

# Diffraction Phenomena at HERA

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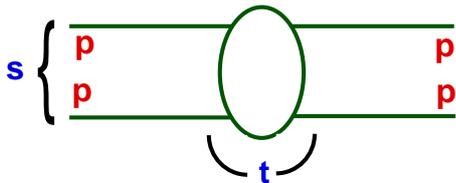
Representing the H1 and ZEUS Collaborations.

Selected topics in ...

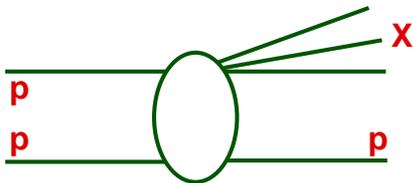
- Diffractive Vector Meson Photoproduction and Electroproduction.
- The Diffractive Dissociation Cross Section in DIS.
- The Hadronic Final State in DIS Diffractive Dissociation.
- Leading Baryons and Other Colour Singlet Exchanges.

# Diffractive Processes and the Pomeron

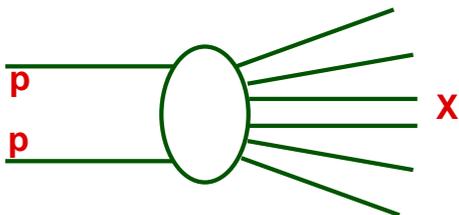
Soft diffraction: elastic, total and dissociation cross sections.



$$\sigma^{\text{el}}(pp \rightarrow pp)$$



$$\sigma^{\text{diss}}(pp \rightarrow pX)$$



$$\sigma^{\text{tot}}(pp \rightarrow X)$$

(via the optical theorem)

It is useful to think in terms of the exchange of an object with net vacuum quantum numbers - the “pomeron” ( $\mathbb{P}$ ).

- $\alpha_{\mathbb{P}}(t) \simeq 1.081 + 0.26t$  [ $\mathbb{P}$  ‘trajectory’].
- ‘**FACTORISES!**’ Describes the energy dependence of all such hadron-hadron cross sections where  $s \gg t$ .
- **BUT** The partonic structure of the interaction is **unspecified!** ... This structure can be investigated at HERA.

## Regge Predictions

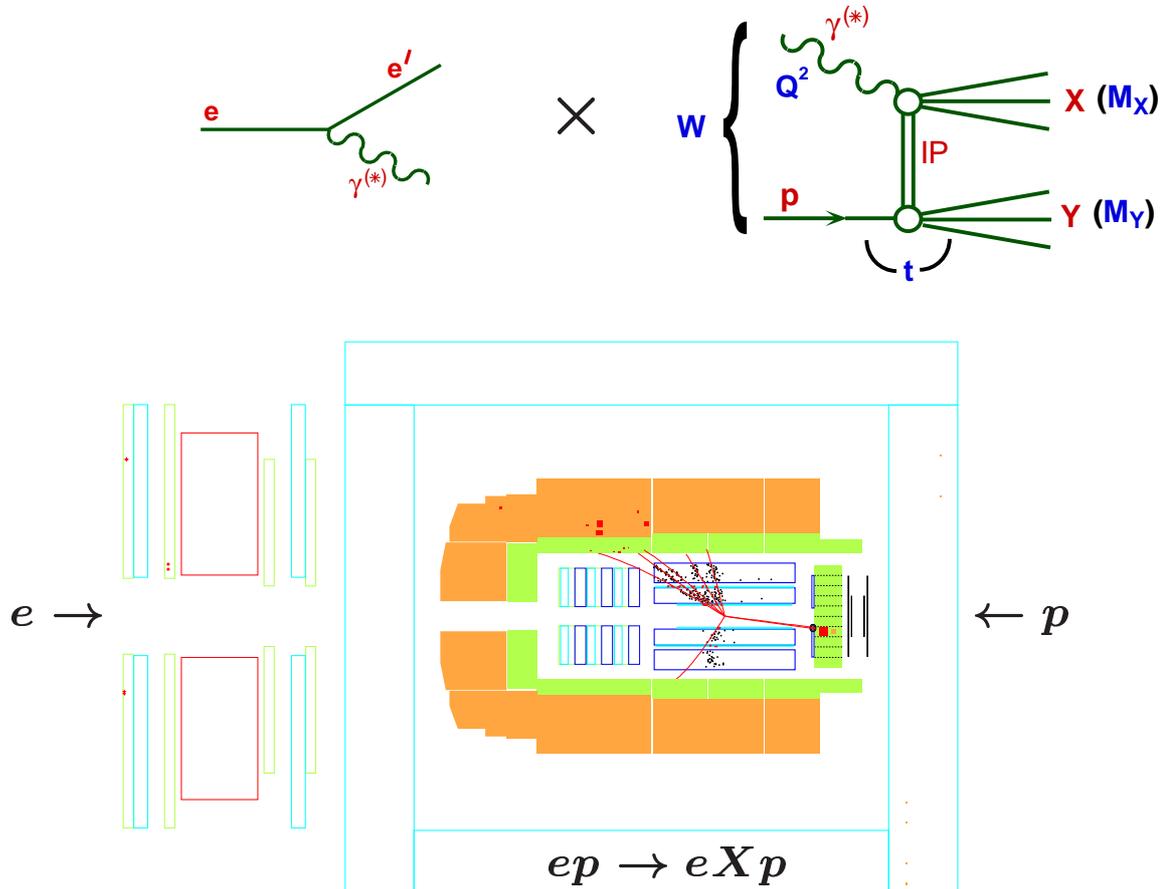


$$d\sigma^{\text{el}}/dt \propto s^{2\alpha_{\mathbb{P}}(t)-2}$$



# Diffraction at HERA

At the HERA  $ep$  collider, diffractive  $\gamma^{(*)}p$  interactions can be studied.

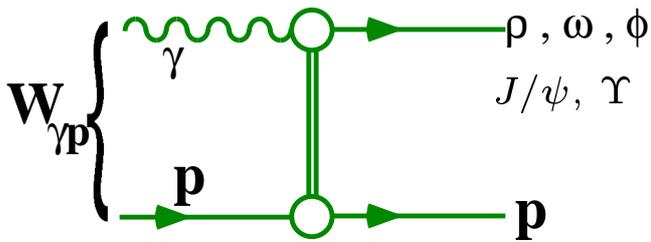


All five kinematic variables can be measured:

- $Q^2 \sim 0, |t| \sim 0$ .  $\rightarrow$  similar to soft h-h diffraction.
- Large  $Q^2$ .  $\rightarrow \gamma^*$  probes  $\mathbb{P}$  structure.
- Large  $|t|$ .  $\rightarrow$  search for perturbative (BFKL?)  $\mathbb{P}$ .

...the non-perturbative  $\leftrightarrow$  perturbative transition.

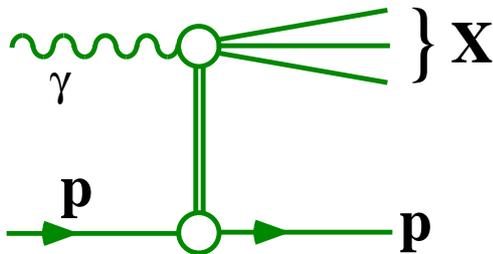
# COLOUR SINGLET EXCHANGE PROCESSES IN $\gamma^*$ -p INTERACTIONS



**QUASI ELASTIC  
VECTOR MESON  
PRODUCTION**

**(EL)**

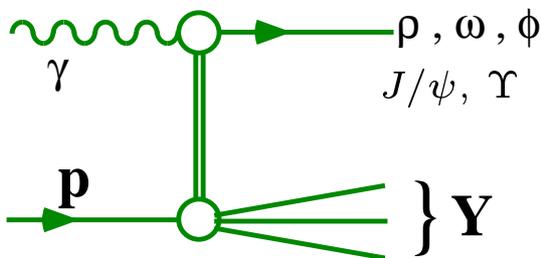
$$\gamma p \longrightarrow V p$$



**SINGLE PHOTON  
DISSOCIATION**

**(GD)**

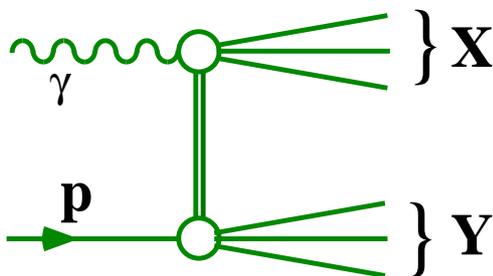
$$\gamma p \longrightarrow X p$$



**SINGLE PROTON  
DISSOCIATION**

**(PD)**

$$\gamma p \longrightarrow V Y$$



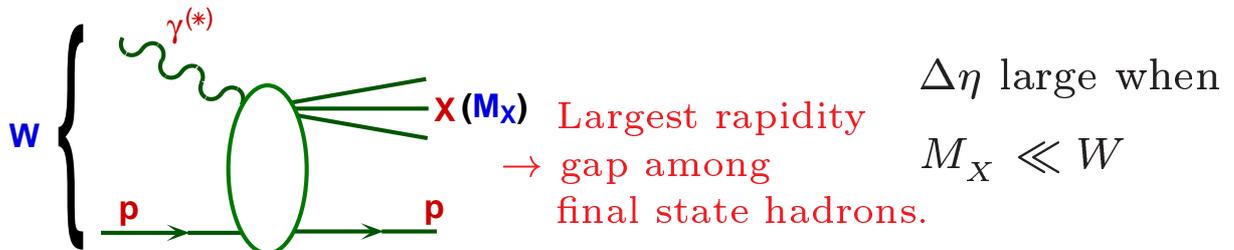
**DOUBLE  
DISSOCIATION**

**(DD)**

$$\gamma p \longrightarrow X Y$$

# Experimental Techniques

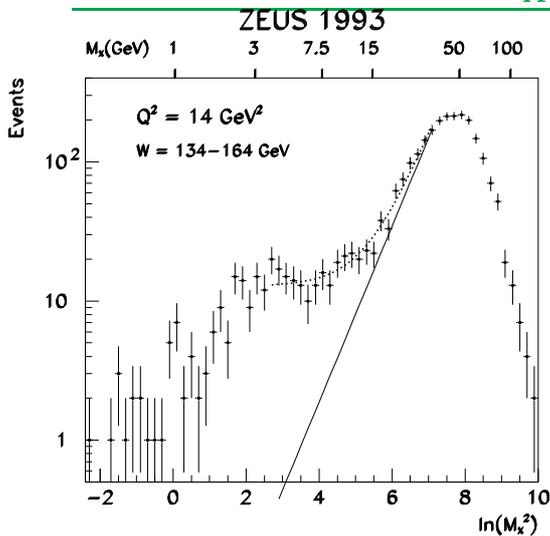
## 1. Rapidity Gap Selections (H1, ZEUS).



## 2. Direct Tagging of Leading Baryons (H1, ZEUS).



## 3. Decompose Visible $M_X$ Distribution (ZEUS).



Exponential suppression in  $M_X$  distribution for “standard” DIS.

Diffractive contribution identified as excess at small  $M_X$  above fit to  $Ae^{b \ln M_X}$

## 'Elastic' Vector Meson Production

$$Q^2 = -q^2$$

$$W^2 = (q + p)^2$$

$$t = (p - p')^2$$

---

Phenomenological parameterisation in Regge theory:

$$\frac{d\sigma}{dt} \propto \left( \frac{W^2}{W_0^2} \right)^{2\alpha_{\mathbb{P}}(t)-2} e^{b_0 t} \quad ; \quad b_0 \sim R_p^2 + R_{\gamma^{(*)} \rightarrow V}^2$$

For soft processes, expect  $\alpha_{\mathbb{P}}(t) \sim 1.08 + 0.25t$ .

Signatures of hard processes:

- Increase in effective  $\alpha_{\mathbb{P}}(0)$ .
- Decrease in  $\alpha'_{\mathbb{P}}$ .
- $R_{\gamma^{(*)} \rightarrow V}^2 \rightarrow 0$  ;  $b_0 \rightarrow R_p^2$

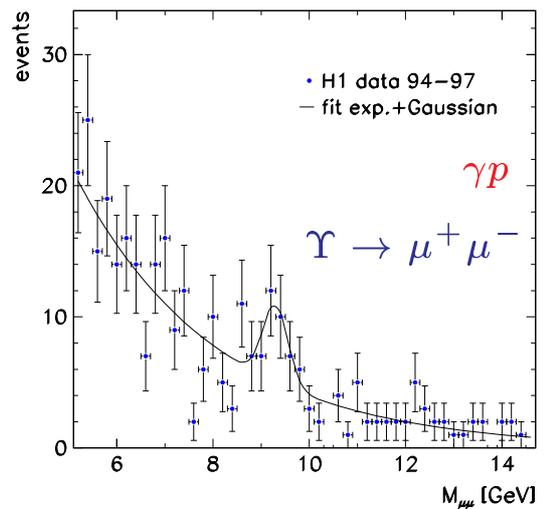
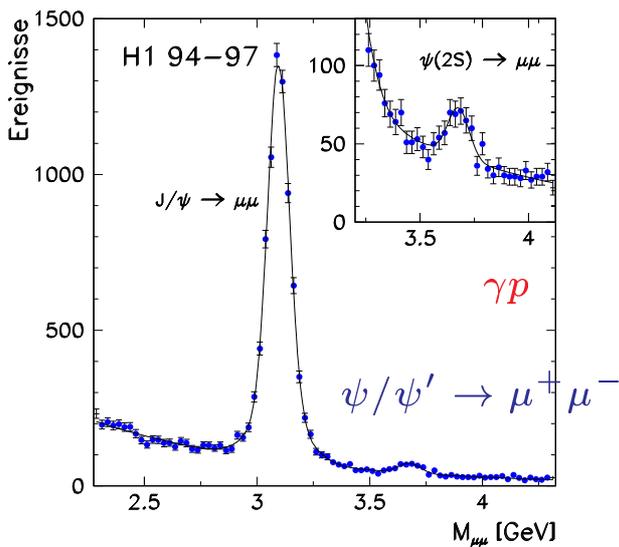
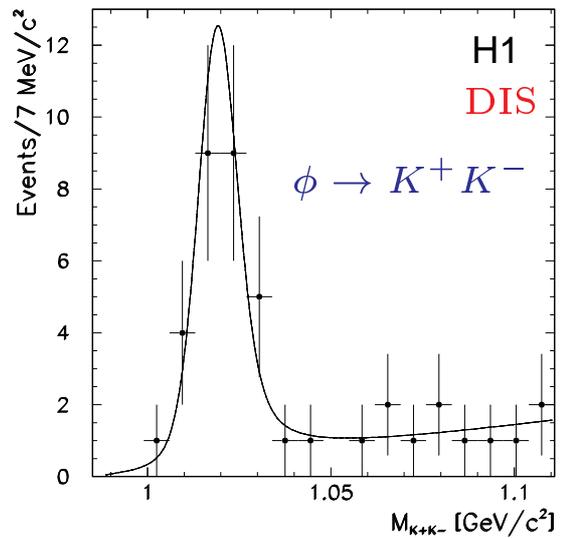
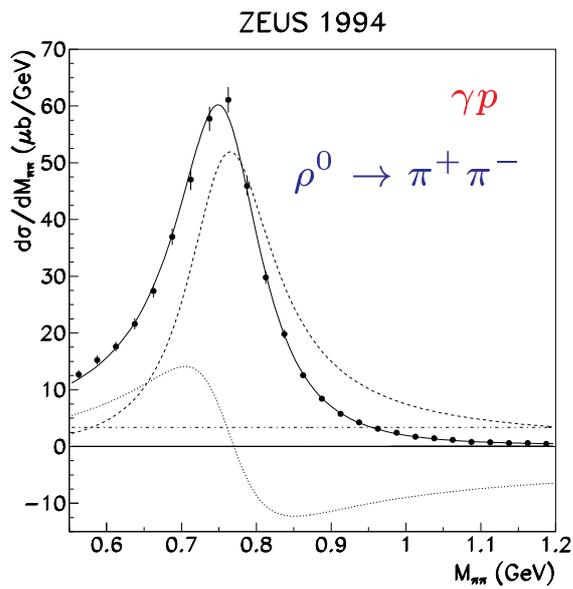
Possible quantitative QCD description where hard scales available:

Simple exchange of 2 gluons  
at lowest order.

