

Thanks to many H1 colleagues, in particular Yves Coppens, Carrie Johnson, Paul Thompson (Birmingham), Micha Kapishin (Dubna), Paul Laycock (Liverpool) Frank-Peter Schilling (Karlsruhe)

Much of that shown is taken from these two closely related recently published papers, hep-ex/0606003,4

DESY 06-049 May 2006 15 SN 0418-9833

DESY 06-048 May 2006

ntXiv:hep-ex/0606003 v1

15 SN 0418-9833

Measurement and QCD Analysis of the Diffractive Deep-Inelastic Scattering Cross Section at HERA

H1 Collaboration

Abstract

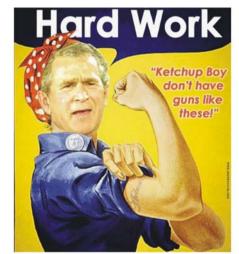
A detailed analysis is presented of the diffractive deep-inelastic scattering process $ep \rightarrow$ eXY, where Y is a proton or a low mass proton excitation carrying a fraction $1-x_m > 0.95$ of the incident proton longitudinal momentum and the squared four-momentum transfer at the proton vertex satisfies $|t| < 1 \text{ GeV}^2$. Using data taken by the H1 experiment, the cross section is measured for photon virtualities in the range $3.5 \le Q^2 \le 1600 \text{ GeV}^2$, triple differentially in x_{μ} , Q^2 and $\beta \equiv x/x_{\mu}$, where x is the Bjorken scaling variable. At low x_p , the data are consistent with a factorisable x_p dependence, which can be described by the exchange of an effective pomeron trajectory with intercept $a_{\mu}(0)$ = 1.118 ± 0.008 (exp.) $^{+0.023}_{-0.010}$ (model). Diffractive parton distribution functions and their uncertainties are determined from a next-to-leading order DGLAP QCD analysis of the Q2 and β dependences of the cross section. The resulting gluon distribution carries an integrated fraction of around 70% of the exchanged momentum in the Q^2 range studied. Total and differential cross sections are also measured for the diffractive charged current process $e^+p \rightarrow \overline{\nu}_e XY$ and are found to be well described by predictions based on the diffractive parton distributions. The ratio of the diffractive to the inclusive neutral current op cross sections is studied. Over most of the kinematic range, this ratio shows no significant dependence on Q^2 at fixed x_p and x or on x at fixed Q^2 and β .

Diffractive Deep-Inelastic Scattering with a Leading Proton at HERA

H1 Collaboration

Abstract

The cross section for the diffractive deep-inelastic scattering process $ep \rightarrow eXp$ is measured, with the leading final state proton detected in the H1 Forward Proton Spectrometer. The data analysed cover the range $x_P < 0.1$ in fractional proton longitudinal momenaum loss, $0.08 < |k| < 0.5 \text{ GeV}^{-2}$ in squared four-momentum transfer at the proton vertex, $2 < Q^2 < 50 \text{ GeV}^2$ in photon virtuality and $0.004 < \beta \equiv x/x_P < 1$, where x is the Bjorken scaling variable. For $x_P \lesssim 10^{-2}$, the differential cross section has a dependence of approximately $d\sigma/dt \propto e^{\beta t}$, independently of x_P , β and Q^2 within uncertainties. The cross section is also measured triple differentially in x_P , β and Q^2 . The ap dependence is interpreted in terms of an effective pomeron trajectory with intercept $a_P(0) = 1.114 \pm 0.018 (\text{stat.}) \pm 0.012 (\text{syst.}) \stackrel{+0.040}{_{-0.020}} (\text{model})$ and a sub-leading exchange. The data are in good agreement with an H1 measurement for which the event selection is based on a large gap in the apidity distribution of the final state hadrons, after accounting for proton dissociation contributions in the latter. Within uncertainties, the dependence of the cross section on x and Q^2 can thus be factorised from the dependences on all studied. variables which characterise the proton vertex, for both the pometon and the sub-leading ex change



8 years, 93 pages, 833 data points ... number of readers tbc! "... almost worth the wait" (J.Forshaw)

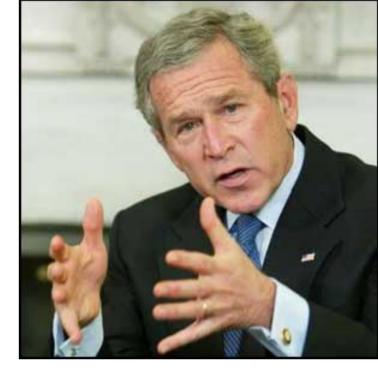
Contents

- Introduction to parton densities, DIS, HERA and H1
- Diffractive DIS
- Two measurement methods and their comparisons



- The 'hard' physics: tackling diffraction in QCD diffractive parton densities (DPDFs)
- Testing diffractive parton densities

• ... but what do diffractive parton densities actually *mean*?



The LHC ... where most HEP talks start!...

LHC tunnel (50-100m underground)

The LHC

ATLAS site

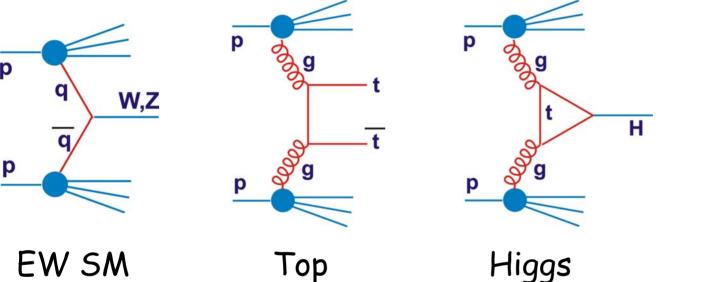
Proton-proton collisions at ultra-high energy

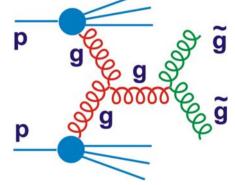
(E_{CMS} = 14 TeV) ar uminosity (10³⁴ cm⁻² s⁻¹)

CERN main site

What is a Proton?

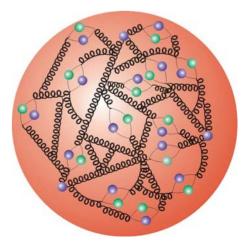
Most physics at LHC and Tevatron requires a precise knowledge of the quark and gluon contents of the proton.



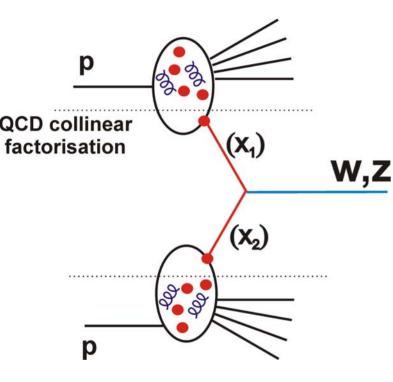


Exotics

To fully calculate strong interaction consequences of uud valence quarks, we would have to fully solve QCD. ... we can't do that, but we do know some things about it!...



Factorising away the Unknown



- QCD factorisation theorem allows us to define universal parton density functions (PDFs), same for proton in all contexts.

- QCD evolution (`DGLAP' approxⁿ) tells us how the partons evolve as scale (e.g. mass produced) changes. ... i.e, we just need to know the x dependence at a single scale ...

We cannot calculate PDFs (maybe one day on lattice) ... so we have to determine the PDFs experimentally

Parton Densities from Experiment... DIS

"You don't find out how a watch works by throwing other watches at it!"

Most precise information on PDFs comes from `Deep inelastic leptonnucleon Scattering' ... Point-like probe, which doesn't feel strong colour field ... a `snapshot' of proton, mainly via photon exchange.

p

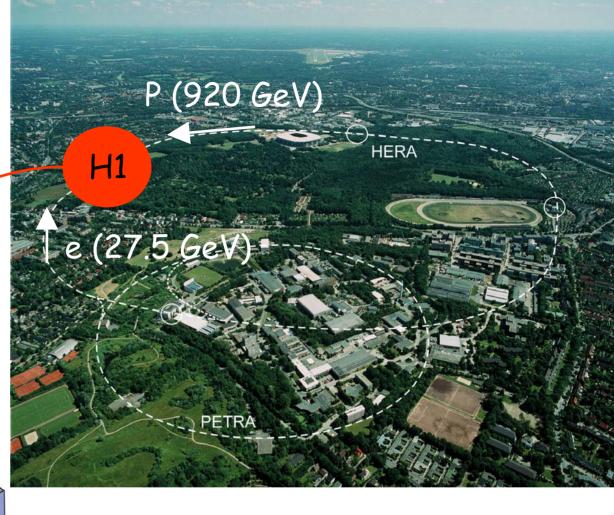
(X)

x = Momentum fraction of struck parton
 Q² = Exchanged boson virtuality ...
 scale or resolving power!

HERA and H1

• The world's only ever ep collider, studying proton structure and QCD (1992-2007).

R



Fixed target equivalent energy
 Of 50 TeV

• ... "the world's most powerful microscope"

... yet x range of PDF sensitivity at HERA is very well matched to LHC requirements!

