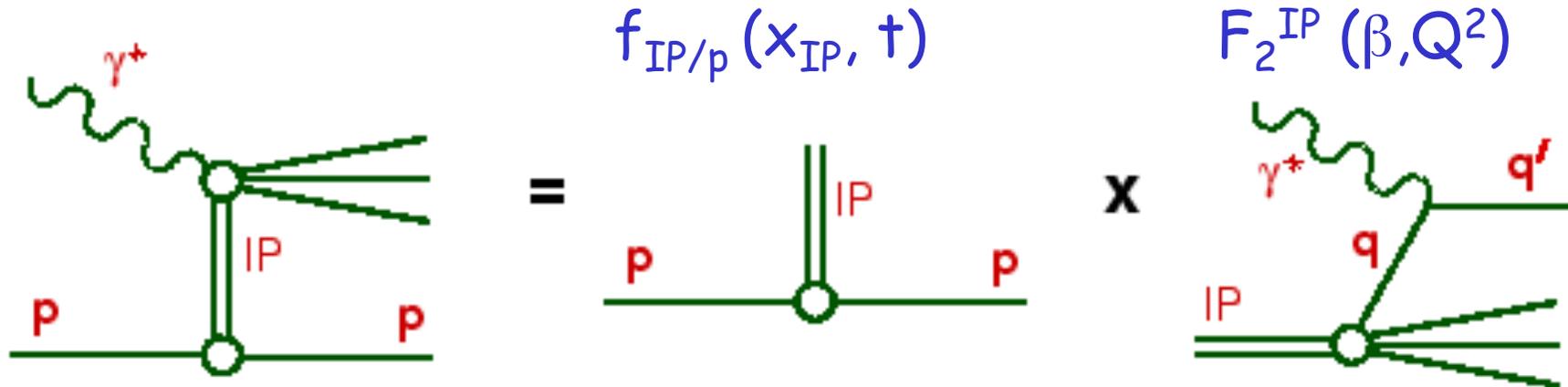
$x$  = Momentum fraction of struck parton  
 $Q^2$  = Exchanged boson virtuality ...  
scale or resolving power!



# Proton Vertex Factorisation and 'Pomeron Flux'

If proton vertex factorisation works, we can factorise out  $x_{IP}$ ,  $t$  (and  $M_y$ ) dependence into a 'flux factor'

MEASUREMENT = IP FLUX  $\times$  IP STRUCTURE



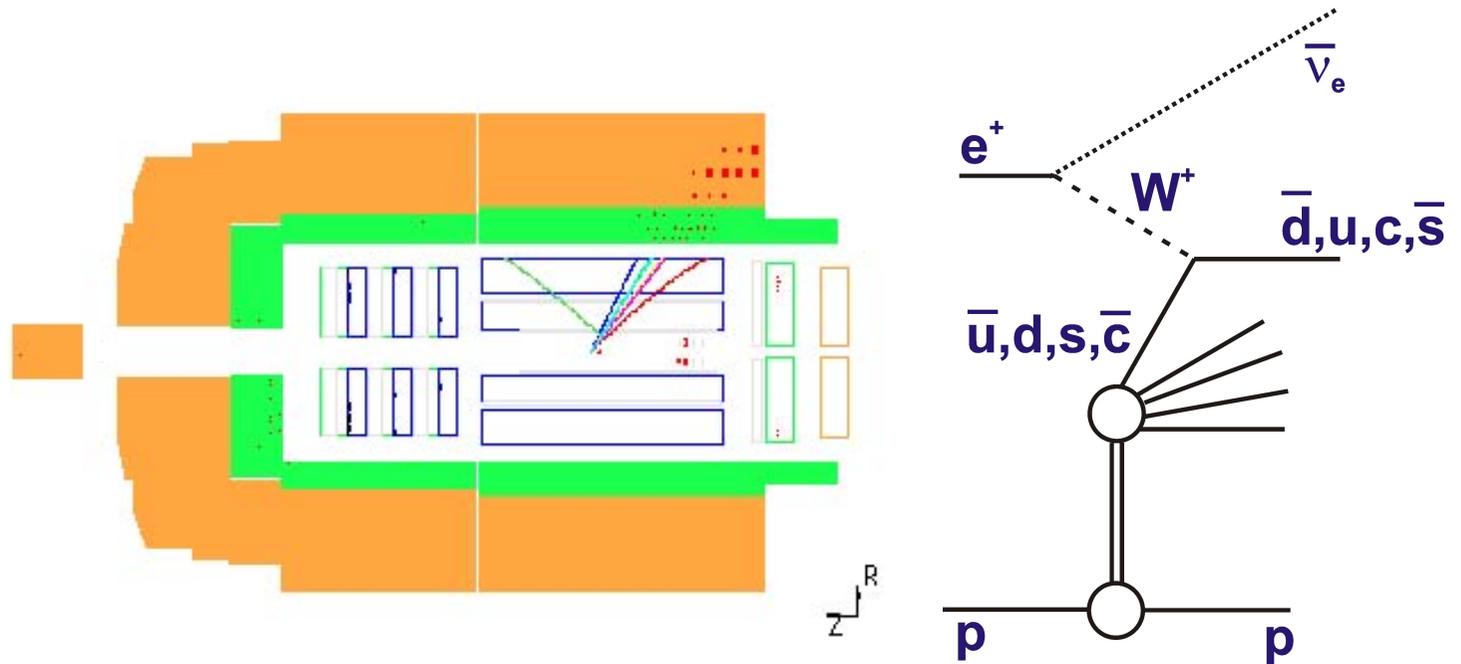
'Flux' parameterisation inspired  
By Regge theory ...