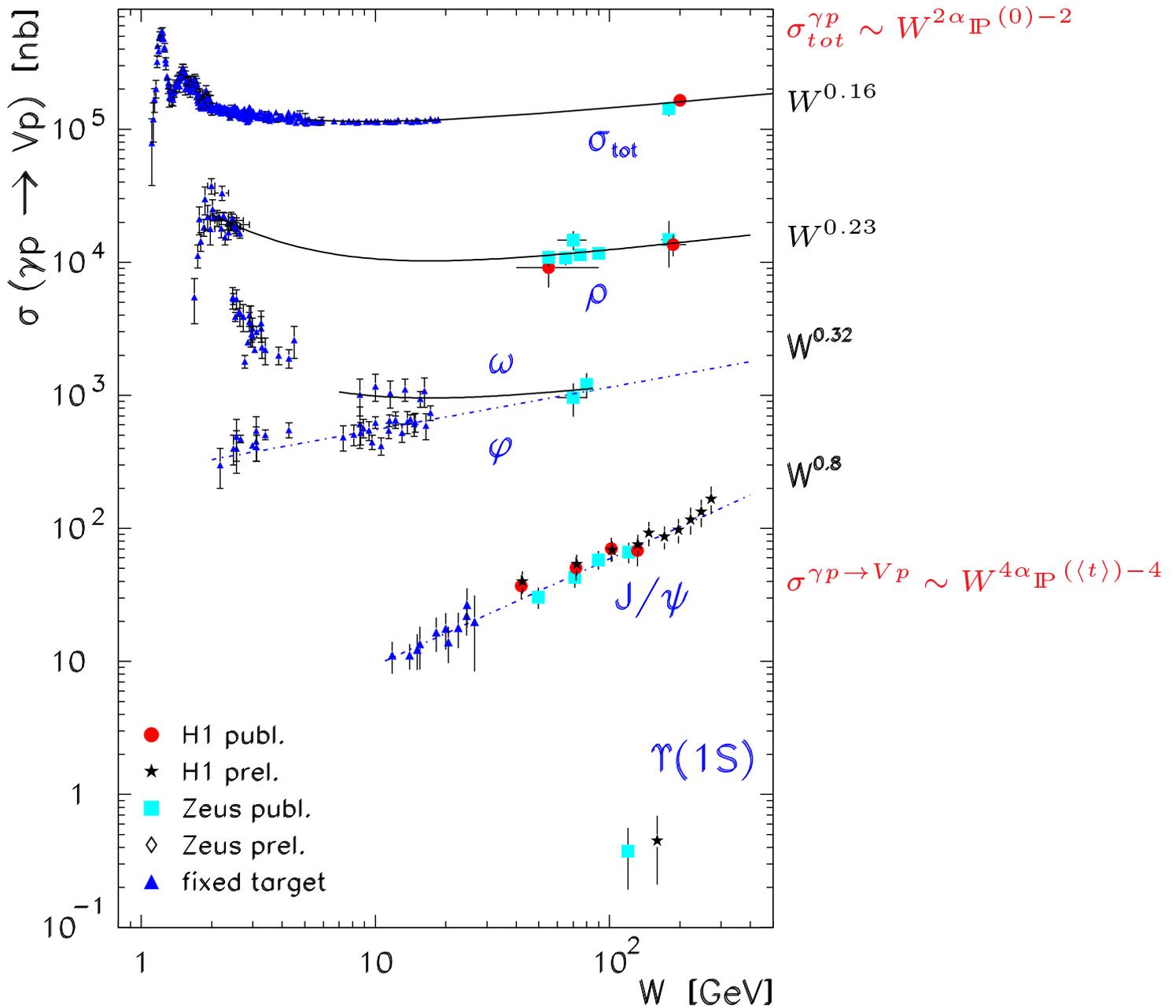
$$\left. \frac{d\sigma}{dt} \right|_{t=0} \sim |xg(x)|^2$$

# Vector Meson Signals

Vector Meson Production Studied over a wide range in kinematic variables  $Q^2$ ,  $t$ ,  $W$ ,  $m_V$ .  
Results on  $\rho$ ,  $\omega$ ,  $\phi$ ,  $J/\psi$ ,  $\Upsilon$ ,  $\rho'$ ,  $\psi'$ .



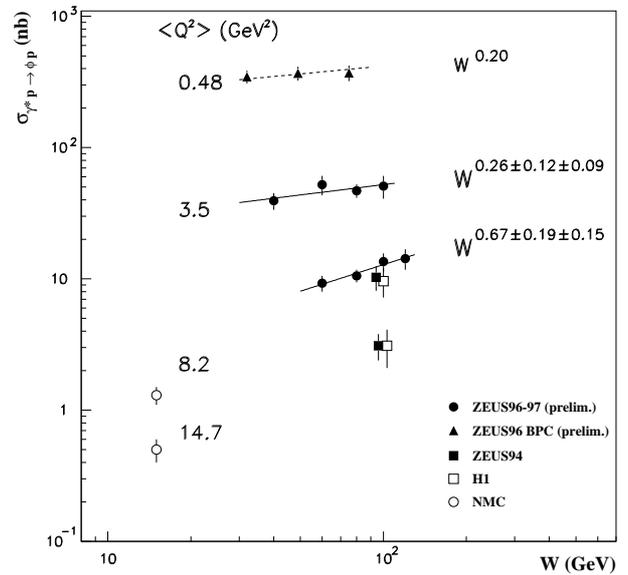
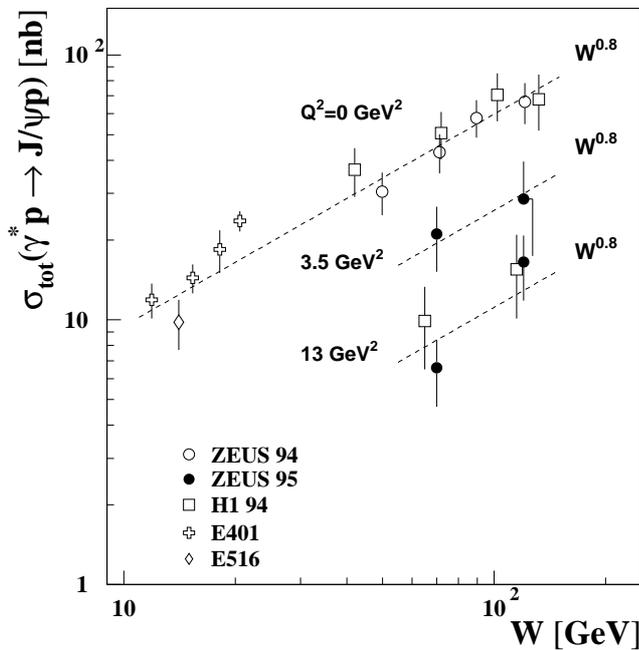
# Energy Dependence of Vector Meson Photoproduction



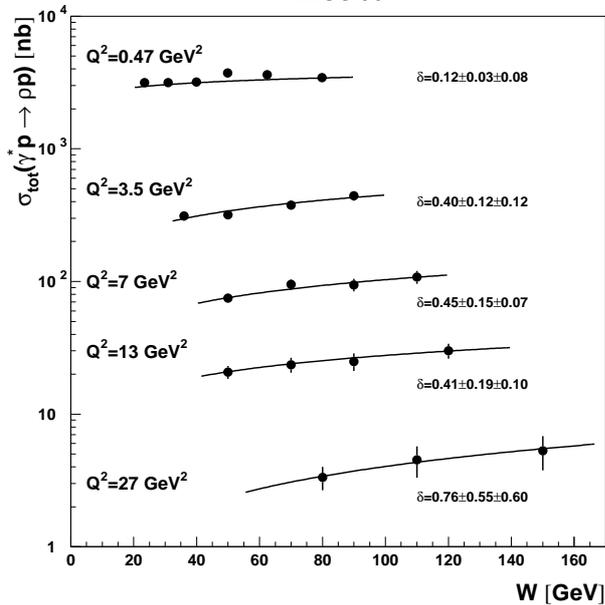
Effective  $\alpha_{\mathbb{P}}(0)$  depends on vector meson mass at  $Q^2 = 0$ .

# Energy Dependence of Vector Meson Electroproduction

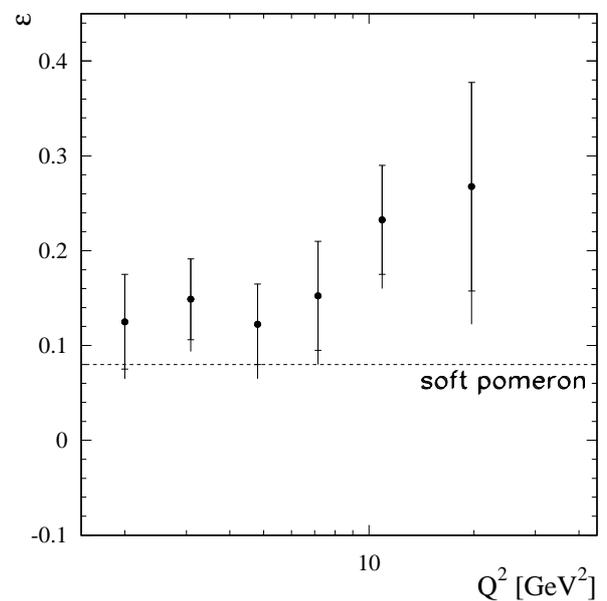
ZEUS 95



ZEUS 95



H1 PRELIMINARY



Effective  $\alpha_{\mathbb{P}}(0)$  depends on  $Q^2$  and vector meson mass.

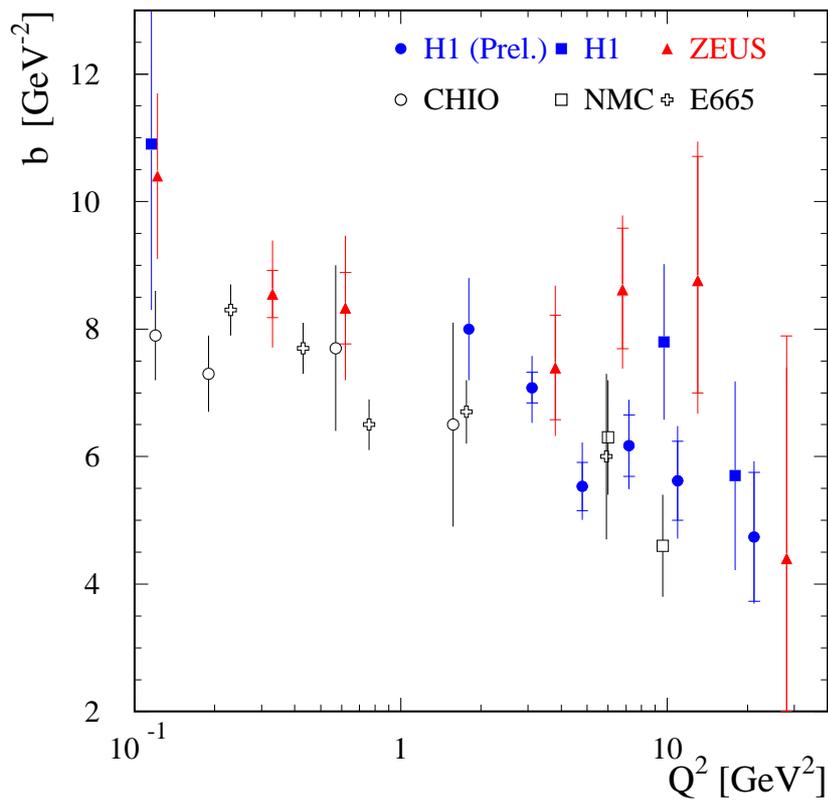
$J/\psi$  has strong  $W$  dependence at  $Q^2 = 0$

$\rho, \phi$  dependence on  $W$  steepens with  $Q^2$

$\phi$  steepens faster than  $\rho$ ?

# $t$ Dependence of Vector Meson Production

Fits to  $d\sigma/dt \propto e^{bt}$

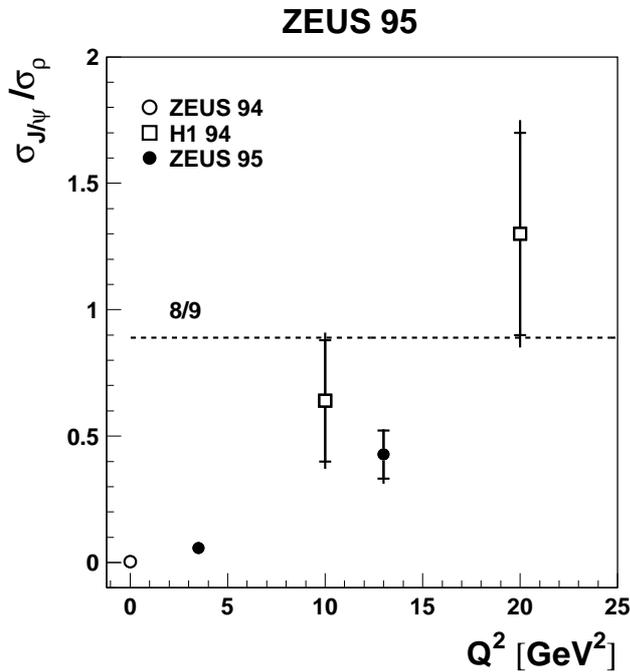


For  $J/\psi$ ,  $b \sim 4 - 5$  at  $Q^2 = 0$ .

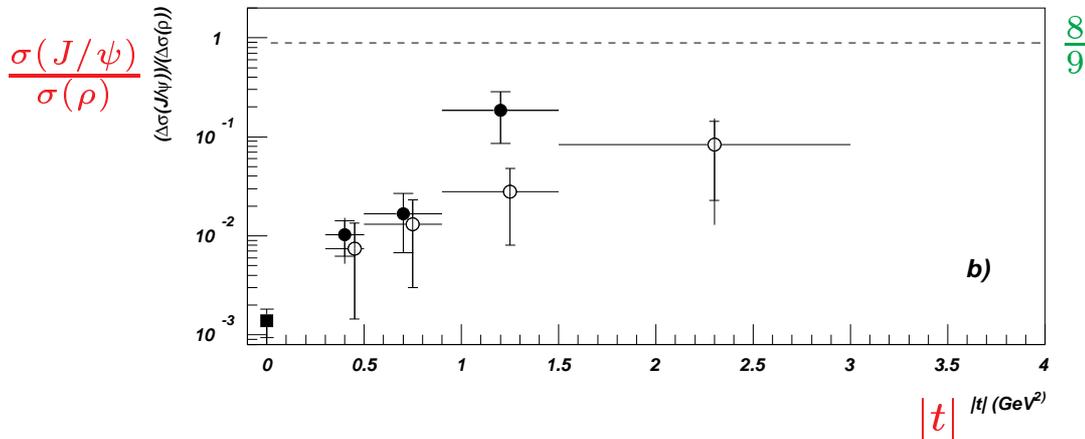
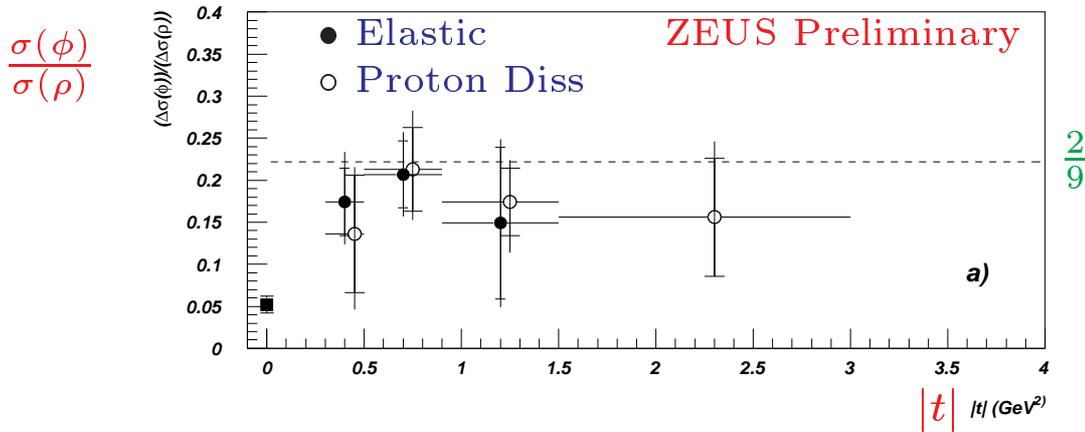
Slope parameter decreases as  $Q^2$  or the vector meson mass increases.

If  $b \rightarrow R_p^2$  as scales increase and increasingly small sized  $q\bar{q}$  configurations are active,  $R_p^2 \sim 4 \text{ GeV}^{-2}$ ;  $R_p \sim 0.4 \text{ fm}$ .