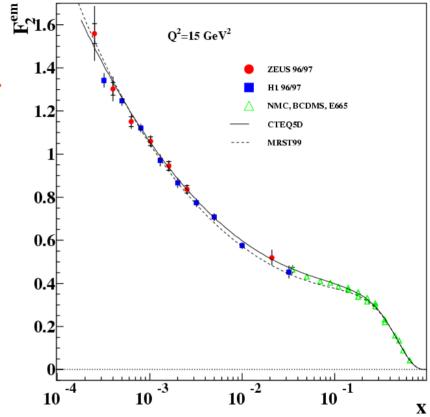


Sensitivity to Quark Densities



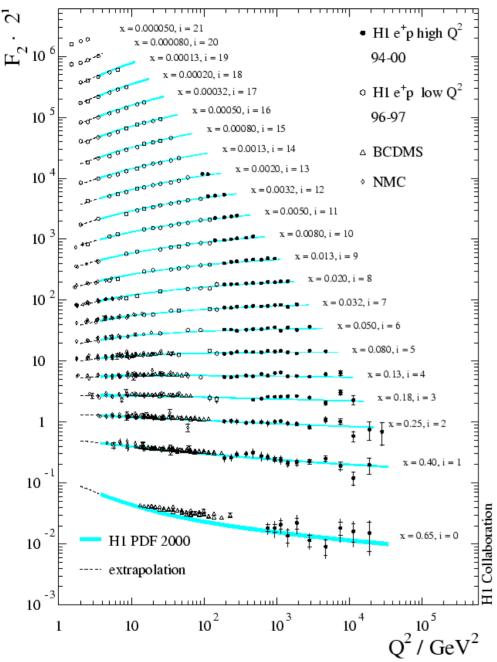
$$F_2(x,Q^2) \sim x \sum_q e_q^2(q+\overline{q})$$

F₂ data published to 2-3% ... now working towards 1% measurement.



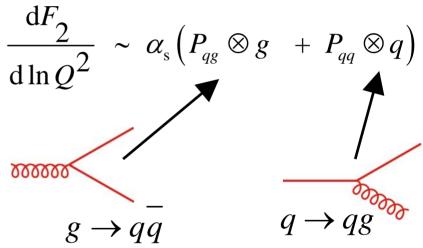
(Anti-)quark flavour 10⁻⁴ decomposition sensitivity via polarisation, charged current, e⁺p v e⁻p

Biggest HERA discovery ... powerful rise of F_2 at low x ...



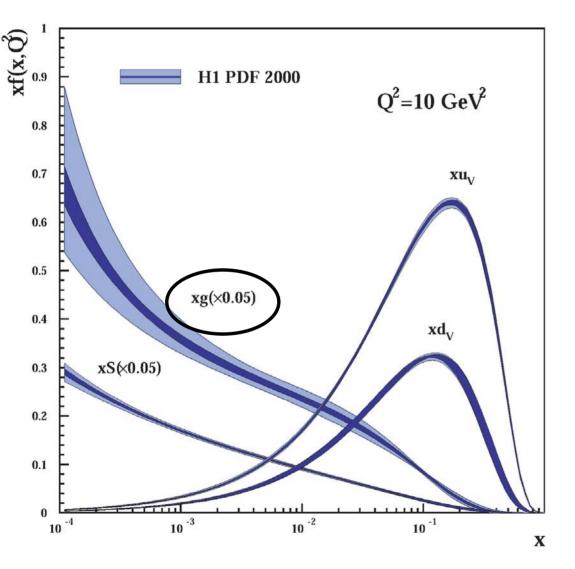
The Gluon Density!

 Q^2 evolution of F_2 is used to extract gluon density, assuming DGLAP evolution.



Internally self-consistent, but (unlike quark density extractions), this is model (DGLAP) dependent!

So what *is* a Proton?



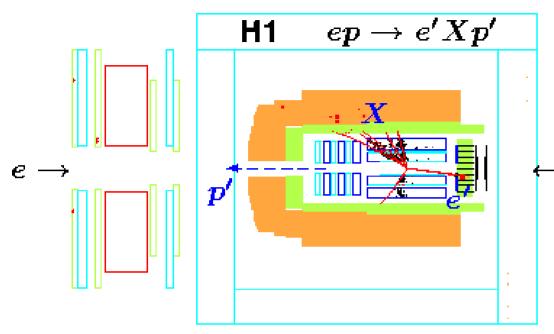
• DGLAP fits to NC and CC data, up to order α_s^2 in QCD used to obtain valence, sea quarks and gluon.

• Can be done using H1 data alone.

• Also `global' fits by MRST, CTEQ ... use some input from pp and elsewhere.

Gluon density at low x becomes enormous!

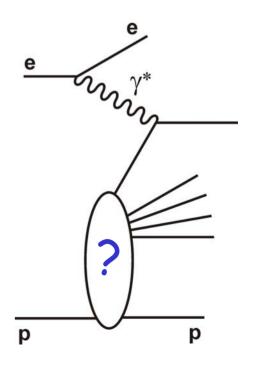
What is Diffractive DIS?





A special case of DIS, in which the proton stays intact, despite being struck with 10s of GeV of transverse momentum and a coloured quark being ejected!
Happens in about 10% of low x DIS events

• Mechnanism poorly understood, but must involve an exchange with no net colour!



Standard DIS variables ...

× = momentum fraction q/pQ² = $|\gamma^* 4$ -momentum squared|

Additional variables for diffraction ...

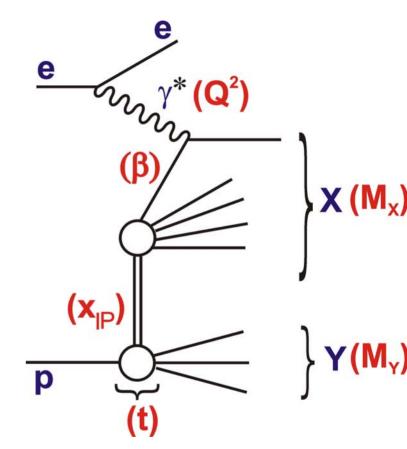
t = squared 4-momentum
transfer at proton vertex

x_IP = fractional momentum
 loss of proton
 (momentum fraction IP/p)

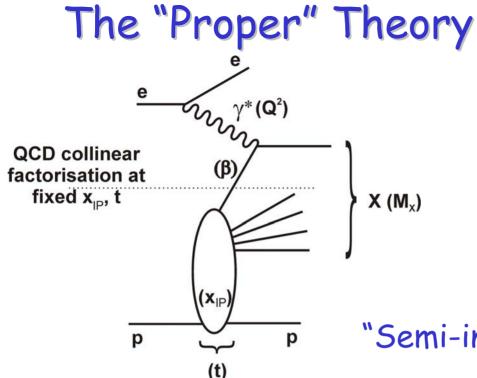
 $\beta = x / x_{IP}$ (momentum fraction q / IP)

Kinematics

Most generally $ep \rightarrow eXY$...



In most cases here, Y=p, (small admixture of low mass excitations)





"Semi-inclusive QCD Factorisation"

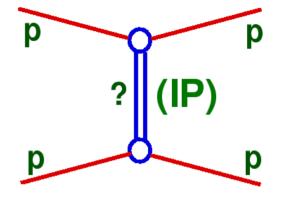
QCD hard scattering collinear factorisation proved for diffraction at fixed scattered proton 4-vector (Collins 1997)

$$\mathrm{d}\sigma_{\mathrm{parton}\,i}(ep \to eXY) = f_i^D(x,Q^2,x_{IP},t) \otimes \mathrm{d}\hat{\sigma}^{ei}(x,Q^2)$$

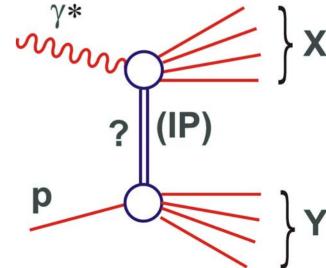
i.e. can define diffractive PDFs (DPDFs), f_i^{D} ... At fixed (x_{IP} , t), DPDF Q² evolvation is same as inclusive PDFs! But we don't know how DPDFs change with (x_{IP} , t)

(x_{IP},t) Dependences: Exchanging `Nothing'

 Diffractive DIS reminiscent of (soft)
 `diffractive' scattering in hadronic interactions, governing high energy elastic and total hadronic cross sections.



- Net quantum #s exchanged = nothing!!!
- The vacuum exchange `pomeron' (IP) was introduced to describe this exchange in the context of Regge theory
- ?... related to $\gamma^* p \rightarrow XY$, where the virtual photon resolves the structure of the exchange (IP) ...?



A deeper factorisation?

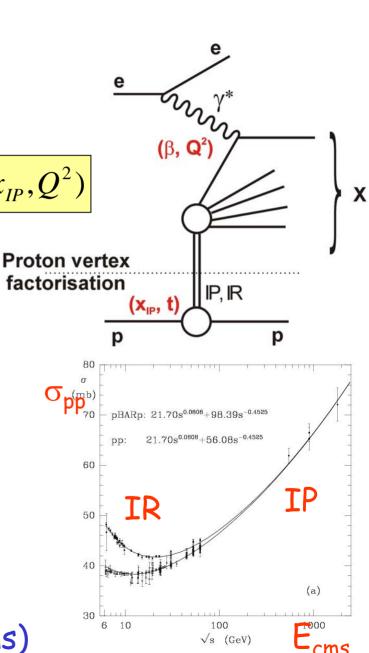
'Proton vertex' factorisation ... completely separate (x_{IP} , t) from (β , Q²) dependences.

$$f_i^D(x,Q^2,x_{IP},t) = f_{IP/p}(x_{IP},t) \cdot f_i^{IP}(\beta = x/x_{IP},Q^2)$$

No firm QCD basis, but consistent with all experimental data!