$$f_{IP/p}(x_{IP}, t) = \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}$$

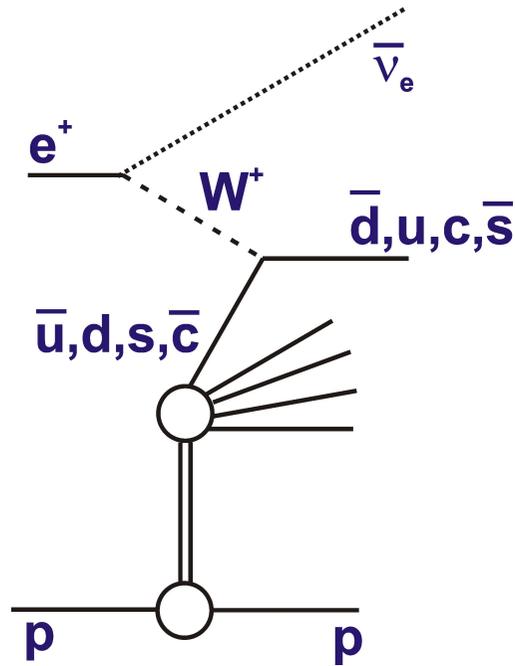
Free parameters - pomeron 'trajectory'  $\alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP}t$

# Testing Factorisation and Quark Density with Diffractive Charged Current Scattering

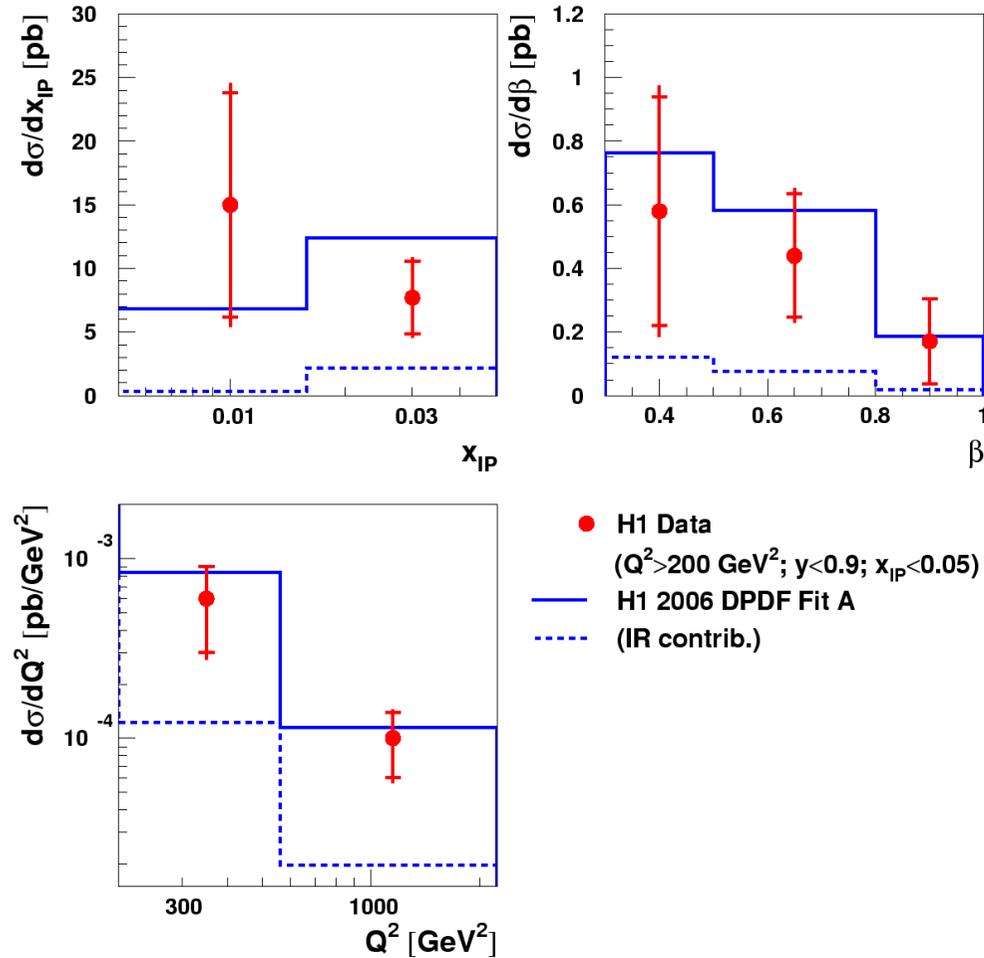
First observation of diffractive charged current events  
... sensitive to flavour decomposition of quark density  
(completely unconstrained by neutral current data)