# Ratios of Vector Meson Cross Sections



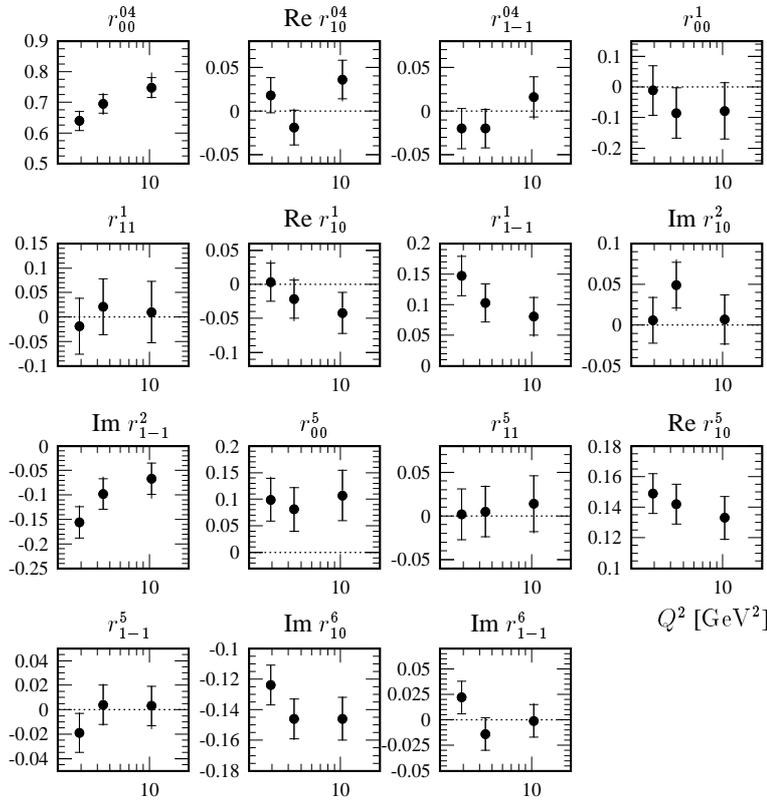
Ratios  $J/\psi/\rho$ ,  $\phi/\rho$  tend towards naive SU(4) predictions for flavour independent production mechanism as scales  $Q^2$ ,  $|t|$  increase.



# $\rho^0$ Helicity Analysis

Spin density-matrix elements for  $\gamma^* \rightarrow \rho^0$  extracted from angular distributions ( $\theta^*$ ,  $\phi^*$  of  $\rho \rightarrow \pi^+\pi^-$ , and  $\Phi$  between lepton scattering and  $\rho$  production planes).

H1 PRELIMINARY



----- s-channel  
helicity conservation  
& natural parity  
exchange.

$r_{00}^5 \neq 0$ ,  
indicating a  
significant  
probability for  
longitudinal  $\gamma^*$   
 $\rightarrow$  transverse  $\rho$

Ratio Helicity Flip Component / Non Flip =  $8 \pm 3$  %.

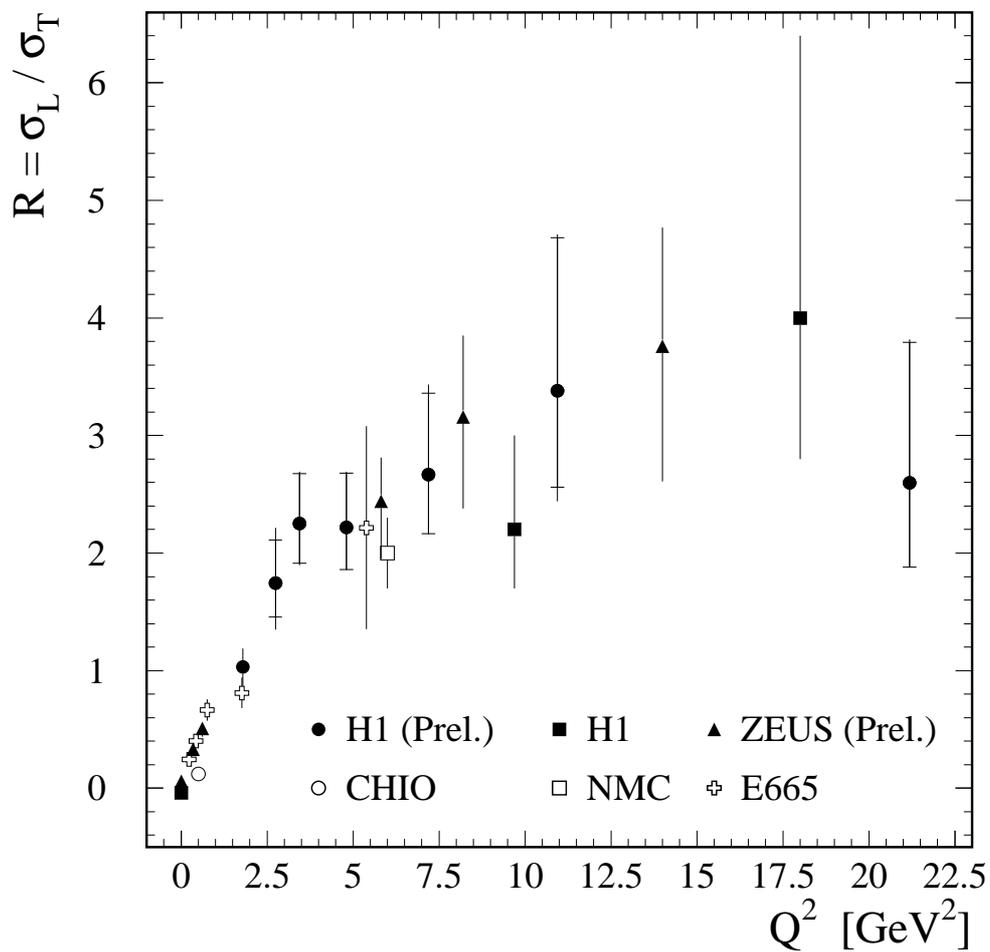
Also observed by ZEUS in  $\rho$  and  $\phi$  electroproduction

The helicity-flip component is predicted in a model of vector-meson electroproduction based on the exchange of two gluons from the proton (Ivanov & Kirshner).

# Ratio of Longitudinal to Transverse Photon Cross Sections

Under *approximation of s-channel helicity conservation*:

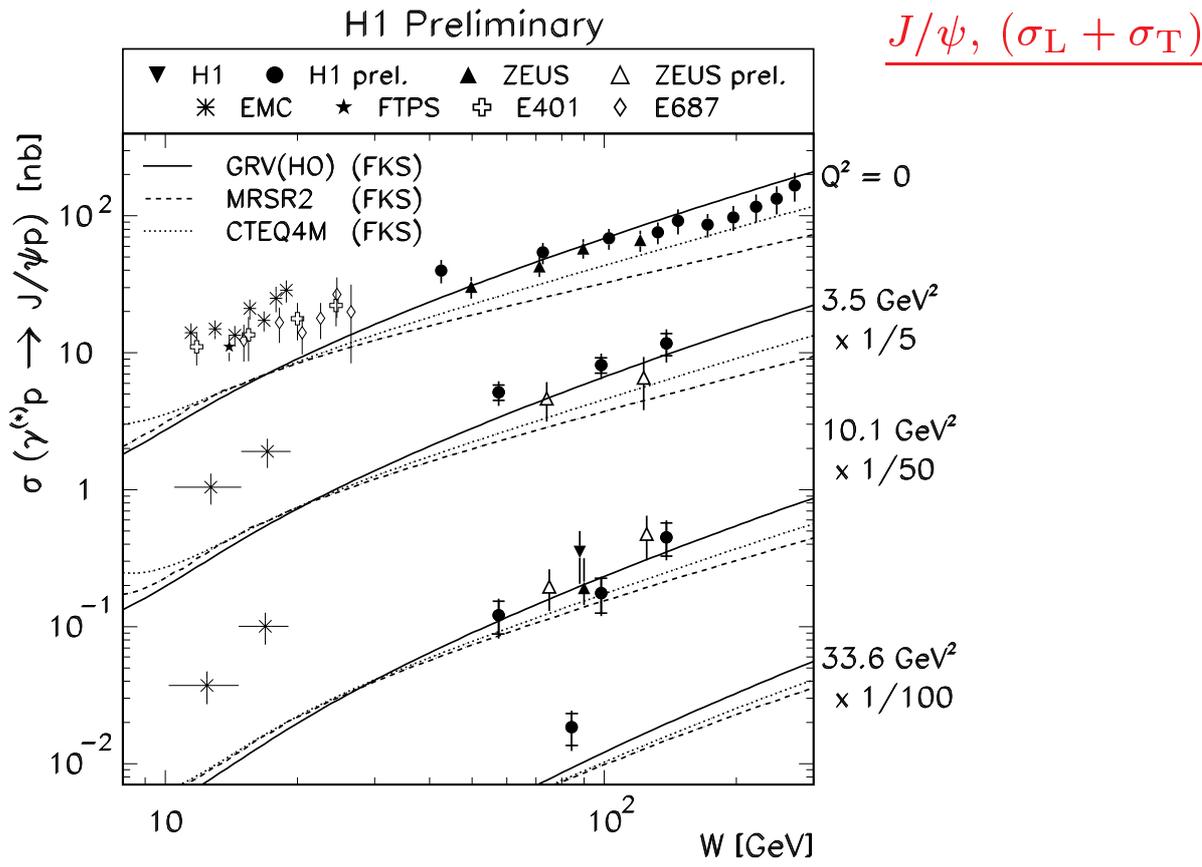
$$R = \frac{\sigma_L}{\sigma_T} = \frac{1}{\epsilon} \frac{r_{04}^{00}}{1 - r_{04}^{00}} \quad \text{with} \quad \epsilon = \frac{\Gamma_L}{\Gamma_T} = \frac{2(1-y)}{1 + (1-y)^2}$$



Longitudinal  $\gamma^*$  cross section dominant at large  $Q^2$ .

# Example pQCD Model of VM Production

Indicators of ‘hard’ pomeron effects and dominance of  $\sigma_L$  encourage a perturbative QCD approach . . . .



Compared to model of Frankfurt et al.,

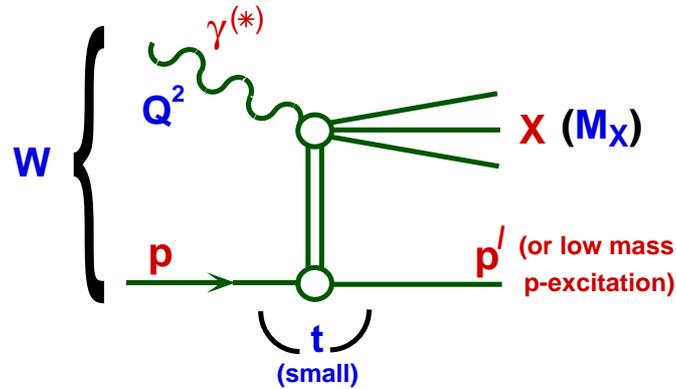
$$\left. \frac{d\sigma}{dt} \right|_{t=0} \sim |xg(x, \mu^2)|^2 \quad \text{with} \quad \mu^2 = (Q^2 + m_\psi^2)/4$$

and different gluon distributions.

Similar approaches have been applied to  $\rho$  at high  $Q^2$ .

... Distinguish between gluon distributions / pQCD models?

## Inclusive Diffractive DIS, $\gamma^* p \rightarrow X p$



- $Q^2 = -q^2$  (Photon virtuality)
- $W^2 = (q + p)^2$  ( $\gamma^* p$  centre of mass energy)
- $t = (p - p')^2$  (4-momentum transfer squared)
- $M_X^2 = X^2$  (Invariant mass of  $X$ )

### Long distance physics at $p$ - vertex:

$$x_{\mathbb{P}} = \frac{q \cdot (p - p')}{q \cdot p} \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2} = x_{\mathbb{P}/p}$$

→ Fraction of  $p$  momentum transferred to  $\mathbb{P}$ .  
( $\mathbb{P}$  exchange dominates at low  $x_{\mathbb{P}}$ )

### Short distance physics at $\gamma^*$ - vertex:

$$\beta = \frac{Q^2}{q \cdot (p - p')} \simeq \frac{Q^2}{Q^2 + M_X^2} = x_{q/\mathbb{P}}$$

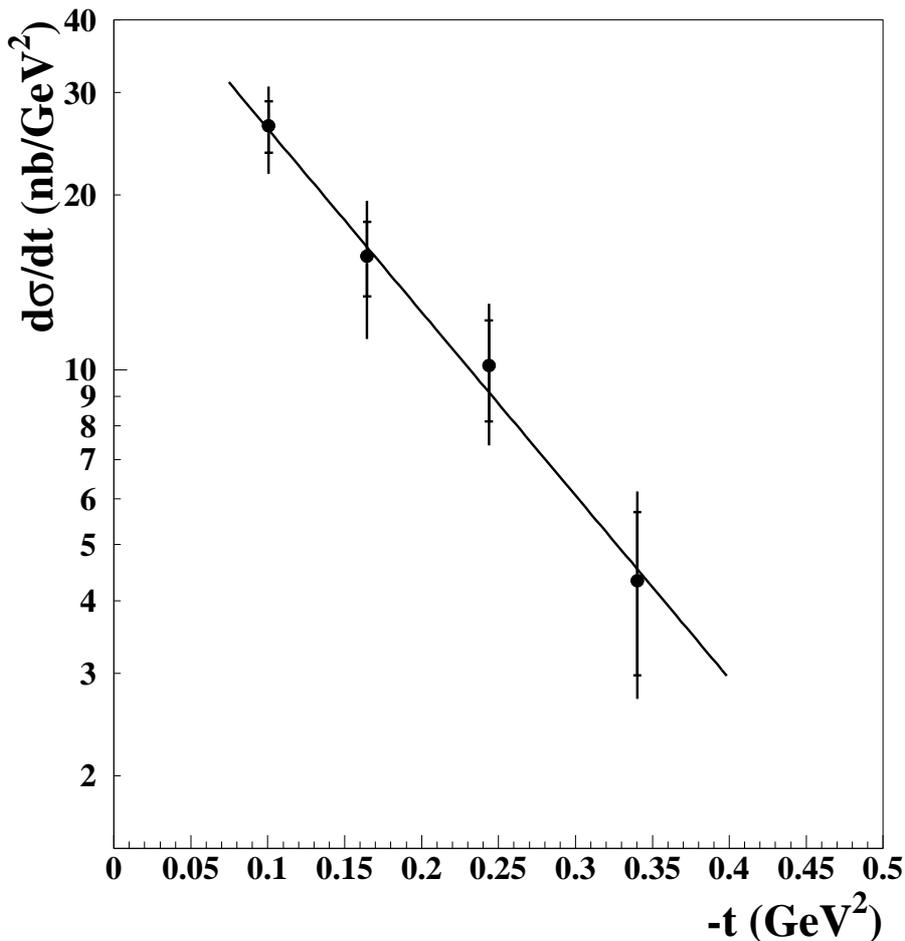
→ Frac. of  $\mathbb{P}$  momentum carried by quark coupling to  $\gamma^*$ .  
( $x_{\text{Bj}} = \beta \cdot x_{\mathbb{P}}$ )

## Measurement of the $t$ Dependence

$$5 < Q^2 < 20 \text{ GeV}^2 \quad 0.015 < \beta < 0.5$$

$$x_P < 0.03$$

**ZEUS 1994**



From Direct  
Proton tagging

Fit to  $\frac{d\sigma}{dt} \propto e^{bt}$

$$b = 7.2 \pm 1.1(\text{stat.}) \pm_{-0.9}^{+0.7}(\text{syst.}) \text{ GeV}^{-2}$$

→ Highly peripheral scattering.

→ Slope parameter  $b$  is consistent with that expected from soft hadron-hadron diffraction.

# The “Diffractive” Structure Function $F_2^{D(3)}$

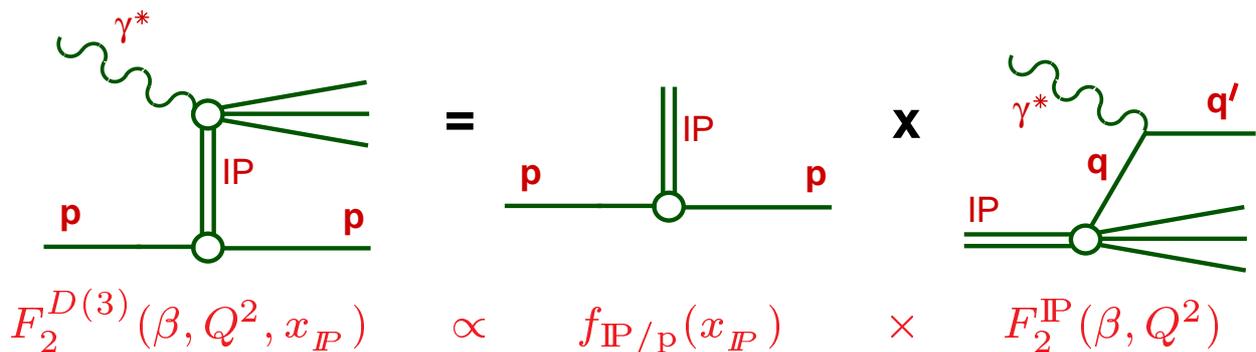
In rapidity gap based analyses,  $t$  is not measured.