DPDFs at fixed x_{IP} and t then measure partonic structure of the exchanged system (IP)

... in fact there a `sub-leading' (IR) exchange is also present at high x_{IP} (as for total, elastic pp cross sections)



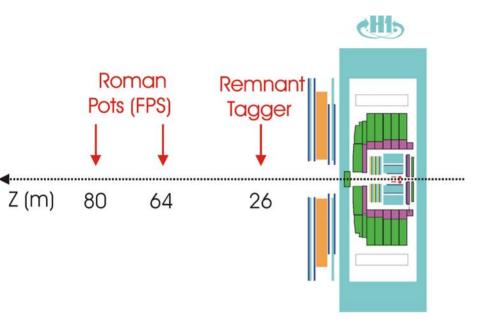
So why is this interesting?...

• Understanding QCD at low x ... test of new factorisation theorem, field theory description of multi-particle exchange.

- Relationship diffractive v inclusive DIS?
- Relationship diffractive DIS v hadronic diffraction?
- Relation to diffractive producion, especially Higgs (pp \rightarrow ppH) at Tevatron / LHC.
- ... relation to confinement?
- Clarify historical disagreement between H1 and ZEUS ... some strange methods have been used ... with some strange results!



Detecting Diffractive DIS (FPS Method)



By far the cleanest selection method is to detect and measure final state proton

Done with `Roman Pot' inserts to beampipe (`Forward Proton Spectrometer, FPS')



No proton dissociation



Can measure all variables, including t and get to high x_{IP}



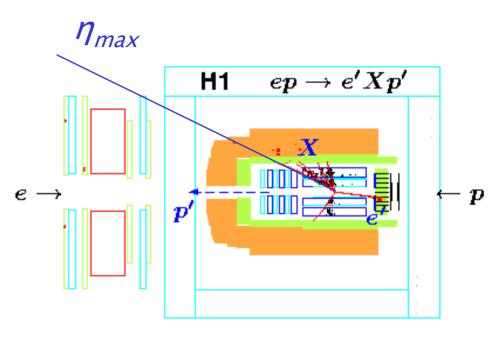
Difficult operation, low acceptance ... poor statistics (though HERA-II data are looking promising!)

Example Roman Pots (H1 VFPS)



Alternative Method based on Event Topology

Colour singlet exchange to produce low mass system X implies Large Rapidity Gap separating leading proton from hadrons comprising X.
Select by requiring absence of activity in forward part of calorimeter and specialised



forward detector components (LRG method)



Scattered proton unobserved \rightarrow some p dissociation, no t measurement (measure for $M_y < 1.6 \text{ GeV}$, $|t| < 1 \text{ GeV}^2$)



Near perfect acceptance at low x_{IP}

> FPS and LRG methods together are hugely powerful!

Data Sets

- FPS sample 1999-2000 data (28 pb⁻¹)
- LRG sample 1997 data (2 pb⁻¹ for Q² < 13.5 GeV²)
 1997 data (11 pb⁻¹ for 13.5 < Q² < 105 GeV²)
 1999-2000 data (62 pb⁻¹ for Q² > 133 GeV²)
- FPS and LRG measurements statistically independent and only very weakly correlated through systematics.
- Measurements over unprecedented kinematic range, $2.7 < Q^2 < 1600 \text{ GeV}^2$!

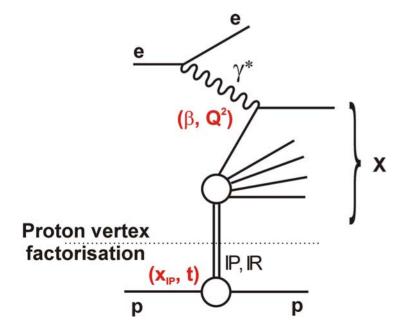
Measurements and Observables

Main observable is the Diffractive `reduced cross section' ...

$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = F_2^{D(3)} - \frac{y^2}{Y_+} F_L^{D(3)} \approx F_2^{D(3)}$$

... cross section (or structure fn.) dependent on 3 variables ... 4 if you also include $t \rightarrow \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t)!$

... can only realistically study 1 (maybe 2) variables at a time!



• (x_{IP},t) dependences at fixed (β ,Q²) for soft (Pomeron) physics

• (β ,Q²) dependences at fixed (x_{IP} ,t) for hard (QCD) physics ... Diffractive quarks and gluons



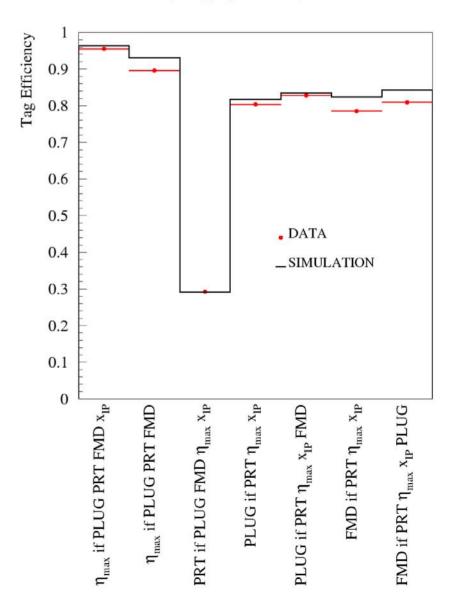
Forward detectors sensitive to energy flow in the region $3.3 < \eta < 7.5$

Cross-calibrate forward detectors using response to non-diffractive events.

Correcting to $M_y < 1.6$ GeV is largest systematic error.

Understanding the LRG Method

Forward Activity Tagging Efficiency Cross Correlations

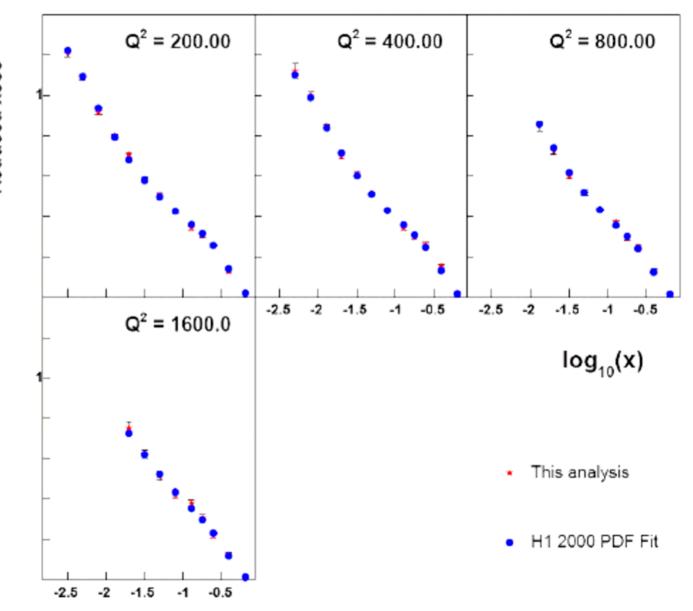




Reduced xsec

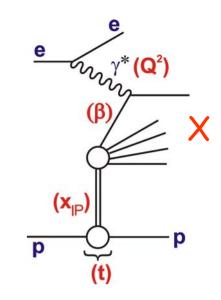
Have to ensure that we reproduce the inclusive reduced cross section, σ_r(x,Q²)... ... electron well understood

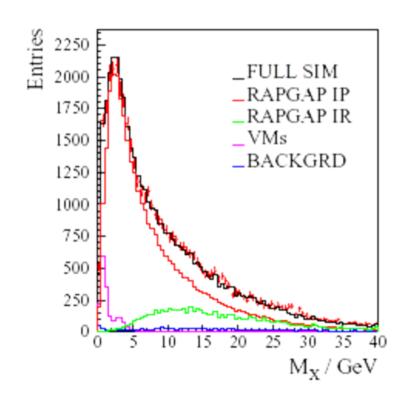
Ensuring Measurement Quality

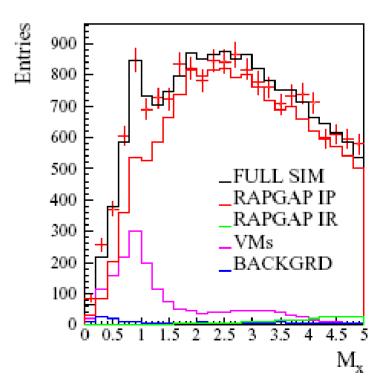


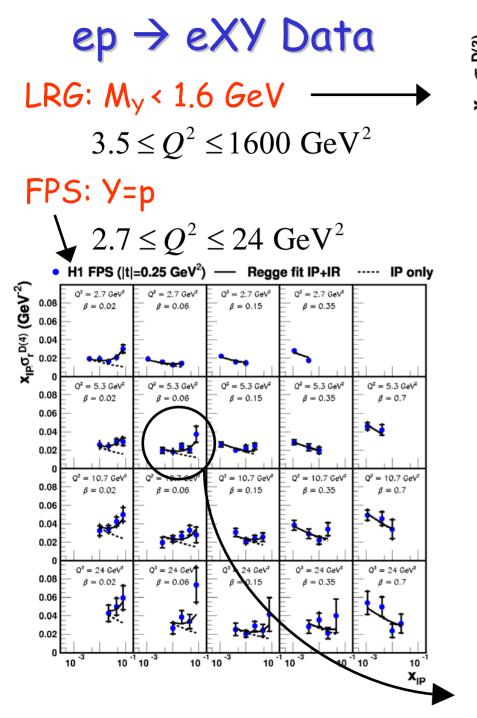
Ensuring Measurement Quality II

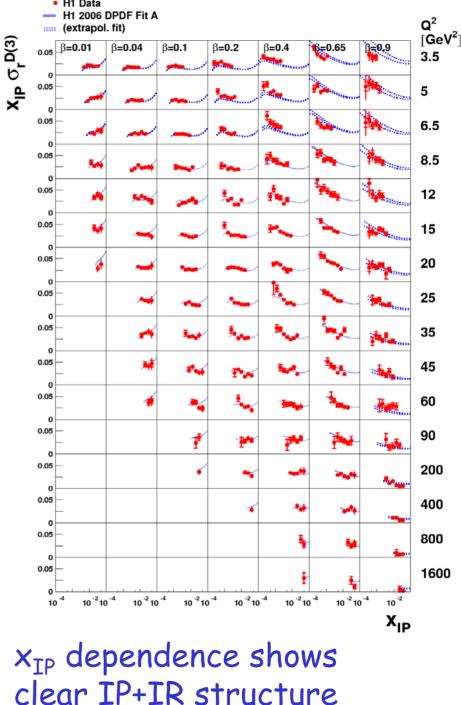
Have to ensure we understand the hadrons, especially M_X mass measurement, from which ... $\beta = Q^2 / (Q^2 + M_X^2)$ $x_{IP} = x / \beta$