# Diffractive Charged Current Cross Section



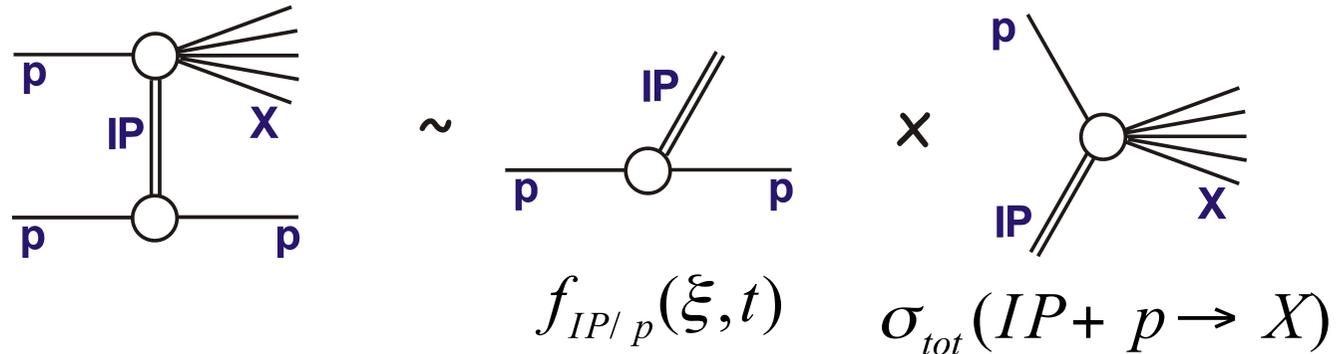
Very similar method of measurement to Neutral Current case.



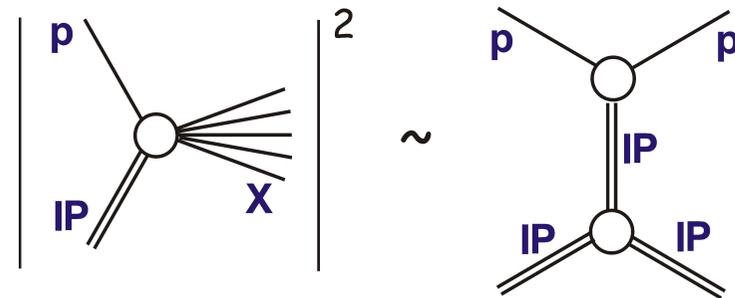
Good agreement with fit prediction (assumes  $u = d = s = \bar{u} = \bar{d} = \bar{s}$  and  $c$  from BGF) though statistical precision limited so far