Semi-inclusive cross section measurements are presented as a ‘diffractive’ structure function  $F_2^{D(3)}(\beta, Q^2, x_{\mathbb{P}})$ , defined as

$$\frac{d\sigma^{ep \rightarrow eXY}}{d\beta dQ^2 dx_{\mathbb{P}}} = \frac{4\pi\alpha^2}{\beta Q^4} \left(1 - y + \frac{y^2}{2}\right) F_2^{D(3)}(\beta, Q^2, x_{\mathbb{P}})$$

In the H1 case,  $|t| < 1 \text{ GeV}^2$  and  $M_Y < 1.6 \text{ GeV}$ .

If the  $p\mathbb{P}p$  vertex factorises (as expected from hadron-hadron physics) then ...



... such that  $x_{\mathbb{P}}$  dependence is universal at all  $\beta$  and  $Q^2$ .

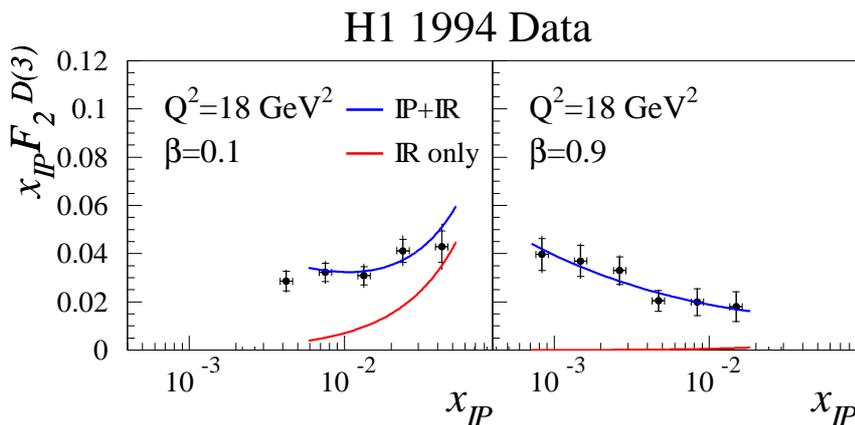
## The $x_P$ Dependence of $F_2^{D(3)}$

Regge theory gives us a means of parameterising the long distance physics at the proton vertex:

$$f_{\mathbb{P}/p}(x_P) = \int_{-1 \text{ GeV}^2}^{t_{\min}(x_P)} \left( \frac{1}{x_P} \right)^{2\alpha_{\mathbb{P}}(t)-1} e^{B_{\mathbb{P}} t} dt$$

with  $\alpha_{\mathbb{P}}(t) = \alpha_{\mathbb{P}}(0) + \alpha'_{\mathbb{P}} t$ .

$x_P$  dependence is found to vary with  $\beta \dots$



$$\frac{\chi^2}{\text{n.d.f.}} = \frac{258}{168} \quad (\mathbb{P} \text{ only})$$

$$\frac{\chi^2}{\text{n.d.f.}} = \frac{121}{121} \quad (\mathbb{P} + \mathbb{R})$$

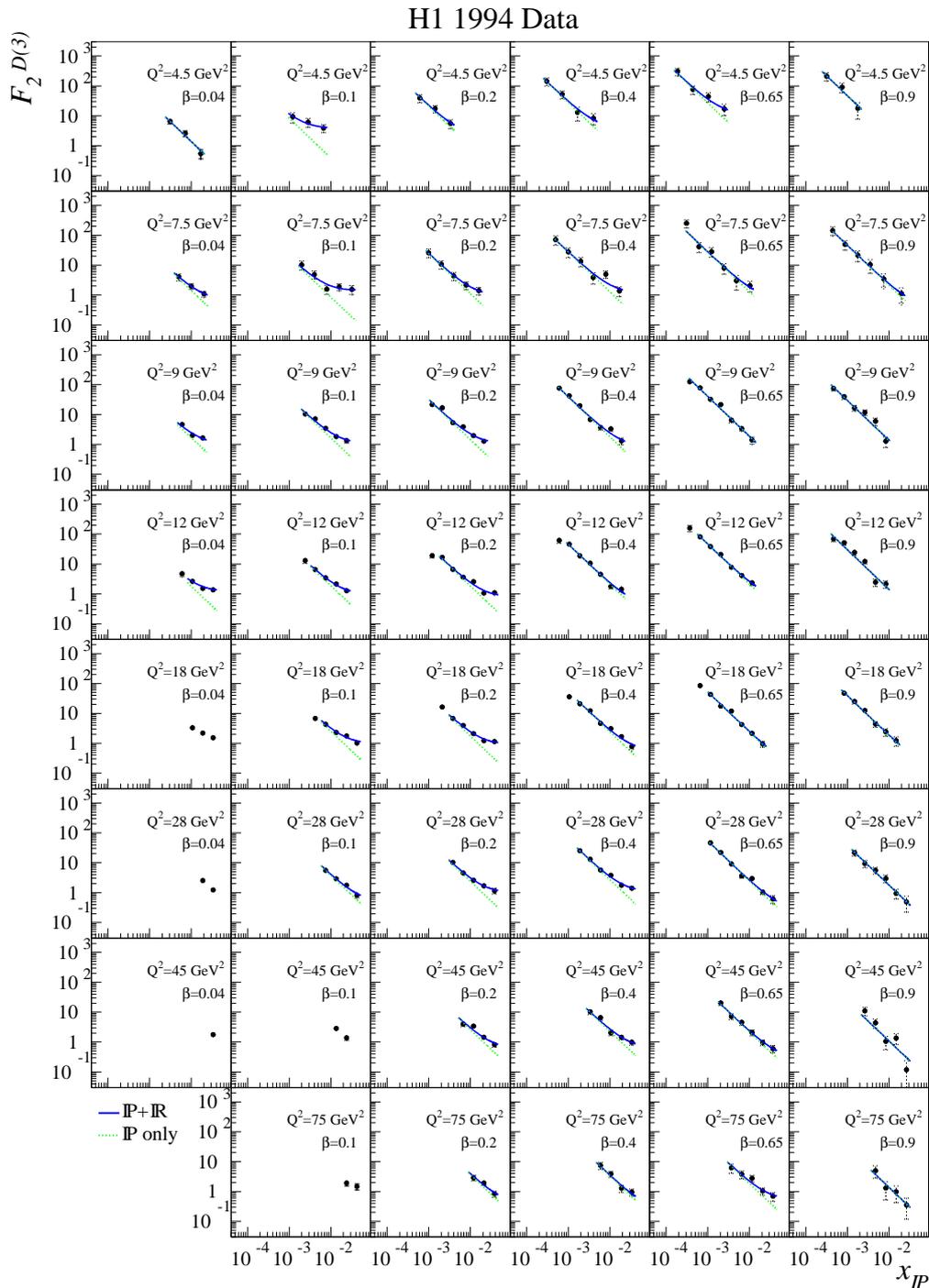
...in a Regge model, the measured data require a minimum of two exchanges:

Good fits obtained throughout kinematic range using:

$$F_2^{D(3)} = f_{\mathbb{P}/p}(x_P) F_2^{\mathbb{P}}(\beta, Q^2) + f_{\mathbb{R}/p}(x_P) F_2^{\mathbb{R}}(\beta, Q^2)$$

$\alpha_{\mathbb{P}}(0)$ ,  $\alpha_{\mathbb{R}}(0)$ ,  $F_2^{\mathbb{P}}(\beta, Q^2)$ ,  $F_2^{\mathbb{R}}(\beta, Q^2)$  free fit parameters.

# $F_2^{D(3)}$ with Phenomenological Regge Fit.



Deviations from simple Regge model at large  $x_{\mathbb{P}}$ , small  $\beta$ .

# The pomeron intercept and $Q^2$

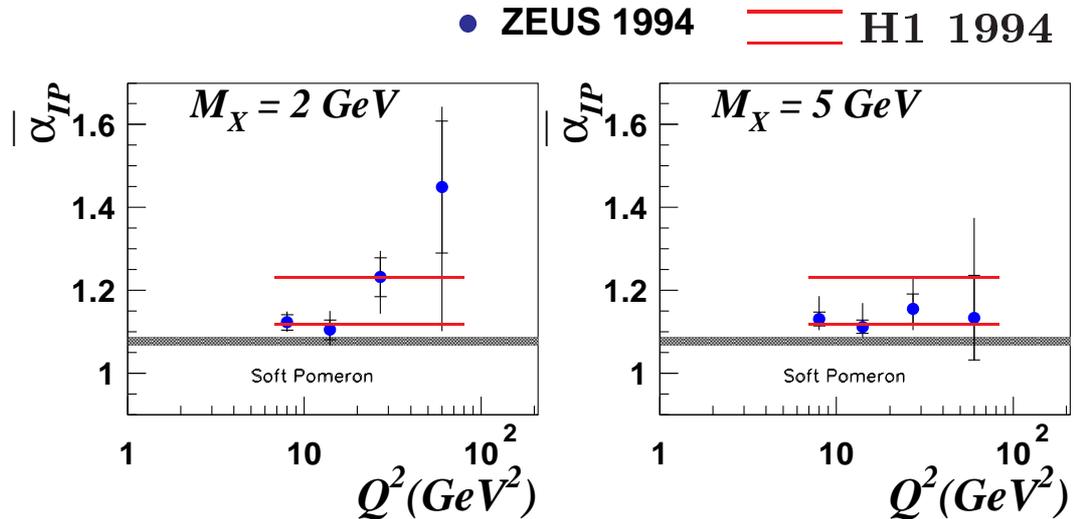
From H1 Phenomenological fits:

$$\alpha_{\mathbb{P}}(0) = 1.203 \pm 0.020 \text{ (stat.)} \pm 0.013 \text{ (syst.)} \begin{matrix} +0.030 \\ -0.035 \end{matrix} \text{ (model)}$$

Larger than in soft hadron-hadron physics ( $\alpha_{\mathbb{P}}(0) \sim 1.1$ ).  
Similar to exclusive  $J/\psi$  production.

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Comparison of H1 and ZEUS results:



... No significant variation with  $Q^2$  within measured kinematic range to present precision.

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Intercept of the sub-leading exchange in the H1 fits:

$$\alpha_{\mathbb{R}}(0) = 0.50 \pm 0.11 \text{ (stat.)} \pm 0.11 \text{ (syst.)} \begin{matrix} +0.09 \\ -0.10 \end{matrix} \text{ (model)}$$

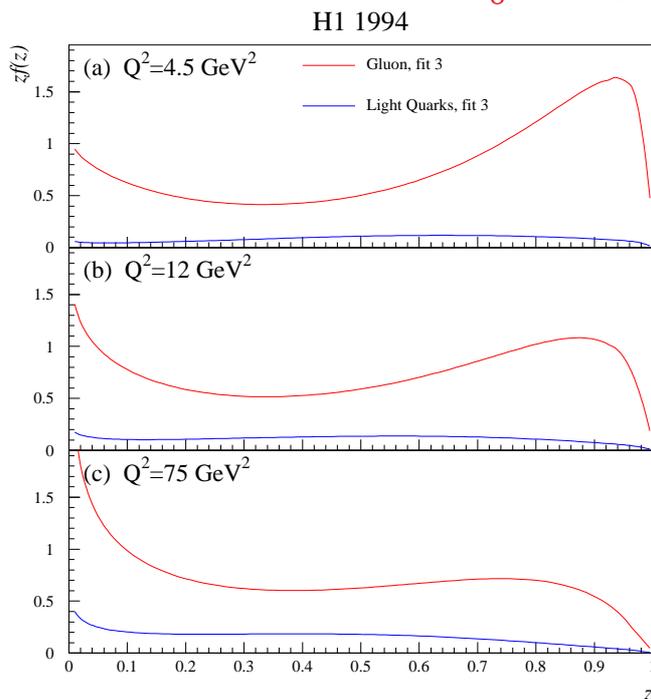
Consistent with  $f$ ,  $\omega$ ,  $\rho$  or  $a$  exchange.

# DGLAP Fits to $F_2^{D(3)}(x_P, \beta, Q^2)$

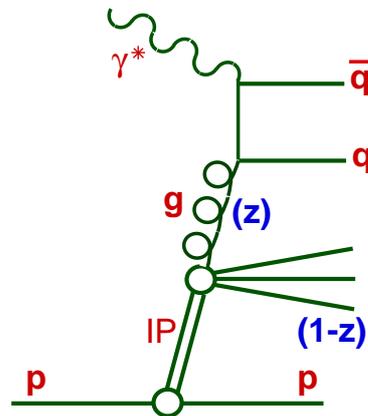
Can we think of the pomeron as a partonic object with single partons entering the hard interaction?

... Investigate the deep-inelastic structure of the exchange. Extend the Regge fits to  $x_P$  dependence with a QCD motivated model of the  $\beta/Q^2$  dependence.

- Parameterise IP  $q_s$  and  $g$  distributions with Chebychev polynomials at starting scale  $Q_0^2 = 3 \text{ GeV}^2$ .
- Assume a  $\pi$  structure function for IR.
- Evolve to  $Q^2 > Q_0^2$  using NLO DGLAP equations.

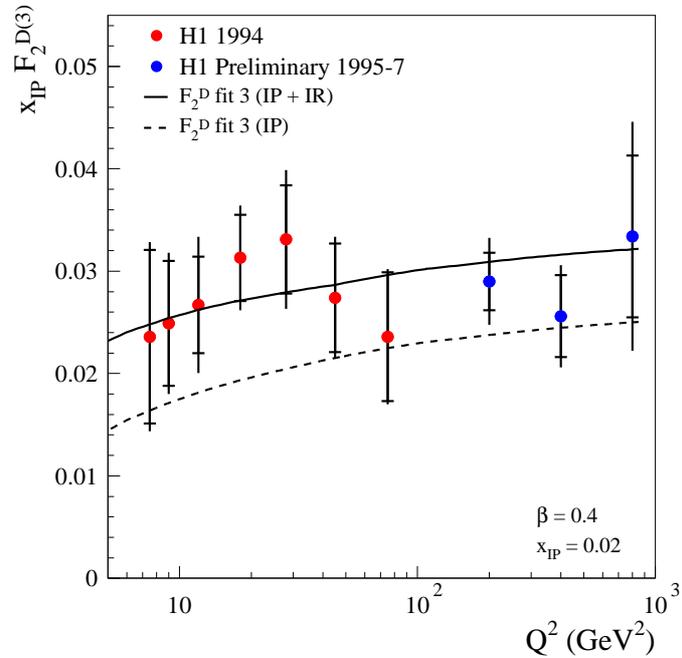
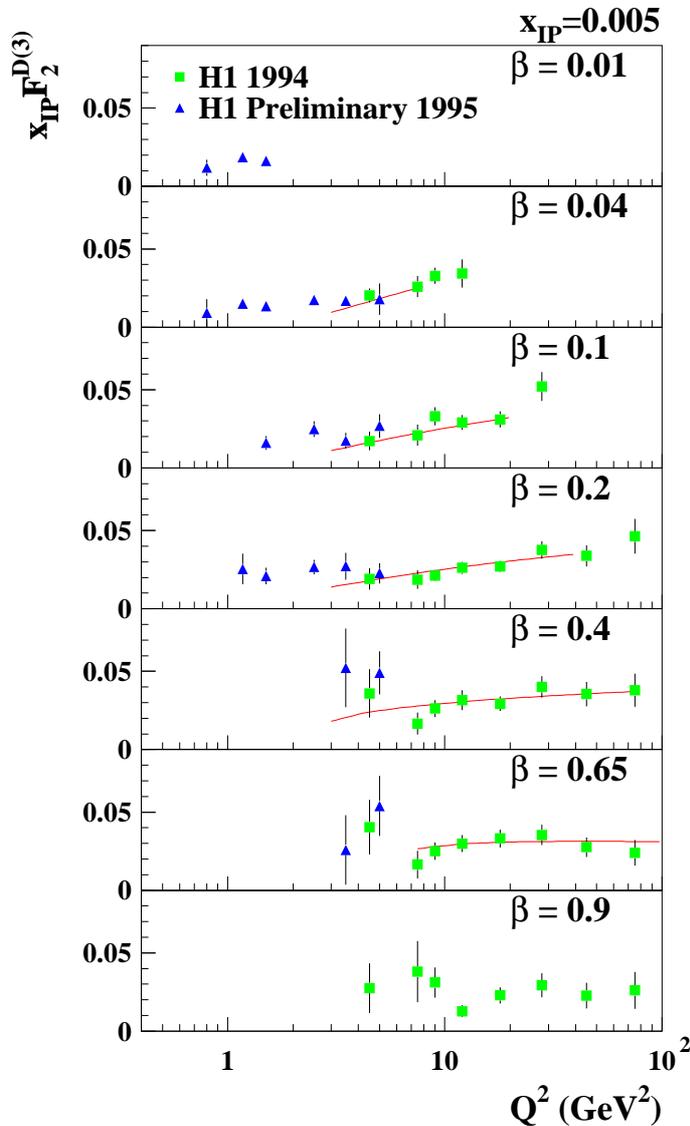


Acceptable fits only when IP is dominated by “hard” gluons.