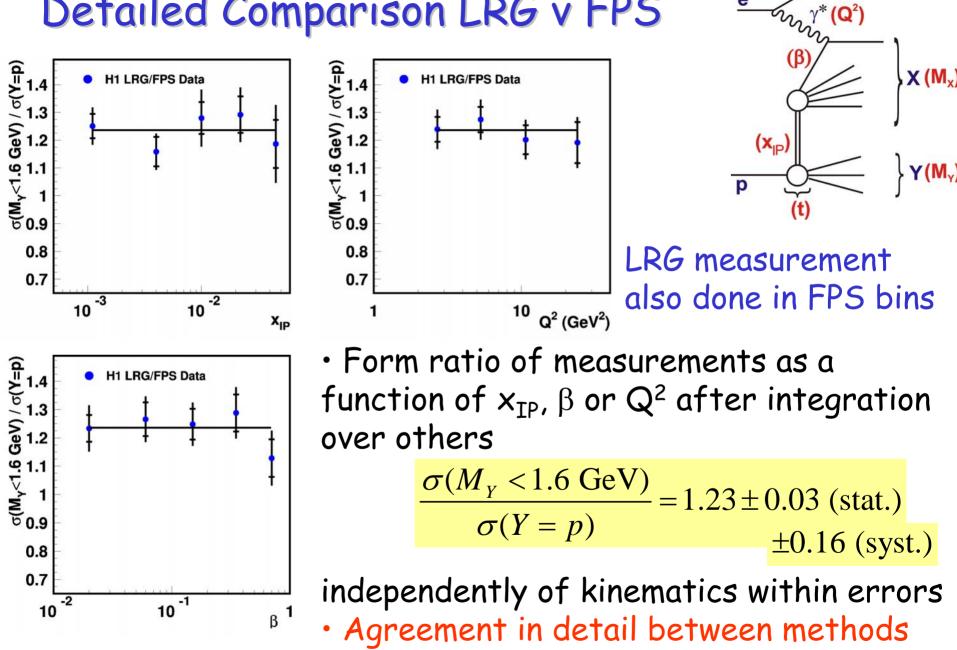








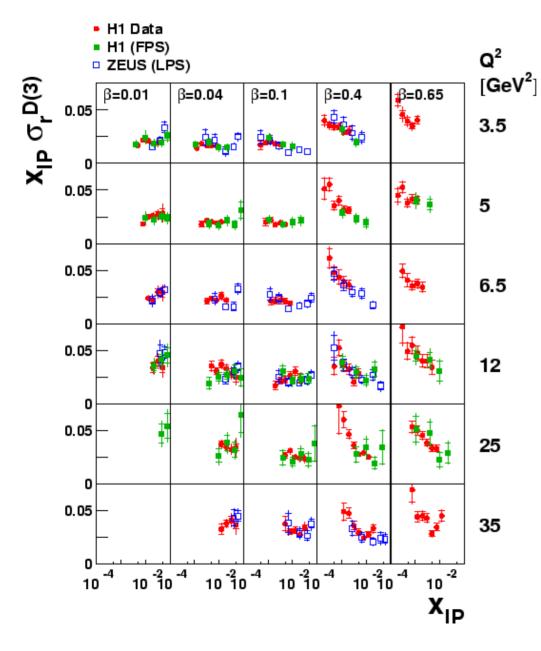
Detailed Comparison LRG v FPS



γ* (Q²)

•M_v dependence factorises within (10%) (non-normⁿ) errors

H1 LRG v H1 FPS v ZEUS LPS Data



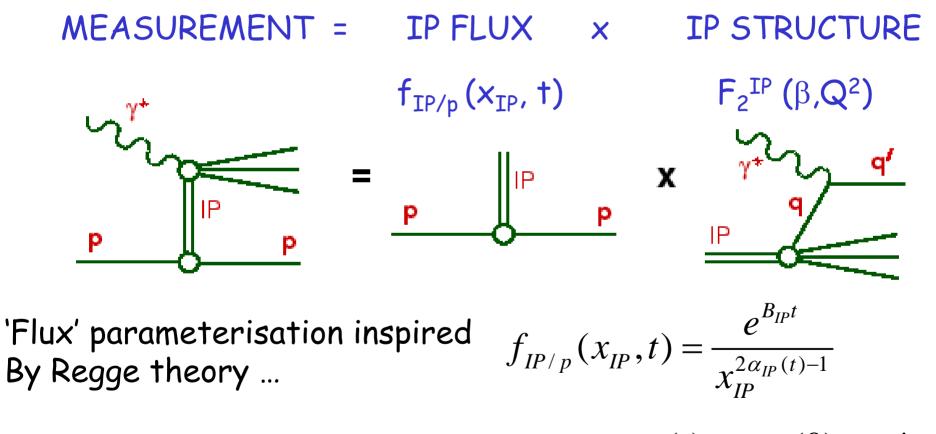
• ZEUS and H1 Roman pot data agree to well within normalisation uncertainties

• Very good agreement between proton-tagging and LRG methods.

• Roman Pot data scaled by global factor of 1.23 to account for proton dissociation (My<1.6 GeV) in LRG data.

Proton Vertex Factorisation and 'Pomeron Flux'

If proton vertex factorisation works, we can factorise out x_{IP} , t (and M_y) dependence into a `flux factor'



Free parameters - pomeron `trajectory' $\alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP} t$

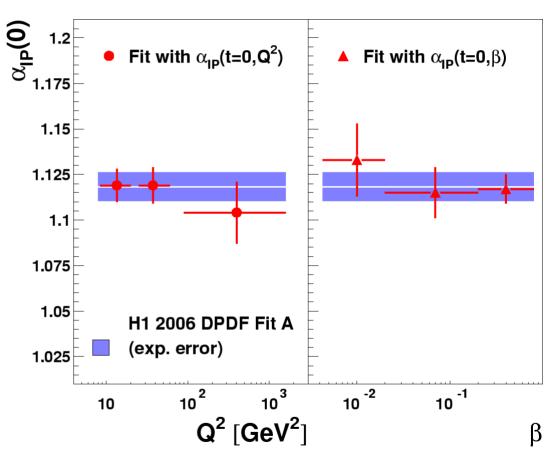
Effective Pomeron Intercept (X_{IP} Dependence)

From fit to x_{IP} dependence of LRG data (see later ...)

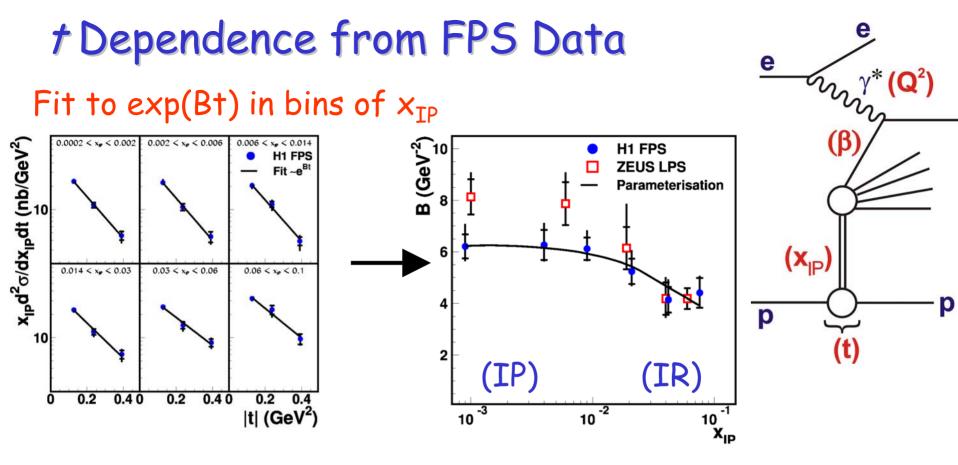
Adding extra parameters for $\alpha_{IP}(0)$ in different Q² or β regions shows no significant variation (as required for proton vertex factorisation)

Consistent result from similar fit to x_{IP} dependence of FPS data:

$$\alpha_{IP}(0) = 1.118 \pm 0.008 \text{ (exp.)}_{-0.010}^{+0.029} \text{ (theory)}$$



 $\alpha_{IP}(0) = 1.114 \pm 0.018 \text{ (stat.)} \pm 0.012 \text{ (syst.)}_{-0.020}^{+0.040} \text{ (theory)}$