# Diffraction Dissociation: Mueller's Generalisation of the Optical Theorem

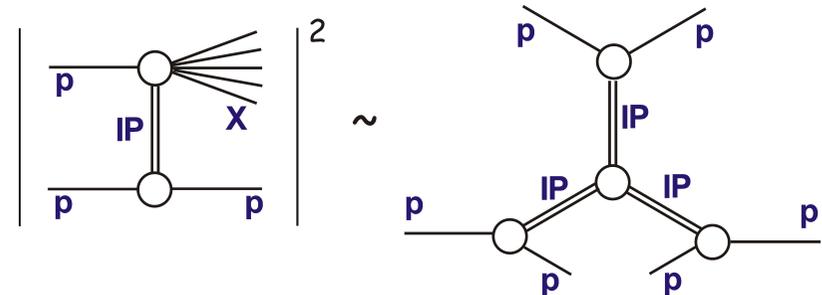
1) Factorise SD into a pomeron (IP) flux and a total p+IP cross section



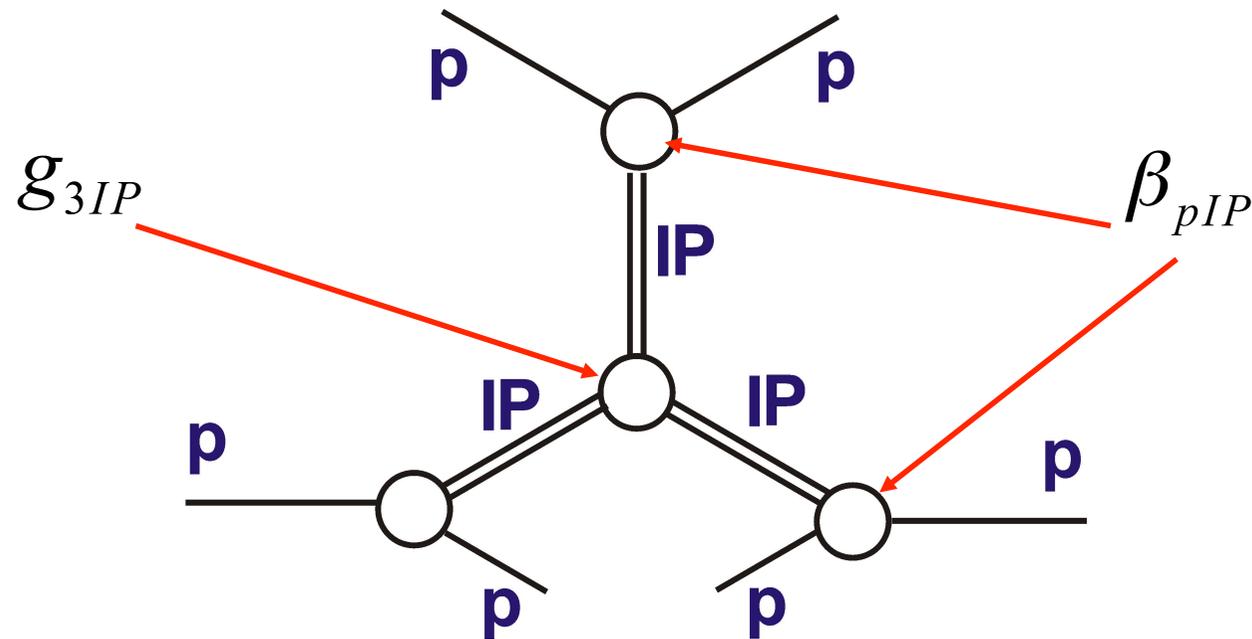
2) Similarly to total pp cross section, relate total p+IP cross section to forward elastic amplitude via optical theorem



3) Calculate SD cross sections from triple pomeron amplitudes



# Calculating Triple Pomeron Amplitudes



Need to know triple pomeron coupling  $g_{3IP}(t)$  and pomeron proton coupling  $\beta_{pIP}(t)$ .

Pomeron 'propagators' give dependences on  $M_X^2$  and  $s$  via pomeron trajectory  $\alpha(t) \sim 1.08 + 0.25 t$

$$\frac{d\sigma}{dt dM_X^2} = \frac{1}{16\pi} g_{3IP}(t) \beta_{pIP}(t)^3 s^{2\alpha(t)-2} M_X^{2[\alpha(0)-2\alpha(t)]} \xrightarrow{t \rightarrow 0} s^{0.16} \left( \frac{1}{M_X^2} \right)^{1.08} e^{B(\xi)t}$$