$\sim 90\%$  gluon at  $Q^2 = 4.5 \text{ GeV}^2$ ,  $\sim 80\%$  at  $Q^2 = 75 \text{ GeV}^2$ .  
 Uncertainties: applicability of DGLAP evolution / higher twist effects?

# Scaling Violations of $F_2^{D(3)}$



Including data for  
 $0.8 < Q^2 < 800 \text{ GeV}^2$

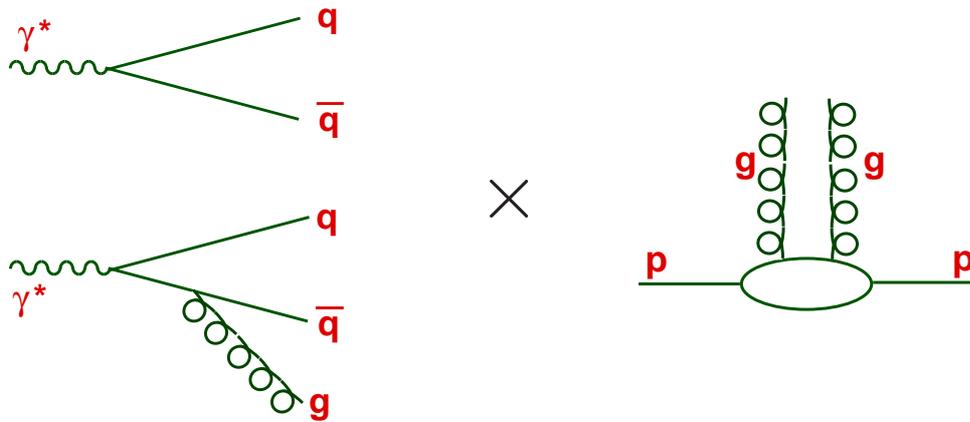
Rising scaling violations over large range of  $Q^2$  up to large  $\beta$  [c.f.  $F_2(x, Q^2)$ ].

Highly suggestive of a gluon dominated mechanism.

## Two-gluon / BFKL Models of $F_2^{D(3)}$

Can  $F_2^D$  be viewed in terms of a 2-gluon exchange model?

$q\bar{q}$  /  $q\bar{q}g$  production via the exchange of 2 gluons / BFKL ladder from the proton.



Investigate decomposition of data into leading / higher twist contributions, longitudinal / transverse photon interactions,  $q\bar{q}$  /  $q\bar{q}g$  final states.

e.g. Recent model (Bartels, Wüsthoff) with 3 significant contributions in convenient form to fit to  $F_2^D$  data.

$$F_{q\bar{q}}^T \propto \left( \frac{x_0}{x_P} \right)^{n_2(Q^2)} \beta(1 - \beta)$$

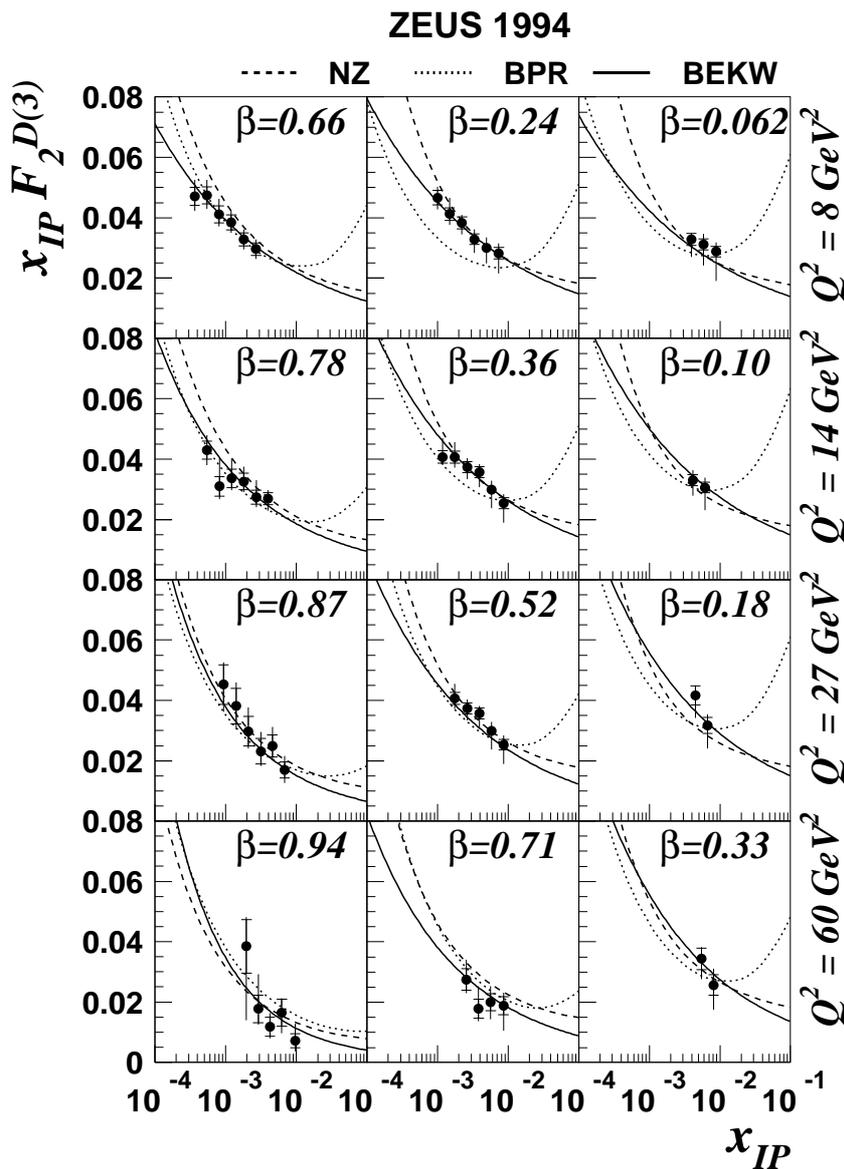
$$F_{q\bar{q}g}^T \propto \left( \frac{x_0}{x_P} \right)^{n_2(Q^2)} \alpha_s \ln \left( \frac{Q^2}{Q_0^2} + 1 \right) (1 - \beta)^\gamma$$

$$\Delta F_{q\bar{q}}^L \propto \left( \frac{x_0}{x_P} \right)^{n_4(Q^2)} \frac{Q_0^2}{Q^2} \left[ \ln \left( \frac{Q^2}{4Q_0^2\beta} + \frac{7}{4} \right) \right]^2 \beta^3 (1 - 2\beta)^2$$

(HT)

## 2-gluon / BFKL Exchange Models

Nikolaev & Zakharov, Bialas & Peschanski and Bartels & Wüsthoff models:

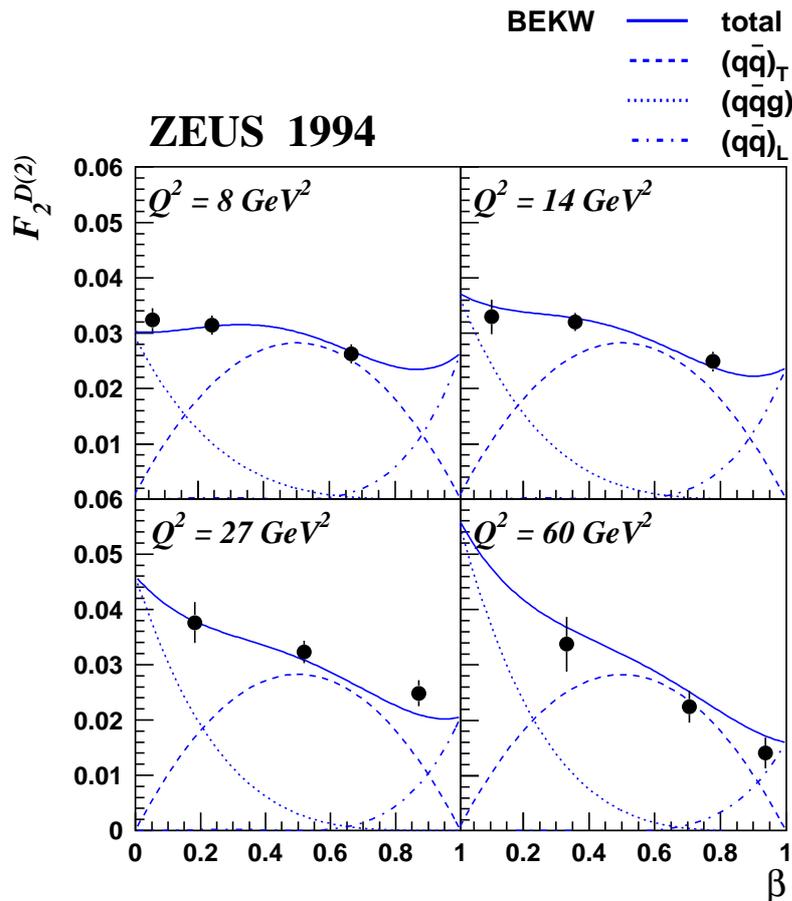


Photon fluctuation /  
2-gluon exchange  
models can be  
made to fit  $F_2^{D(3)}$ ,  
even at large  $\beta$

BP contains extra  $\mathbb{R}$  component (required by H1 data)

## $\beta$ dependence in the Bartels - Wüsthoff model.

Typical decomposition of the data in  $\beta$  and  $Q^2$  in a two-gluon exchange model.

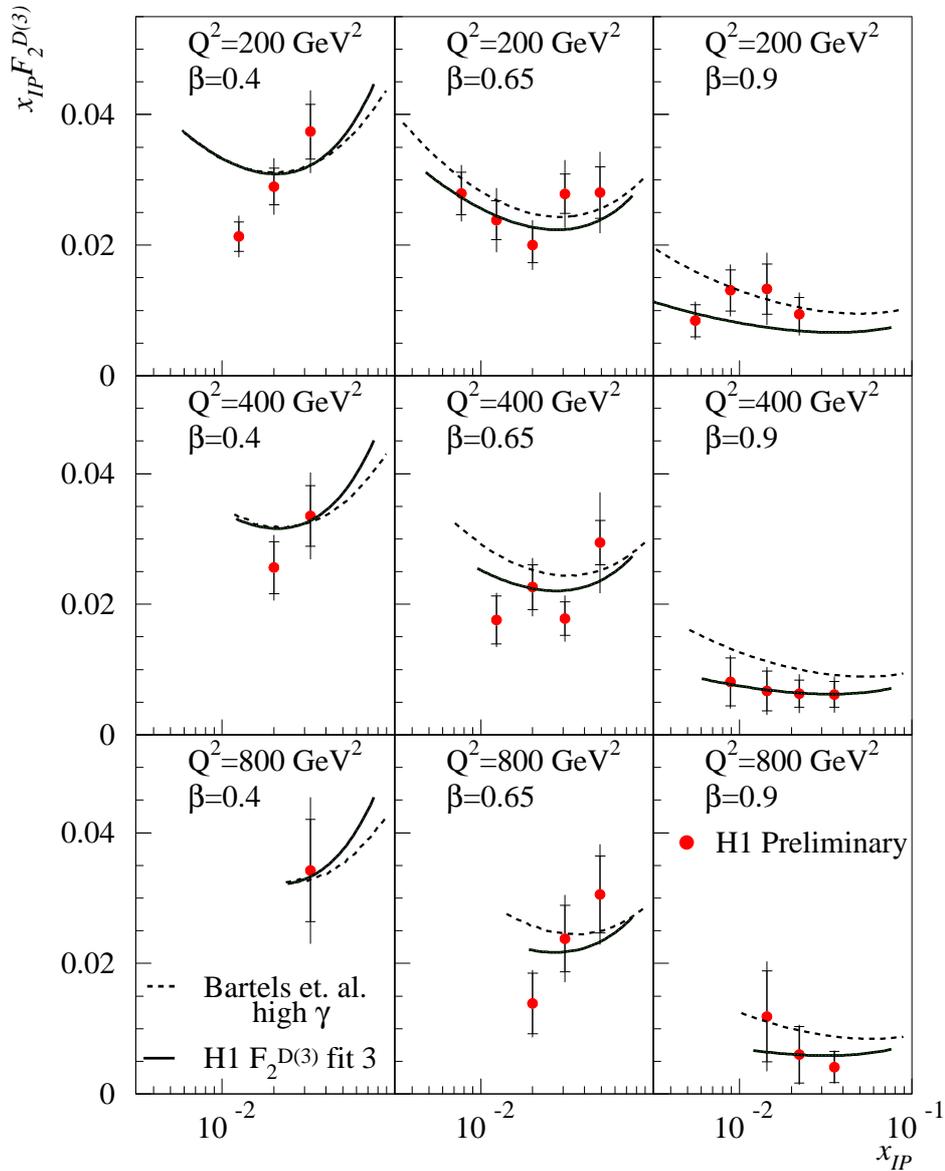


Mixture of  $q\bar{q}$  and  $q\bar{q}g$  final states.

Higher twist contribution important at large  $\beta$ .

These models make clear predictions for the partonic composition ( $q\bar{q}$ ,  $q\bar{q}g$ ) of the final state  $X$

# New $F_2^{D(3)}$ Data at large $Q^2$

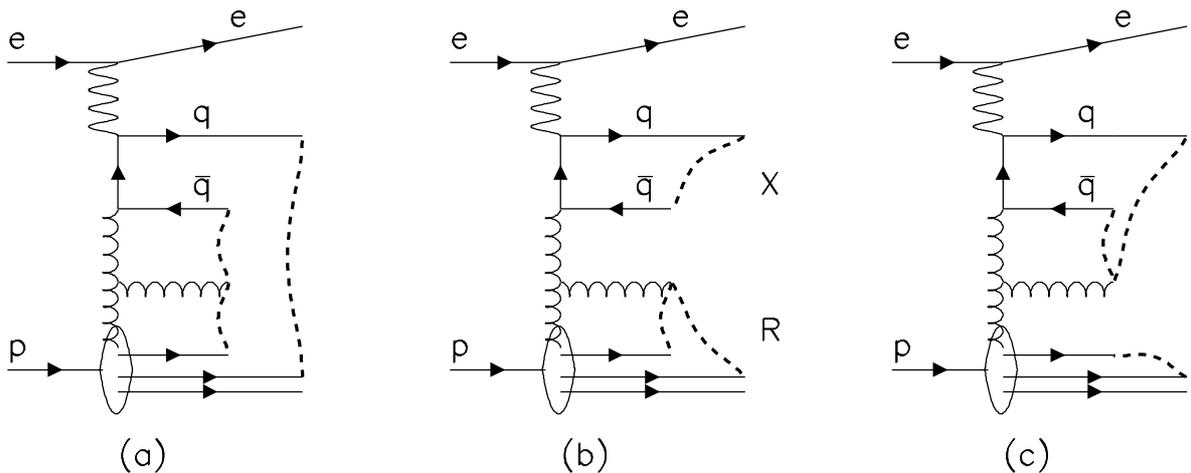


Extrapolations of both DGLAP and 2-gluon exchange models can describe the data up to  $Q^2 = 800 \text{ GeV}^2$ .

## Soft Colour Rearrangement Model of $F_2^{D(3)}$ (IP-free!)

Start from standard matrix elements / parton showers description of  $F_2(x, Q^2)$  (dominantly BGF at low  $x$ ).