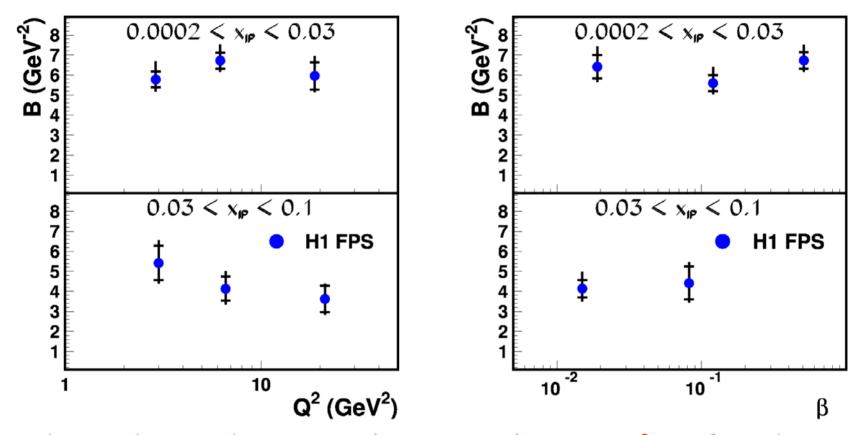
B(x_{IP}) data constrain pomeron trajectory slope α'_{IP} in proton vertex factorisation model... $B = B_0 + 2\alpha'_{IP} \ln(1/x_{IP})$

No strong dependence of B on x_{IP} in IP region ...

$$\alpha'_{IP} = 0.06^{+0.19}_{-0.06} \text{ GeV}^{-2}$$

t Slope Dependence on β or Q^2 ?

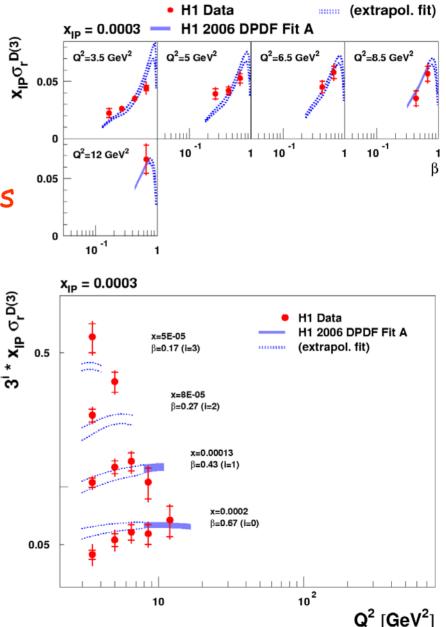
... B measured double differentially in (β or Q²) and x_{IP}



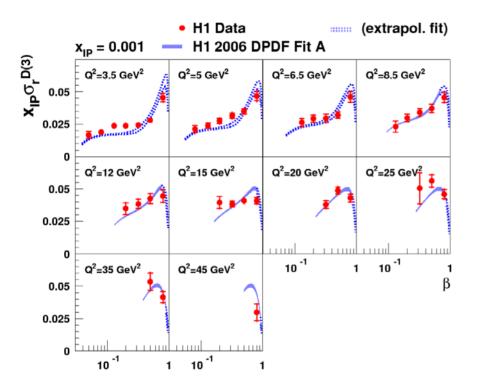
• t dependence does not change with β or Q² at fixed x_{IP} • Proton vertex factorisation of (x_{IP},t) from (β,Q^2) working! ... in contrast to many multi-component models (BEKW, KGB ...)

QCD Aspects! $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at $x_{IP} = 0.0003$

- Study QCD structure with LRG data ...Q² and β (= x / x_{IP}) dependences at a small number of fixed x_{IP} values.
- Good precision in best regions
 5% (stat.), 5% (syst) 6% (norm)
- Directly measures diffractive $\widehat{\mathbb{G}}_{r}$ quark density at fixed x_{IP} $\widehat{\mathbb{G}}_{r}$ $\sigma_{r}^{D}(\beta, Q^{2}) \sim F_{2}^{D} = \Sigma e_{a}^{2} (q + \overline{q})$

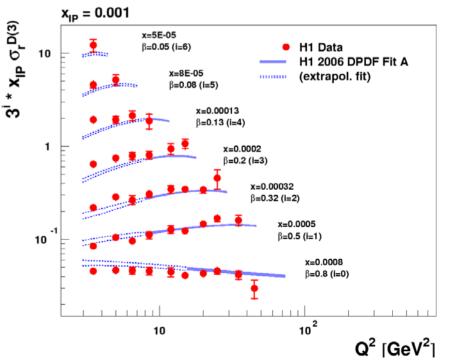


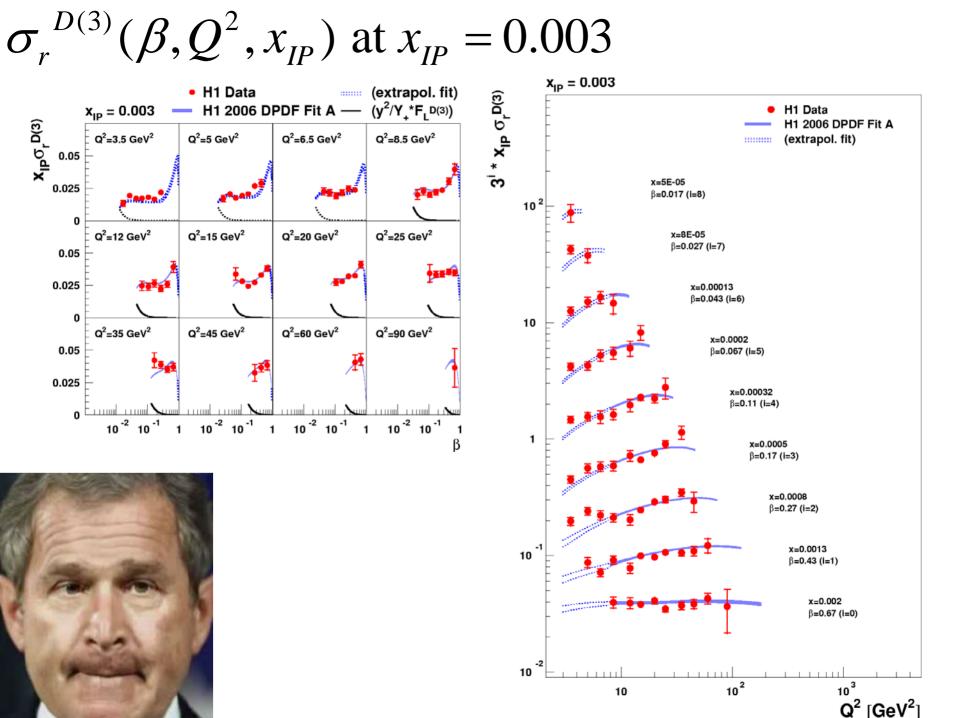
 $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at $x_{IP} = 0.001$

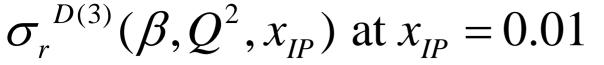


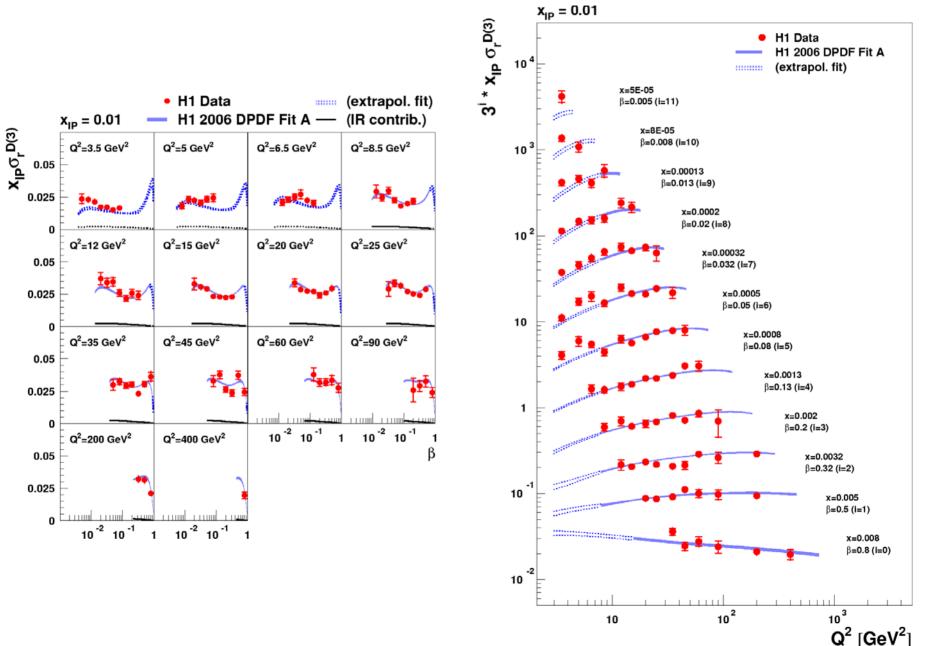
(Like an inclusive F_2 measurement at each value of x_{IP})