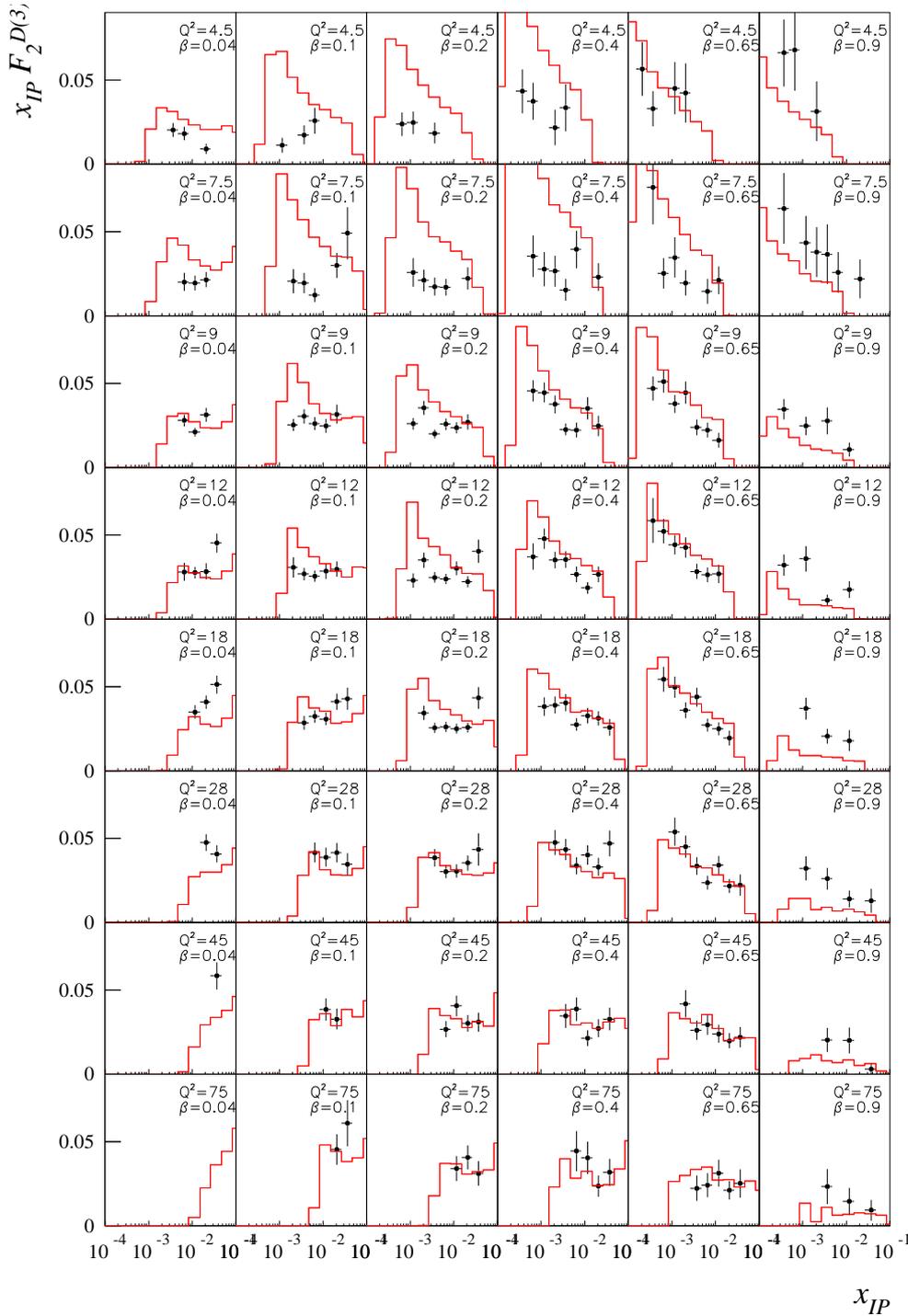
Additional non-perturbative interactions affect final state colour connections but not parton momenta.



Implemented in the Monte Carlo model LEPTO 6.5

Only one free parameter! - Probability of Soft Colour Interactions ... to be fixed by data.

# Comparison of $F_2^{D(3)}(\beta, Q^2, x_F)$ and LEPTO 6.5



• H1 1994 Data

— LEPTO

[Pr(SCI) = 0.5]

~ reasonable  
shape in  $x_F$ .

Does not describe  
 $Q^2$  dependence.

Fails at high  $\beta$   
(= low  $M_X$   
non-perturbative  
region).

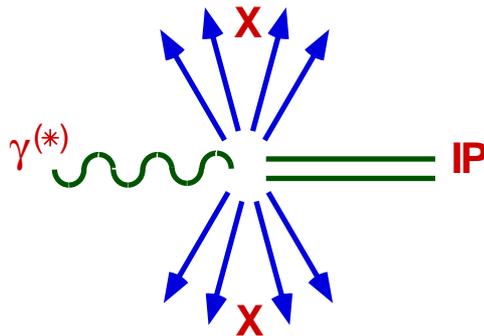
## The final state $X$ at low $x_P$

Many hadronic final state observables are sensitive to the QCD Structure of Diffraction

- Thrust, Sphericity
  - Energy flow
  - Particle spectra, multiplicities, correlations
  - Open charm production
  - Jet rates
- 

Studies are made in the rest frame of  $X$  ( $\equiv \gamma^* \text{IP}$  centre of mass).

$p_T$  etc. measured relative to the photon (collision) axis in this frame.

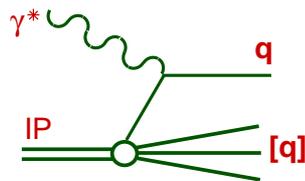


# Predictions for the final state $X$

In terms of DGLAP evolving IP model, distinguish between quark and gluon dominated pomeron.

$\mathcal{O}(\alpha)$

QPM



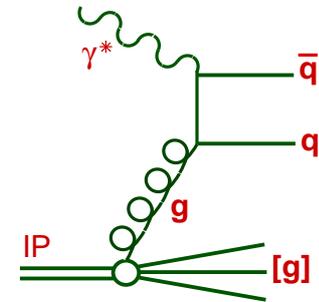
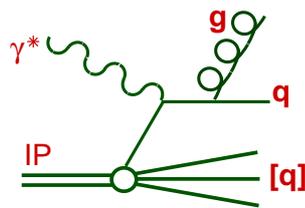
Hard processes

up to  $\mathcal{O}(\alpha_s)$

$\mathcal{O}(\alpha \alpha_s)$

QCD-C

BGF



↑

Quarkonic IP

Dominant  $q\bar{q}$

Low  $p_T$  / aligned

Few jets

$\sim 3_c \bar{3}_c$

↑

Gluonic IP

Dominant  $q\bar{q}g$

High  $p_T$  / non-aligned

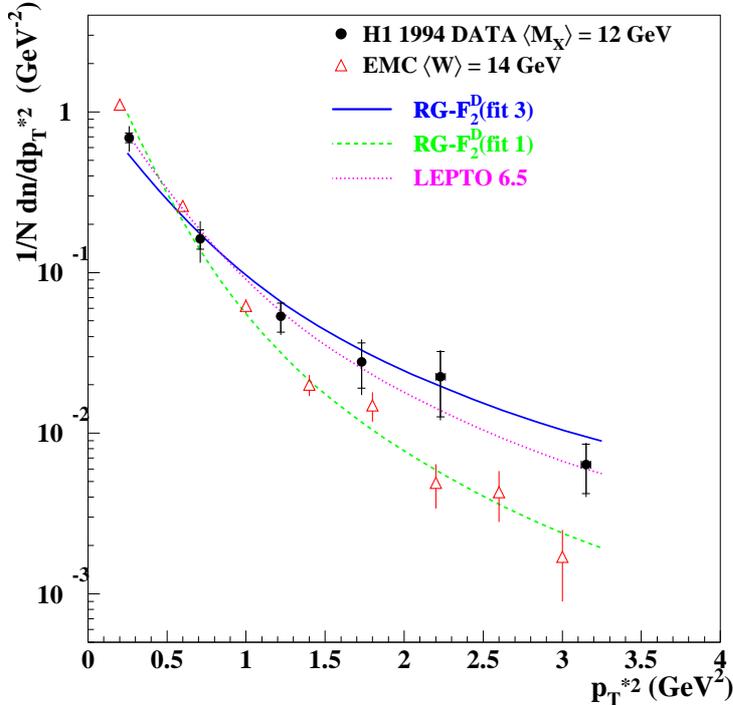
Many jets

$\sim 8_c 8_c$

In terms of 2-gluon exchange models, investigate the decomposition of the data into of  $q\bar{q}$ ,  $q\bar{q}g$  final states.

# Charged Particle $p_T^*$ Distribution

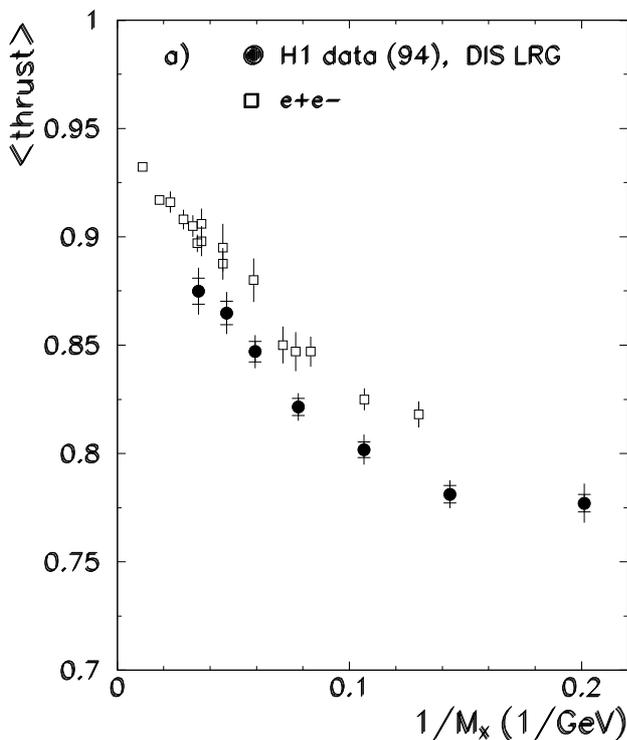
$p_T^*$  measured relative to  $\gamma^*$  axis in rest frame of  $X$



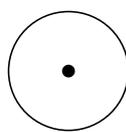
Gluons required to generate hard  $p_T^*$  distribution.

BGF /  $q\bar{q}g$  contributions.

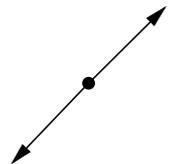
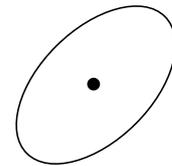
# Thrust - measure of '2-jettiness'



$$1/2 < T < 1$$



ISOTROPIC



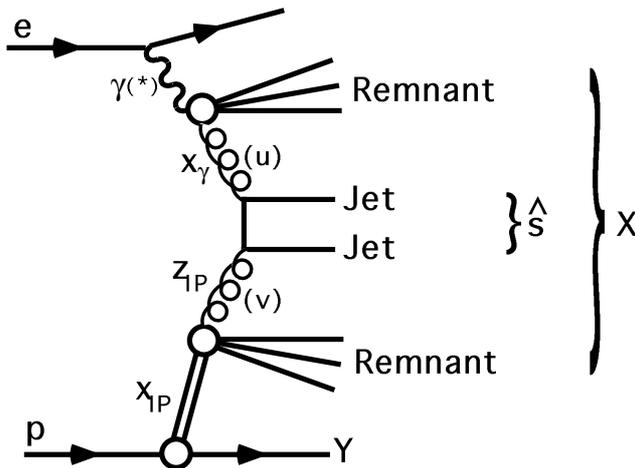
$q\bar{q}$  PARTONS

Gluons required to generate lower thrust than  $q\bar{q}$ .

Hadronisation effects decrease thrust at low  $M_X$ .

# Diffraction Dijet Production

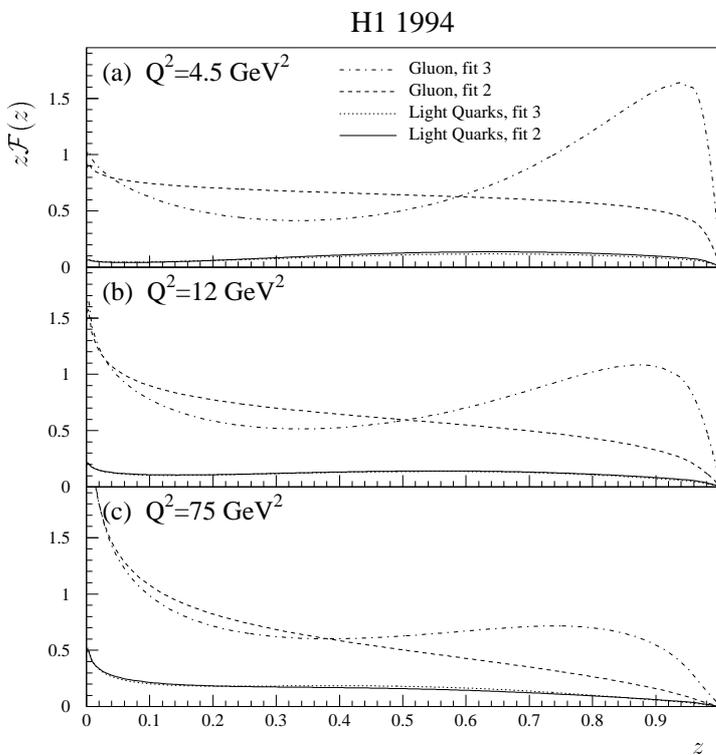
Search for dijet structures as components of the system  $X$   
 Cone algorithm requiring  $p_T^{\text{jet}} > 5 \text{ GeV}$  relative to  $\gamma^{(*)}$   
 axis in rest frame of  $X$ .



Can measure fractions of  $\gamma^{(*)}$   
 and IP momentum transferred  
 to the dijet system.

$$x_\gamma^{\text{jets}} = (P.u) / (P.q)$$

$$z_{\text{IP}}^{\text{jets}} = (q.v) / (q.[P - Y])$$

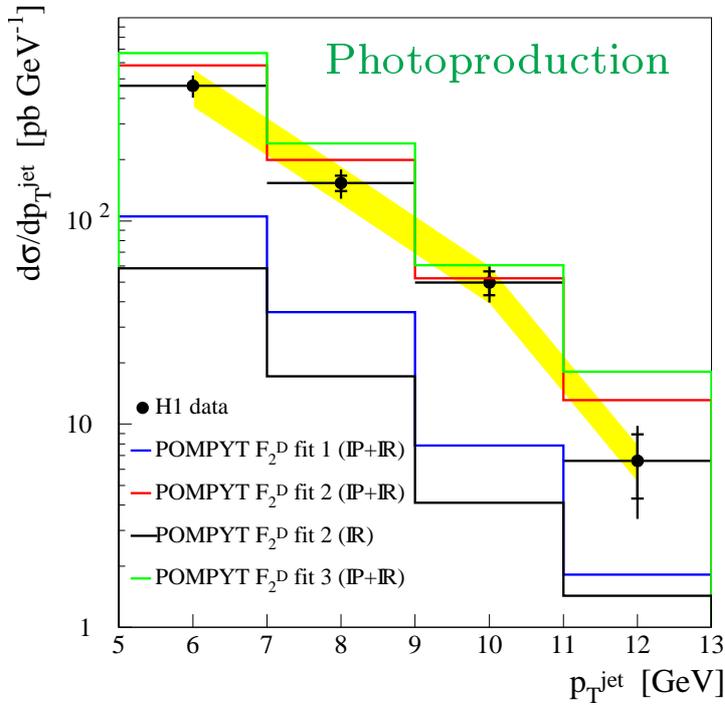


The results are  
 compared with 3  
 sets of IP and IR  
 parton distributions  
 from DGLAP fits to  $F_2^D$ ,  
 evolving with  $\hat{p}_T$   
 as a scale.

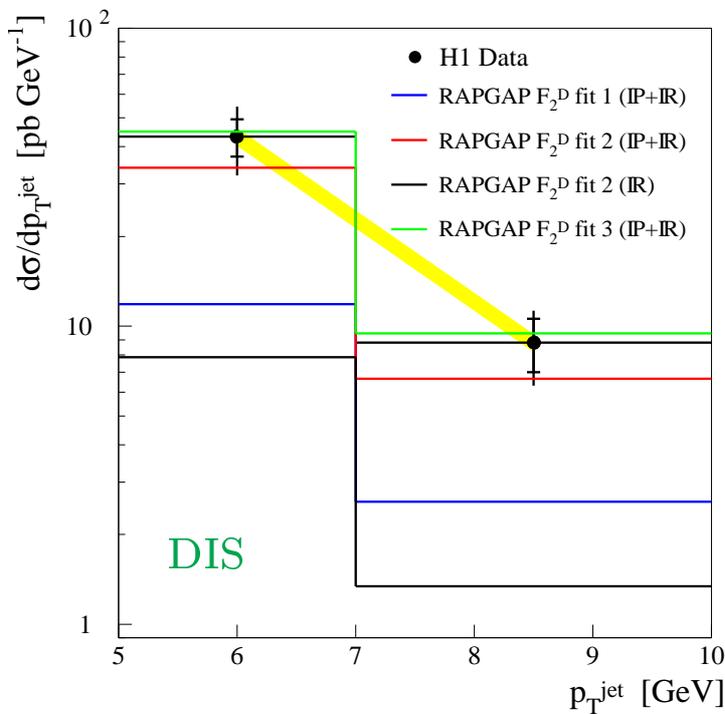
‘Flat’ and ‘peaked’ gluon  
 dominated pomeron and  
 quark dominated pomeron.

# Dijet $p_T^{\text{jet}}$ Distributions

$p_T^{\text{jet}}$  relative to  $\gamma^*$  axis in rest frame of  $X$



Sub-leading exchange contribution  $\sim 15\%$

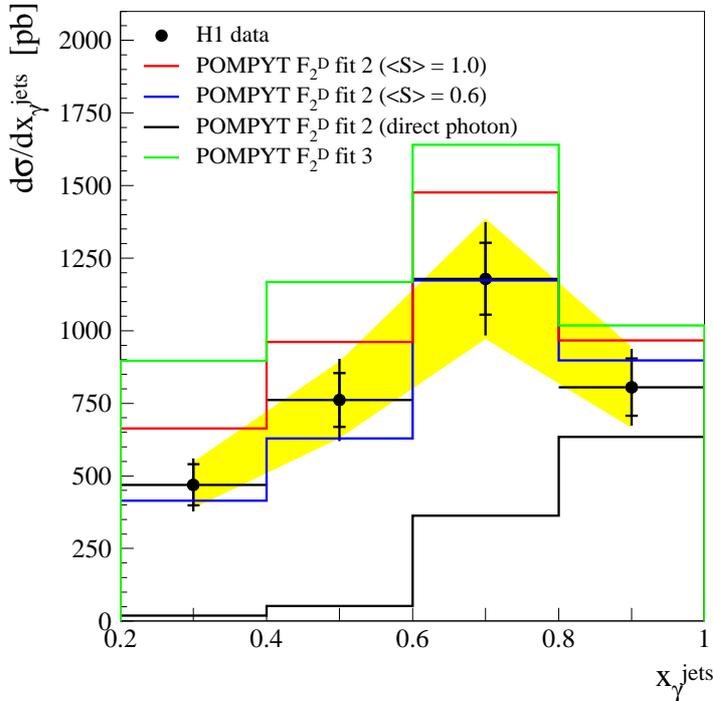


Data reasonably described by gluon dominated IP

Quark dominated IP low by a factor  $\sim 5$

# $x_\gamma^{\text{jets}}$ and $z_{\text{IP}}^{\text{jets}}$ Distributions

Fraction of  $\gamma$  momentum entering the hard scattering.

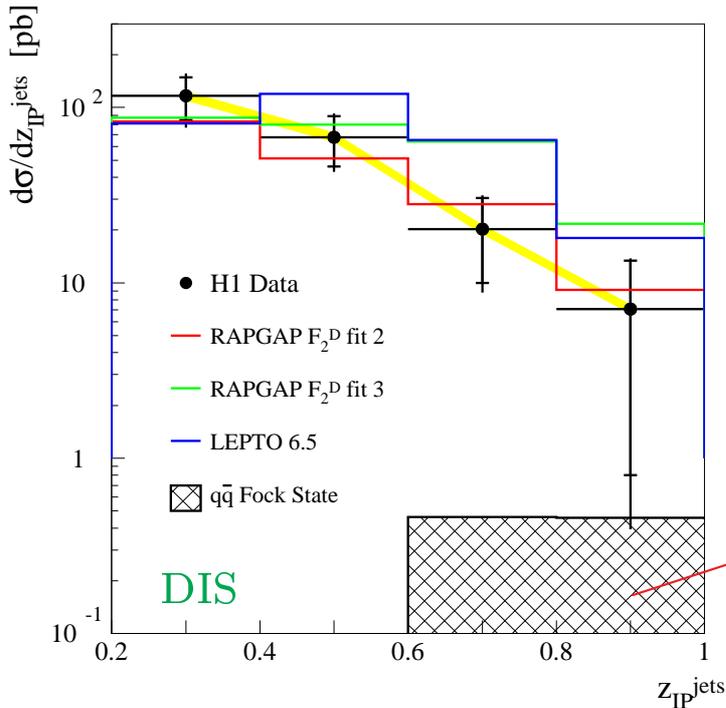


## Photoproduction

Both direct  $x_\gamma = 1$  and resolved  $x_\gamma < 1$  contributions observed.

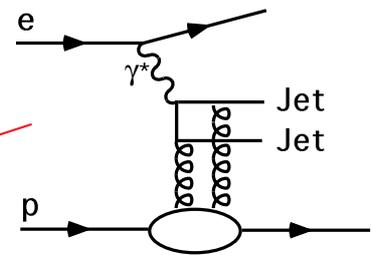
Possible rapidity gap destruction effects where there is a photon remnant.

Fraction of IP momentum entering the hard scattering.



LEPTO -  $\text{Pr}(\text{SCI}) = 0.5$  is close to DIS data.

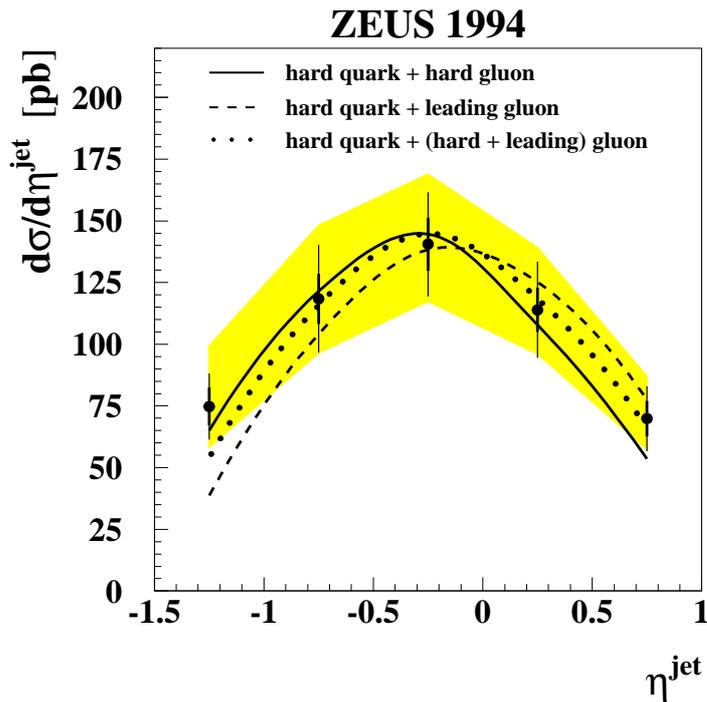
$q\bar{q}$  final state alone cannot describe data.



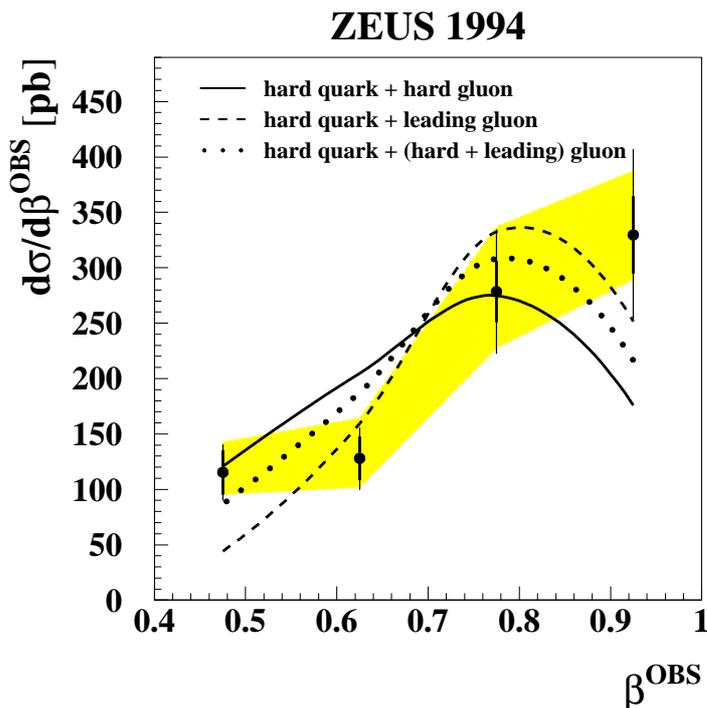
$q\bar{q}g$  states also required.

# Combined DGLAP fit to $F_2^D$ and photoproduction dijet rates

Taking various parameterisations for quark and gluon structure of the pomeron ...



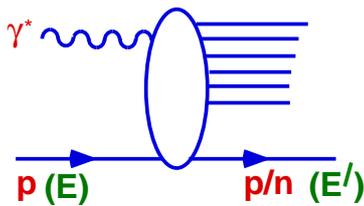
Acceptable fits only  
when pomeron is  
dominated by  
'hard' gluons.



Resulting fraction of  
exchanged momentum  
carried by gluons  
is  $\sim 70 - 90 \%$   
in region accessed.

# Leading Baryon Production in DIS

ZEUS and H1 can detect and measure forward protons and neutrons with a wide range of energies.



$$z \text{ (H1)} = x_L \text{ (ZEUS)} = E' / E$$

( $x_L = 1 - x_P$  if exclusive  
 $p / n$  at proton vertex.)

---

Leading protons: H1 ( $p_T \lesssim 0.2 \text{ GeV}$ ,  $0.7 \lesssim x_L \lesssim 0.9$ )  
ZEUS ( $p_T \lesssim 0.85$ ,  $0.6 \lesssim x_L \lesssim 1$ )

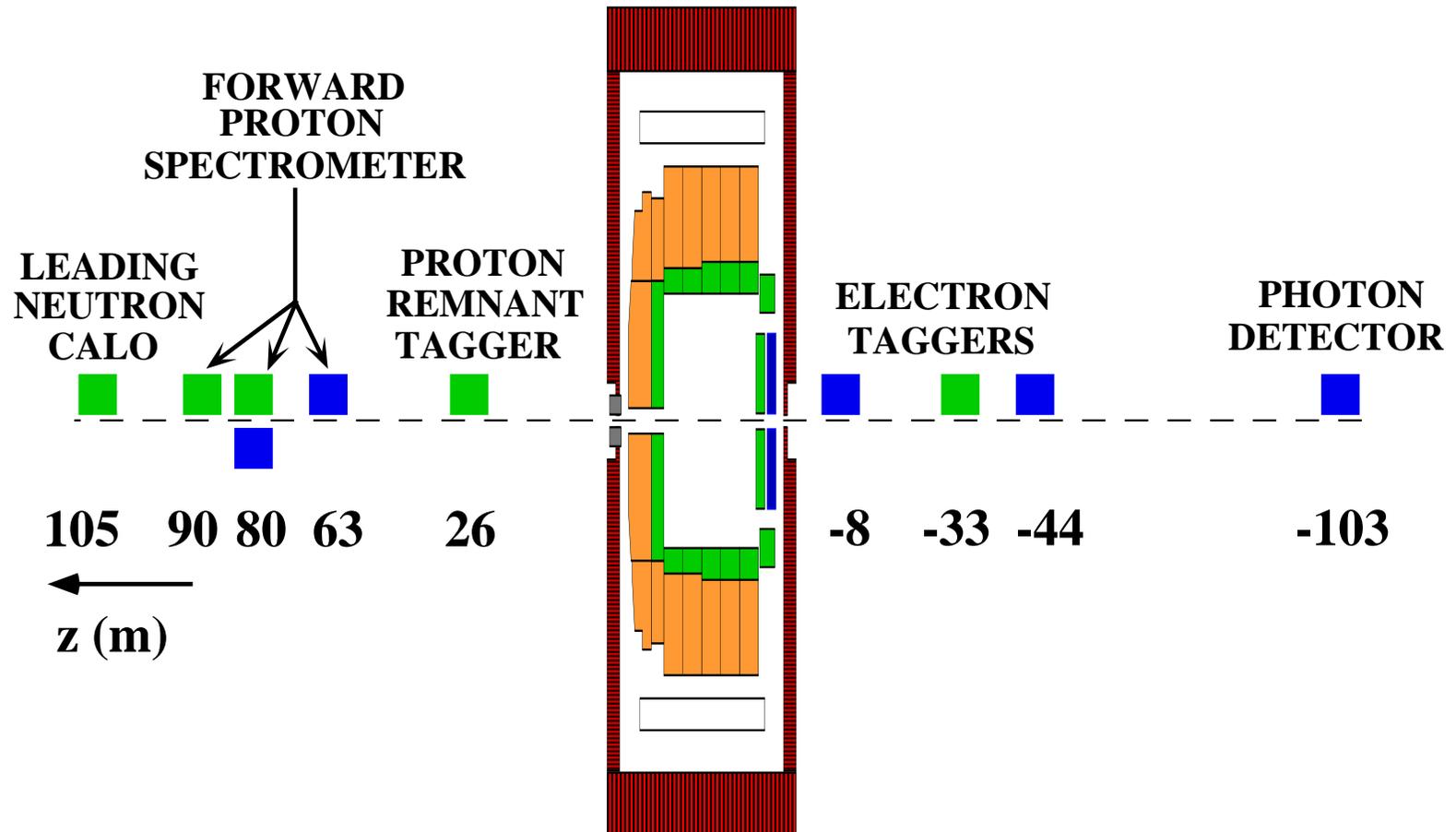
Leading neutrons: H1 ( $p_T \lesssim 0.2 \text{ GeV}$ ,  $0.2 \lesssim x_L \lesssim 1$ )  
ZEUS ( $p_T \lesssim 0.85$ ,  $0.2 \lesssim x_L \lesssim 1$ )

---

Several interesting issues ...

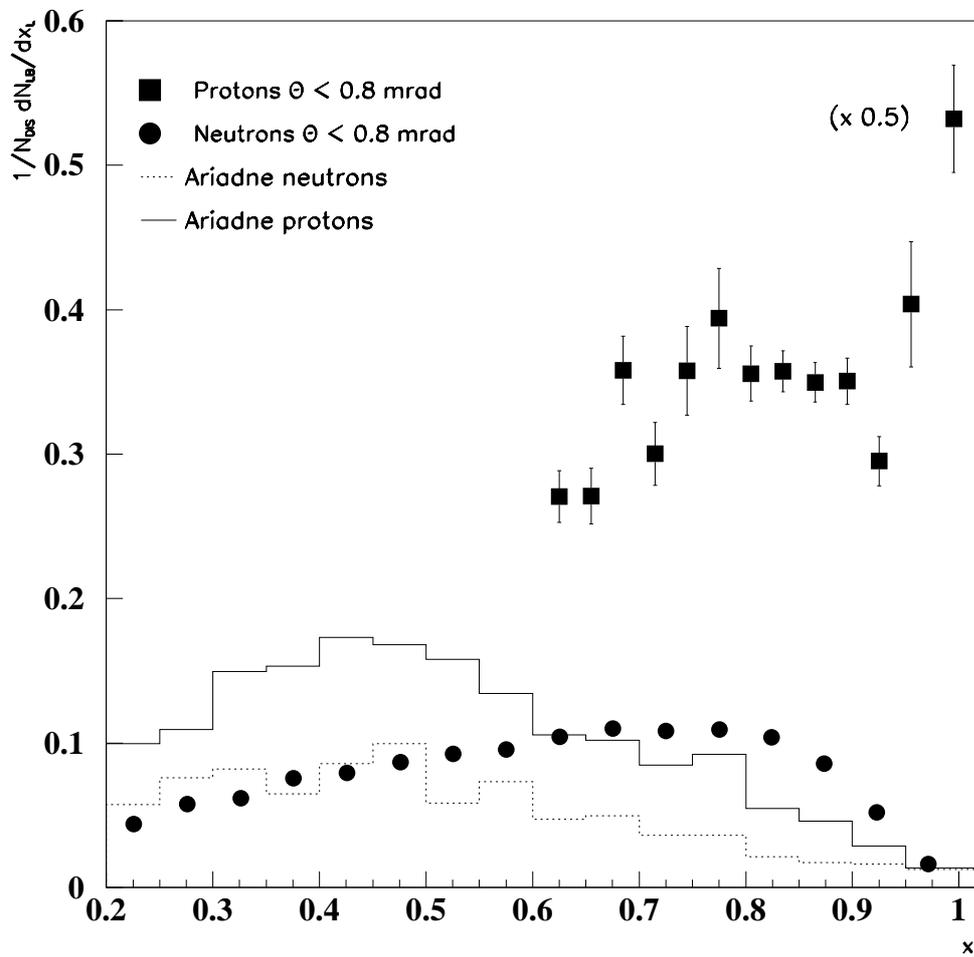
- Can standard fragmentation models describe the proton fragmentation region?
- Are Regge models applicable to the soft physics at the proton vertex in the large  $x_P$  region?
- In large  $x_P$  region, probe the sub-leading exchanges, especially  $I = 1$   $\pi$ -exchange.