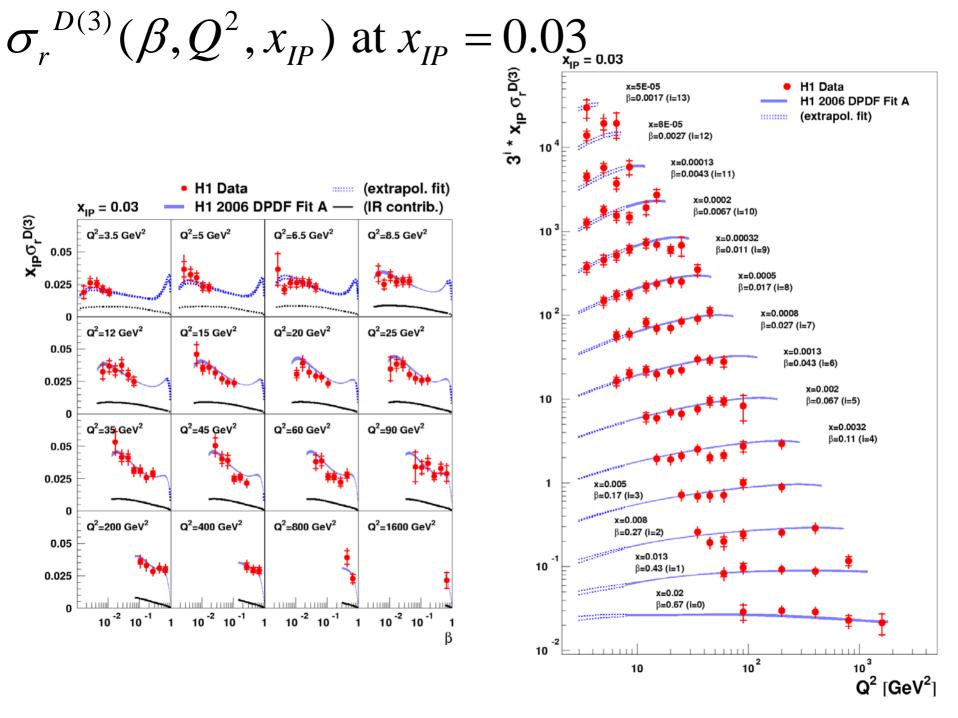








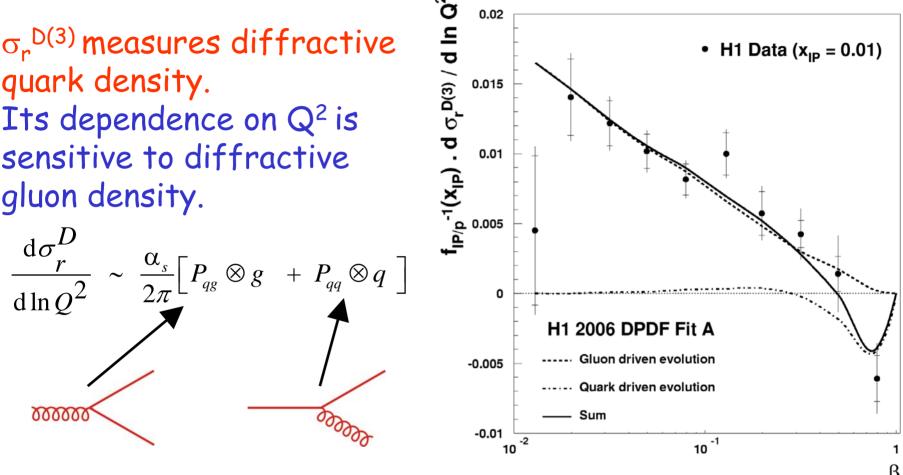




Q² Dependence and the Gluon Density

 $\sigma_r^{D(3)}$ measures diffractive quark density. Its dependence on Q^2 is sensitive to diffractive gluon density.

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Extract $d\sigma_r^D/dlnQ^2$ by fitting data at fixed x, x_{TP}

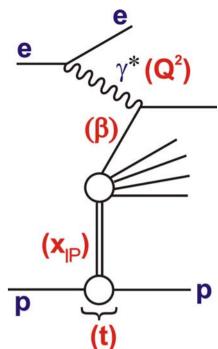
• Low β evolution driven by $g \rightarrow qq$... strong sensitivity to gluon • High β , relative error on derivative grows, $q \rightarrow qg$ contribution to evolution becomes dominant ... sensitivity to gluon is lost!

Extracting the Quarks and Gluons

• Fit β and Q² dependence of LRG data from fixed x_{IP} binning scheme (χ^2 minimisation)

 \cdot Parameterise DPDFs at starting scale $Q_0{}^2$ for QCD evolution ...

... evolve to higher Q² using NLO DGLAP equations (massive charm) and fit β and Q² dependence for DPDFs



• Use proton vertex factorisation with $\alpha_{IP}(t)$ from FPS and LRG data to relate data from different x_{IP} values with complementary β , Q^2 coverage.

• Exclude data with $M_X < 2$ GeV or $\beta > 0.8$ (higher twist region) and with $Q^2 < 8.5$ GeV² (NLO insufficient?)

Free Parameters of H1 2006 DPDF Fit

5 free parameters for singlet quark $z\Sigma(z,Q_0^2)$, gluon $zg(z,Q_0^2)$ densities, where z is parton momentum fraction (= β for quarks at lowest order, otherwise > β)

$$z\Sigma(z,Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$$

$$zg(z,Q_0^2) = A_g (1-z)^{C_g}$$

(gluon insensitive to B_g)

+ 1 free parameter $\alpha_{\text{IP}}(\text{O})$ describes x_{IP} dependence via flux factor

- 1 free parameter describes normalisation of sub-leading IR, which is otherwise treated as a $\pi^{\rm 0}$

• Results reproducible within errors with many variations in assumptions, parameterisations and other details

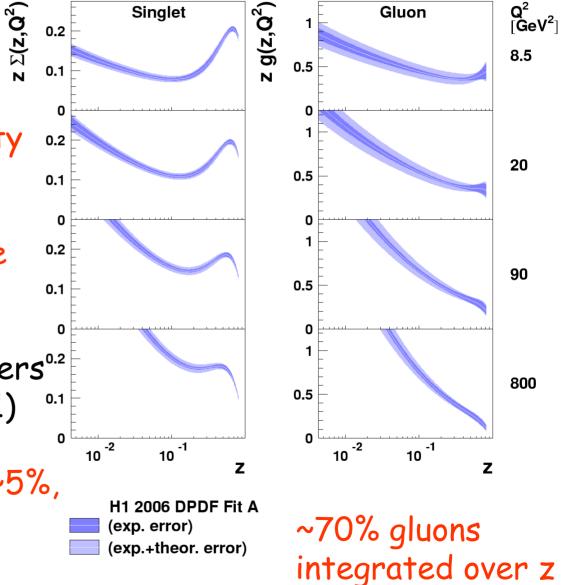
'H1 2006 DPDF Fit A' (log z scale)

χ² ~158 / 183 d.o.f.

• Experimental uncertainty obtained by propagating errors on data through χ^2 minimisation procedure

• Theoretical uncertainty $^{\circ}$ by varying fixed parameters $^{\circ}$ of fit and $Q_0^2(s.t. \Delta \chi^2 = 1)$ $^{\circ}$

 Singlet constrained to ~5%, gluon to ~15% at low z, growing a lot at high z

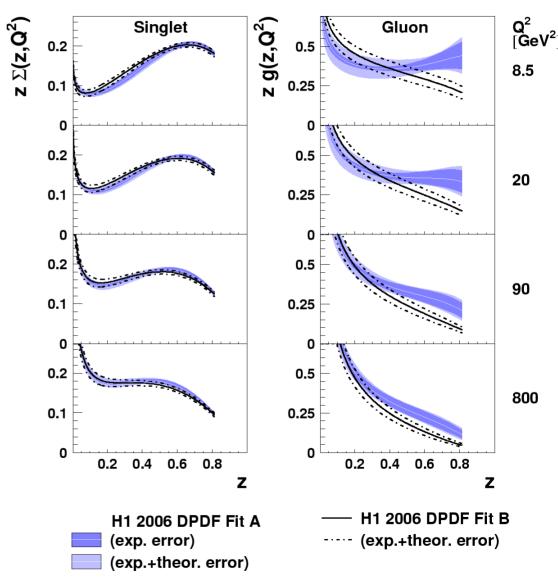


`Fit A' and `Fit B' DPDFs (linear z scale)

 Lack of sensitivity to high z gluon confirmed by dropping (high z) C_g parameter, so gluon is a constant at starting scale!

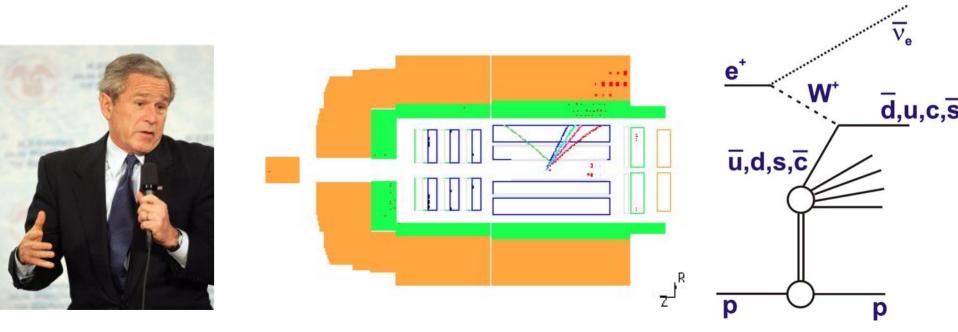
•**Fit B** χ² ~164 / 184 d.o.f.