# BEAM-LINE INSTRUMENTATION



# $x_L$ Distributions of Protons and Neutrons

## ZEUS PRELIMINARY 1995



Diffractive region.

$\sim 12.5\%$  of DIS events have a leading  $p$  or  $n$  ( $\theta < 0.8$  mrad,  $0.6 < x_L < 0.9$ ).

Lots of leading baryons outside diffractive region.

Colour dipole (ARIADNE) and parton showers + SCI (LEPTO) fragmentation models fail to predict rates and shapes.

Leading proton rate  $>$  leading neutron rate. (expect  $n = 2p$  if only  $I = 1$  exchange (e.g.  $\pi$ )).

# Regge models of Leading Baryon Production

Try to simultaneously understand leading protons and neutrons in terms of combinations of exchanges.

Similar models employed by both collaborations -  $\mathbb{P}$ ,  $\mathbb{R}$ ,  $\pi$  exchange.

$\mathbb{R}$  is isoscalar ( $f, \omega$ ),  $\rightarrow$  contributes to leading protons only.

---

$$\sigma^{\gamma^* p \rightarrow NX}(z, t, \beta, Q^2) \sim \sum_{i=\mathbb{P}, \mathbb{R}, \pi} f_{i/p}(z, t) F_2^i(\beta, Q^2)$$

$$\text{where } \beta = \frac{x}{1-x_L}$$

---

Assume:  $\mathbb{P}$              $\alpha(0) \sim 1.2, I = 0 - p$

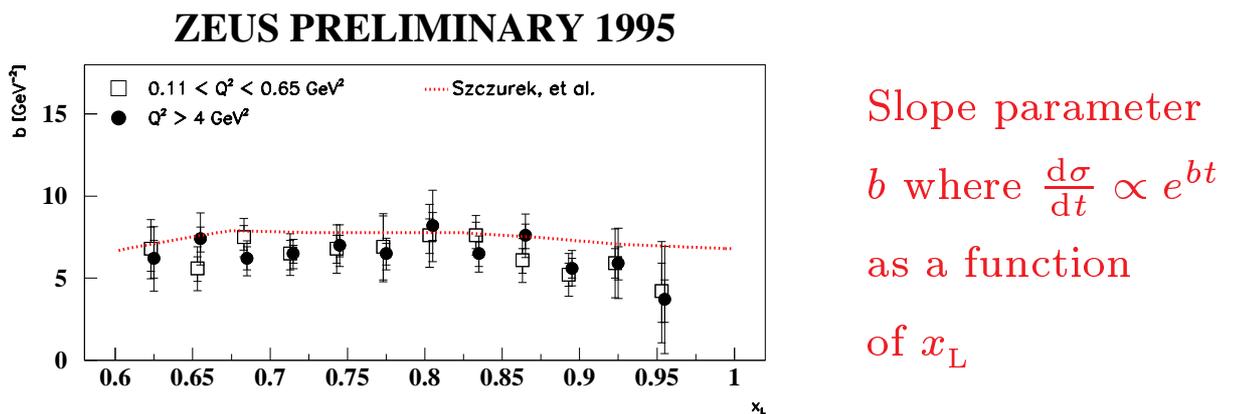
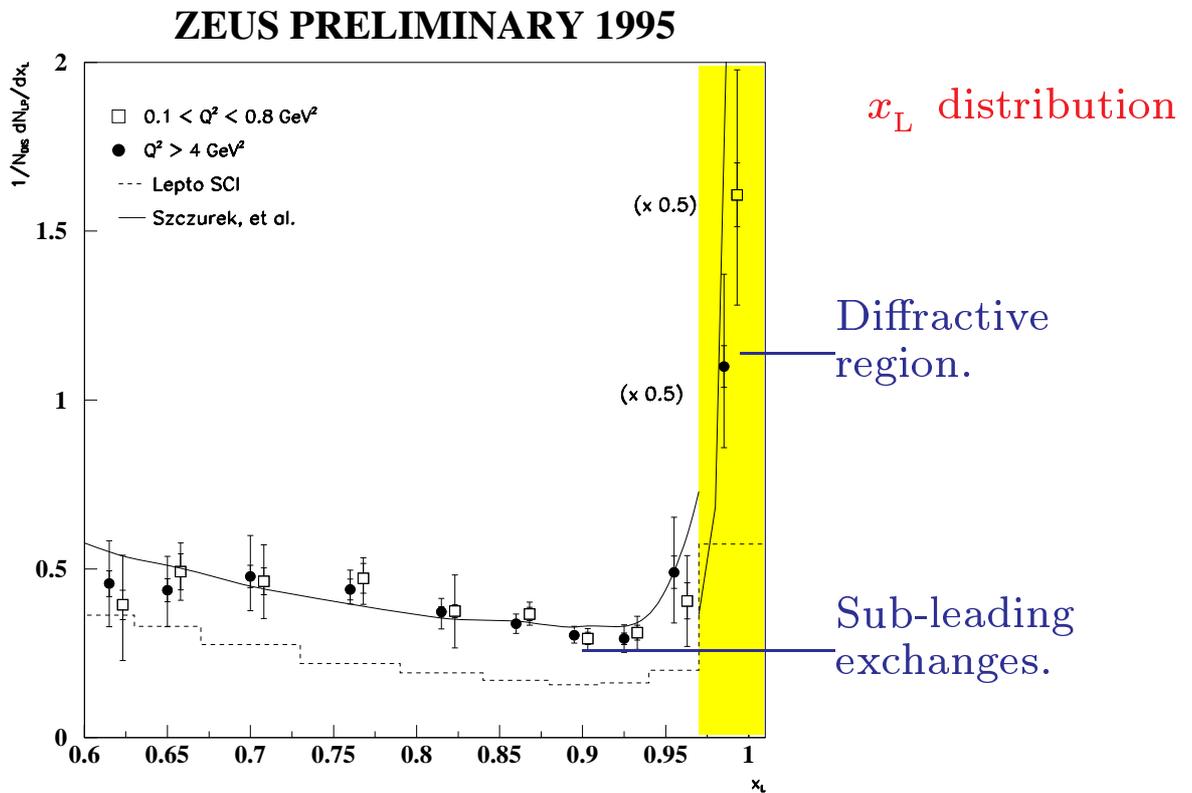
$\mathbb{R}$  ( $f, \omega$ )  $\alpha(0) \sim 0.5, I = 0 - p$

$\pi$              $\alpha(0) \sim 0.0, I = 1 - p, n$

Fluxes  $f_{i/p}$  constrained by hadron-hadron data.

For  $F_2^i$ , assume low-x structure function universality (GRV- $\pi$  for all contributions).

# Leading Protons compared with Regge Model

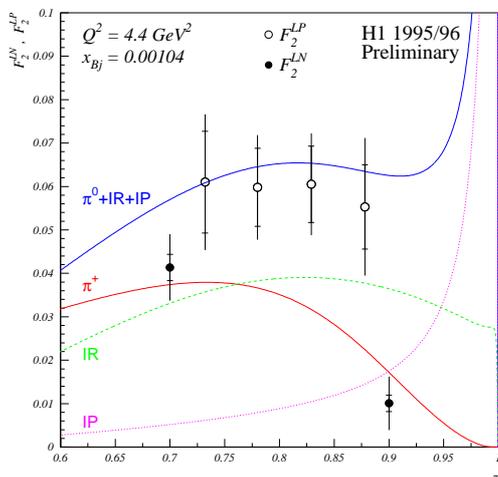
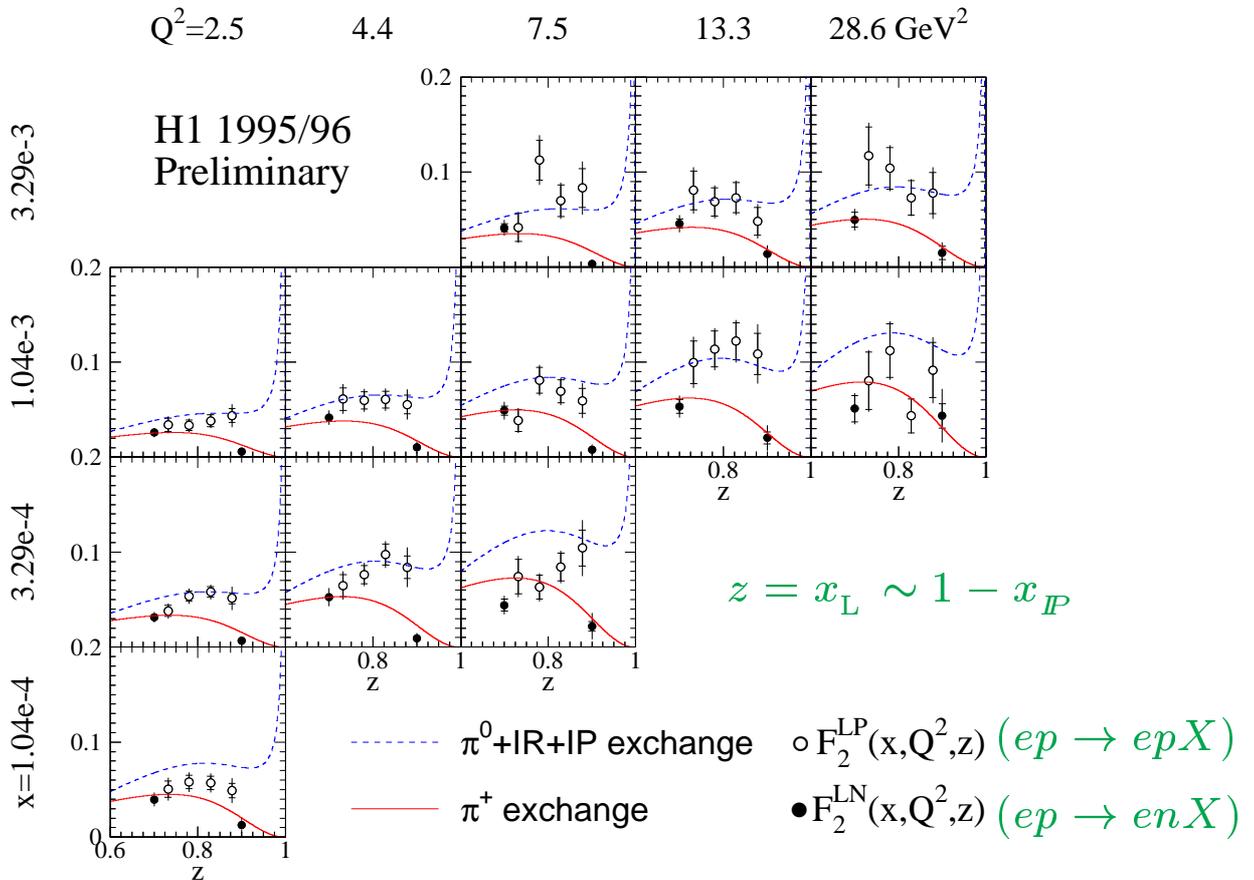


The Regge model describes the  $x_L$  and  $t$  dependence of the proton data integrated over  $x$  and  $Q^2$ .

# Leading Protons and Neutrons v. Regge model

H1 Define leading proton and neutron structure functions in the same way as  $F_2^{D(3)}$ , but for  $p_T^p < 200$  MeV.

$$\frac{d\sigma^{ep \rightarrow eNX}}{dx dQ^2 dx_L} = \frac{4\pi\alpha^2}{xQ^4} \left(1 - y + \frac{y^2}{2}\right) F_2^{LN(3)}(x, Q^2, x_L)$$



Proton and neutron  $x, Q^2$

$x_L$  dependences well described.

$\pi$  exchange prediction saturates neutron cross section.

(Also true for  $pp \rightarrow nX$  etc.)

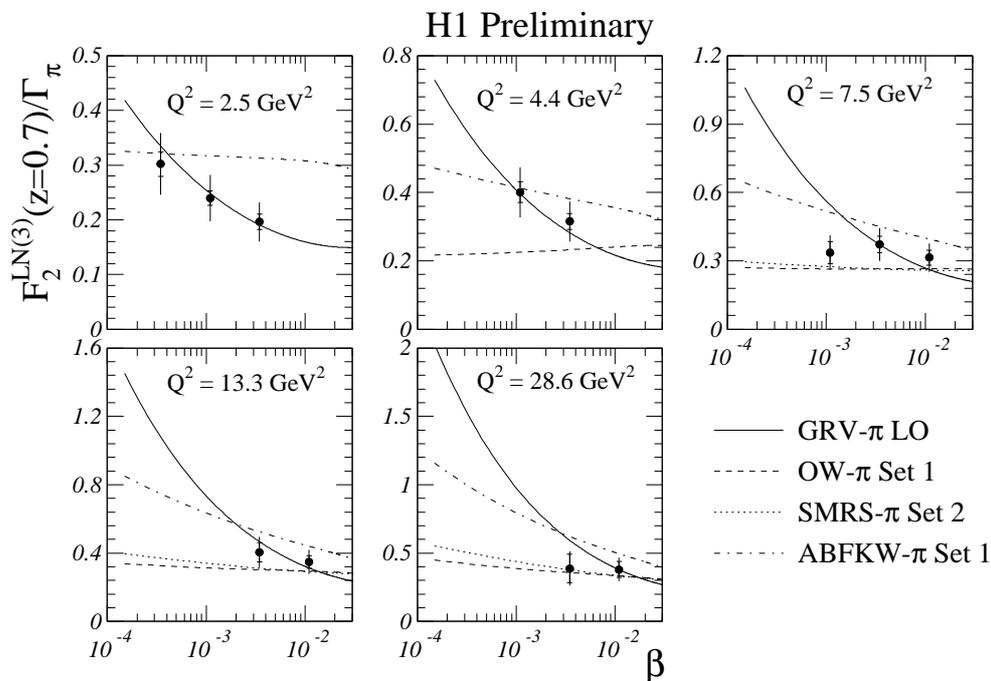
# Investigation of the pion structure function

Since  $\pi$  exchange saturates the neutron production rate, within the Regge model the quantity ...

$$F_2^{\text{LN}(3)}(\beta, Q^2, x_L = 0.7) / \Gamma_\pi(x_L = 0.7)$$

where  $\Gamma_\pi = \int_{t_0}^{t_{\min}} f_{\pi/p}(z, t) dt \sim 0.131$

... can be interpreted as a pion structure function.



Matches GRV parameterisation (based on high  $x$  Drell-Yan data) well.

A measurement of the pion structure function in a previously unexplored low  $x$  region?

## Summary

- Colour-singlet exchange processes constitute a significant fraction of the DIS cross section.
- Data span the transition between ‘soft’ and ‘hard’ diffraction.
- Properties of the effective  $\mathbb{P}$  describing vector meson production depend on  $Q^2$ ,  $t$ ,  $m_V$ .
- Where the pomeron is ‘hard’, 2-gluon exchange models of vector meson production are successful.
- $\mathbb{P}$  exchange also significant in DIS diffractive dissociation at low  $x_{\mathbb{P}}$ .
- $\alpha_{\mathbb{P}}(0)$  describing  $F_2^{D(3)}$  larger than in soft hadronic interactions.
- $F_2^{D(3)}$  and final state studies indicate that the  $\mathbb{P}$  is dominated by ‘hard’ gluons.
- Clear evidence for  $q\bar{q}g$  as well as  $q\bar{q}$  final states in DIS diffractive dissociation.
- Additional meson exchanges present at larger  $x_{\mathbb{P}}$ ;  $\pi$  dominant in neutron channel, additional isosinglet  $\mathbb{R}$  ( $f$ ,  $\omega$ ) in proton channel.