- Quarks very stable
- Gluon similar at low z
- Substantial change to gluon at high z

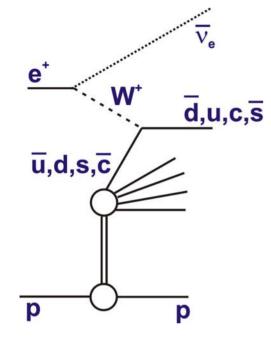


Testing Factorisation and Quark Density with Diffractive Charged Current Scattering

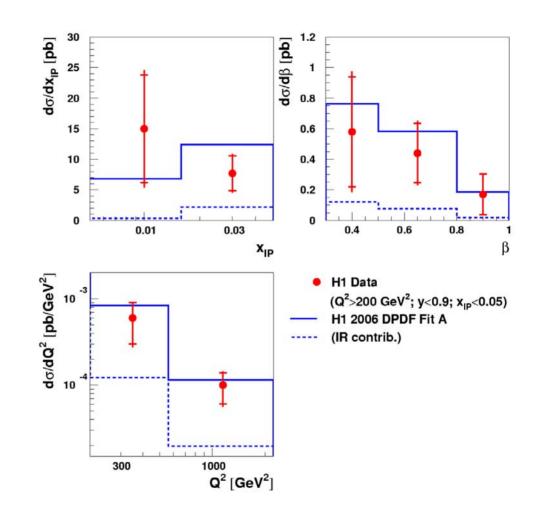
First observation of diffractive charged current events ... sensitive to flavour decomposition of quark density (completely unconstrained by neutral current data)



Diffractive Charged Current Cross Section







Good agreement with fit prediction (assumes u = d = s = u = d = sand c from BGF) though statistical precision limited so far

Testing Factorisⁿ and the Gluon with Charm

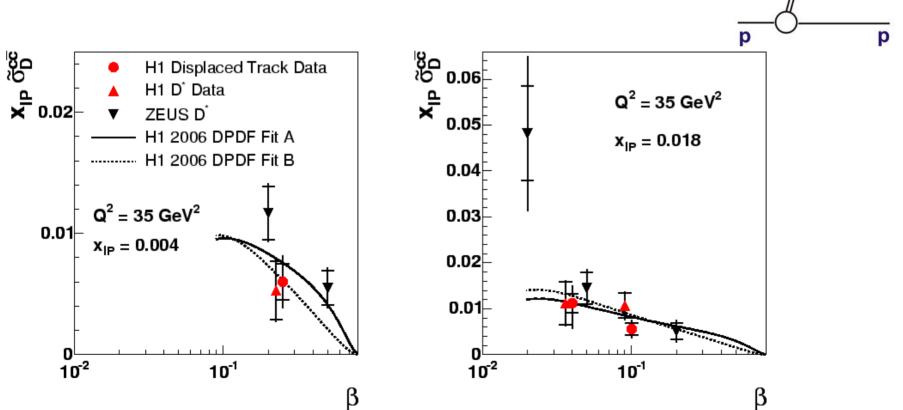
inin

g (z_⊮) 🕉

C, jet

C, jet

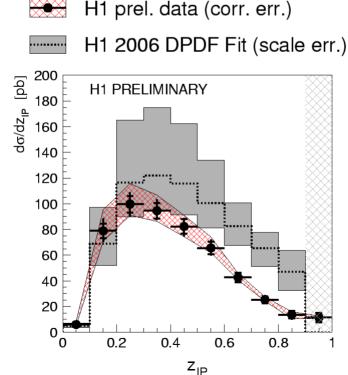
- Measure diffractive charm cross section by two different methods
 Charm production up to 30% of total
- diffractive cross section!
- Well described by prediction from DPDFs

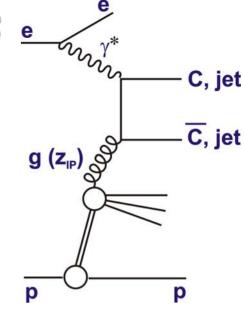


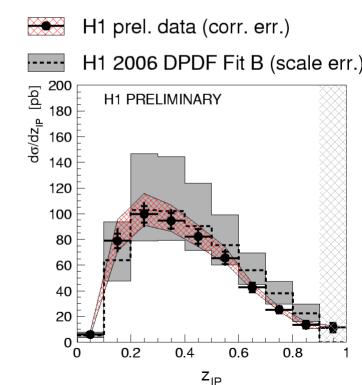
Dijet data particularly sensitive to gluon density at high z ... which is poorly constrained by inclusive data.
Good description by DPDFs at 'low' z

• Prefer flatter gluon at high z ...

Ongoing work to include dijets with inclusive data in combined fit

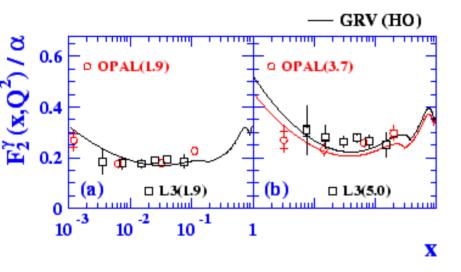


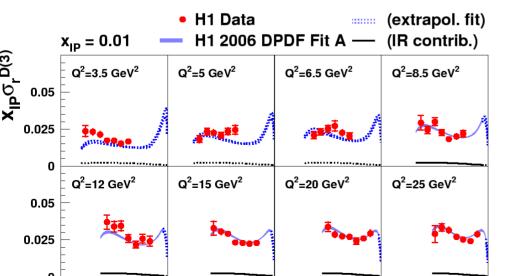




... but what do the DPDFs actually mean?

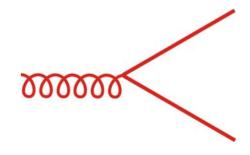
High z behaviour looks a lot like the photon structure function ...







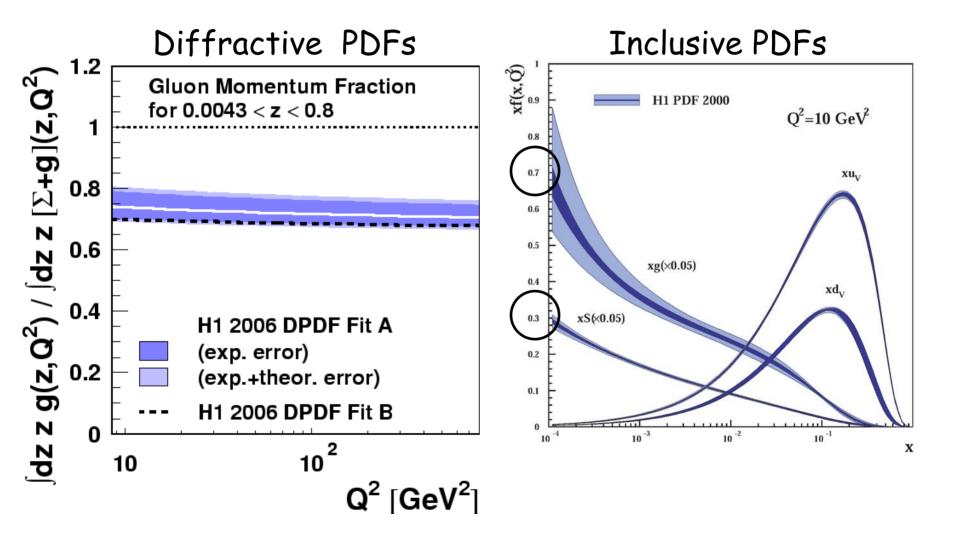
Photon `structure' derived from $\gamma \rightarrow qqbar$



Diffractive DIS derived from $g \rightarrow qqbar$ (and $g \rightarrow gg ...)$... leading gluon exchange?

... but what do the DPDFs actually mean?

... what about low z ... ratio of quarks to gluons is about 70:30 for both diffractive PDFs and (low x) inclusive PDFs ...



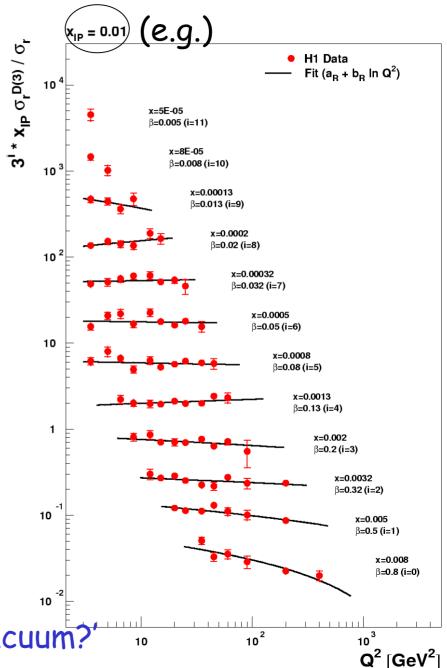
Low x similarity of diffractive & inclusive PDFs

 Similar ratios of quarks to gluons reflected in similar
 Q² evolution of inclusive and diffractive cross sections at low x...

• ...Ratio σ_r^D/σ_r ~ independent of Q² at fixed x_{IP} and x.

• ... away from the influence of valence quarks, PDFs and their evolution is driven only by QCD ... same for proton, pomeron, pion, photon ...?

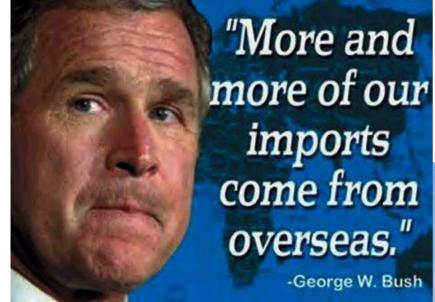
...`universal structure of QCD vacuum?



Summary

- FPS and LRG measurements of diffractive DIS:
 - New level of precision and kinematic range.
 - Agreement in detail between the two methods.
- Proton vertex factorisation with $\alpha_{IP}(t) \sim 1.118 + 0.06t \& B_{IP} \sim 6 GeV^{-2}$ is good model for the 'soft' physics (x_{IP} ,t) deps.
- β and Q² dependences tackled with NLO QCD \rightarrow DPDFs - Singlet quarks very well constrained (~5%).
 - Gluon to ~15% (can be improved at high z with jet data)
- DPDFs predict other diffractive DIS processes well: Charged current, charm, jets at low z, more to come! Many Tevatron / LHC applications still to be explored
- Still lack detailed understanding of inclusive / diffractive DIS relationship... low x as QCD consequence of `nothing'?

George's Summary ...





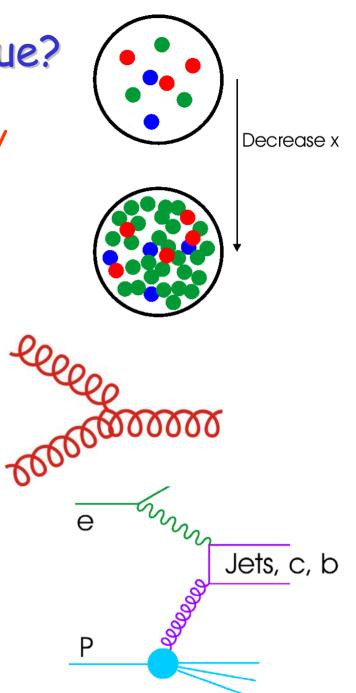
Back up's follow ...

Can the Rise of Gluon be True?

 Gluon density cannot rise indefinitely as x decreases (unitarity effects / parton `saturation' ?...)

• DGLAP approximation to QCD evolution may become insufficient, e.g. due to neglect of $gg \rightarrow g$ `recombination'.

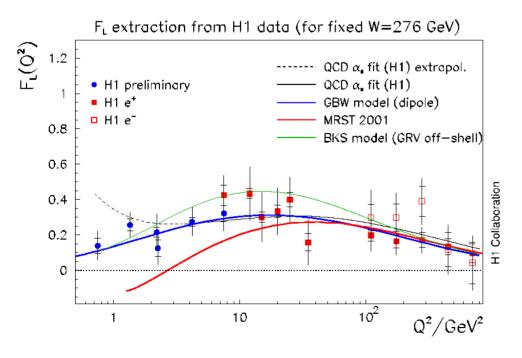
 Same approximations are used for evolution to LHC ... essential to test the gluon density through direct measurement ...
 ... e.g. `boson-gluon fusion' → many Dijet, charm, beauty measurements!

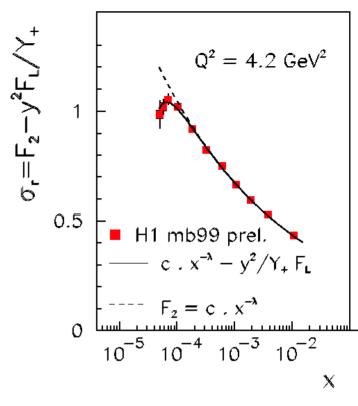


Structure Function $F_L(x,Q^2)$

If gluon dominates, $F_L \sim \alpha_s \times g(x)$, provides another test of selfconsistency and gluon.

$$\tilde{\sigma}^{NC} = F_2 - \frac{y^2}{Y_+}F_1$$

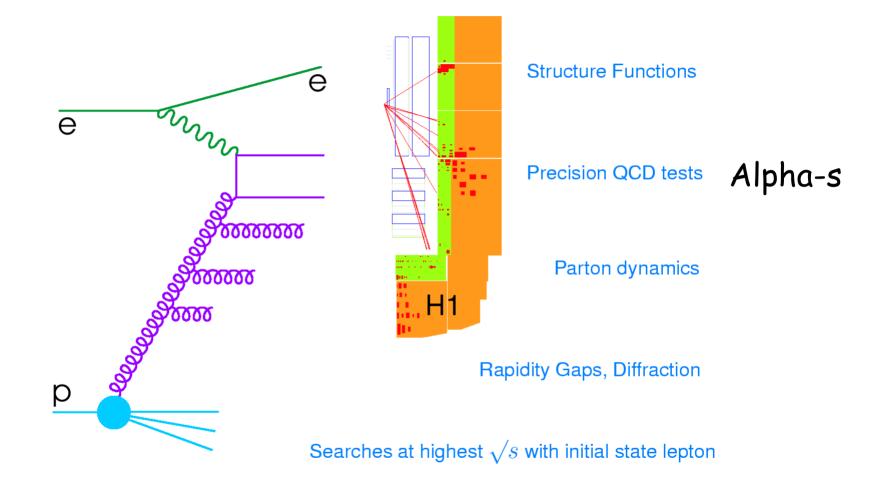




Influence visible at low x and low Q^2 , consistent with expectations if F_2 is well behaved

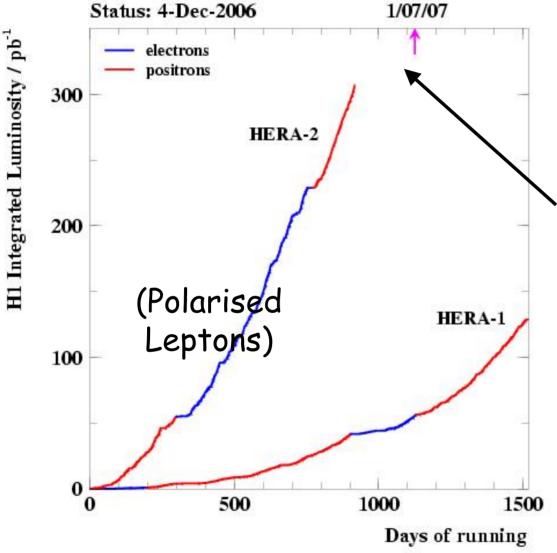
Model-free extraction requires changes to beam energies ...

Beyond Inclusive Measurements



Hadronic final states test our understanding of parton dynamics in complementary ways..... Is the huge gluon for real?

HERA-II and the Future

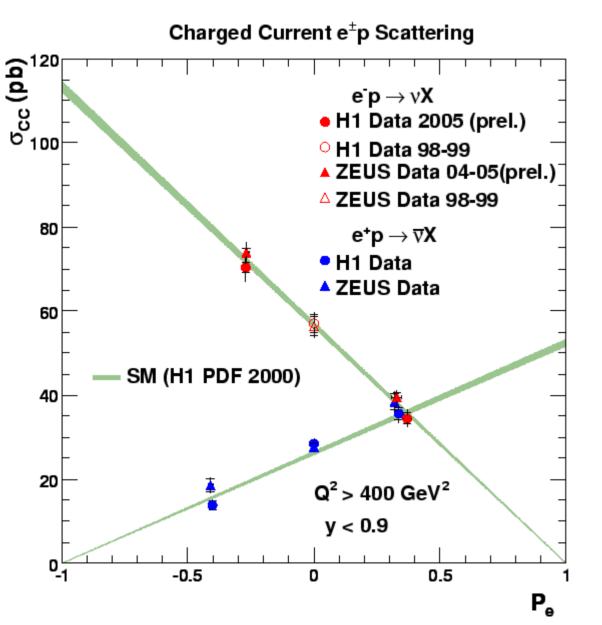


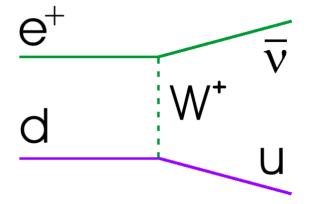
• HERA-I was ~ 130 pb⁻¹

• HERA-II will be ~ 320 pb⁻¹ at full energy, plus a reduced Ep run at the end to measure F_L properly and test gluon density

Data analysis underway,
 ... first results appearing
 ... many more high
 precision results to
 come!

Polarised Charged Current Cross Section



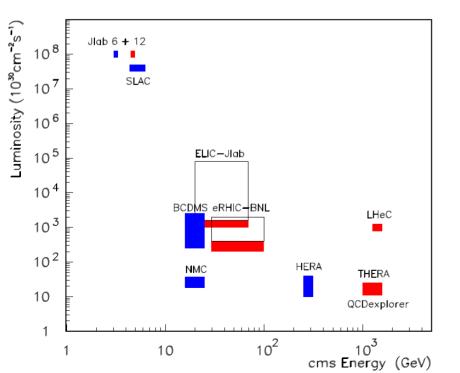


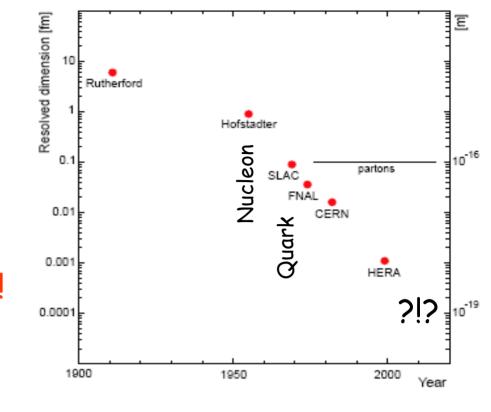
Standard model
 does not allow right
 handed charged
 currents

 Data consistent with prediction of linear dependence...
 ... M(W_R) > 200 GeV A possible future?

No high energy ep Physics Approved beyond 2007!.. LHeC: Latest of several proposals to take ep physics into the TeV energy range but with unprecedented lumi!







 Combining LHC protons with a new electron beam (70 GeV)
 Is technically possible and pushes frontiers of ep physics:

... $x \rightarrow 10^{-7}$, $M_{eq} \rightarrow 1.4 \text{TeV}$, Resolved dimension $\rightarrow 10^{-19} \text{m}$